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6 **IN THE UNITED STATES DISTRICT COURT**
7 **FOR THE DISTRICT OF ARIZONA**

8 John Montenegro Cruz,
9
10 Petitioner,
11
12 v.
13 Charles L. Ryan, et al.,
14 Respondents.

No. CV-13-0389-TUC-JGZ

DEATH PENALTY CASE

**MEMORANDUM OF DECISION
AND ORDER**

15 Petitioner John Montenegro Cruz, a state prisoner under sentence of death, has
16 filed a Petition for Writ of Habeas Corpus. (Doc. 28.)¹ Petitioner alleges, pursuant to 28
17 U.S.C. § 2254, that he is imprisoned and sentenced in violation of the United States
18 Constitution. He seeks expansion of the record, discovery, and an evidentiary hearing in
19 support of two claims in the Petition. (Doc. 38.) Respondents oppose the Petition and the
20 request for evidentiary development. (Docs. 31, 42.) For the reasons set forth below, the
21 Court finds that Petitioner is entitled to de novo review of Claim 2. The Court further
22 finds that an evidentiary hearing will assist the Court in determining whether trial counsel
23 was ineffective for failing to investigate and present mitigating evidence during the
24 penalty phase of Petitioner's trial, as alleged in Claim 2. Petitioner's motion, therefore,
25 will be granted in part. The remaining claims in the Petition are denied.

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28 ¹ "Doc." refers to numbered documents in this Court's electronic case docket.

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On May 26, 2003, Tucson Police Department Officers Patrick Hardesty and Benjamin Waters responded to a hit-and-run accident. The investigation led the officers to a nearby apartment occupied by two women and Petitioner, who fit the description of the hit-and-run driver.

As Officer Hardesty and Petitioner approached the car, Petitioner leaned in as if retrieving something, then “took off running.” Officer Hardesty chased Petitioner on foot, while Officer Waters drove his patrol car around the block in an attempt to cut Petitioner off.

Officer Hardesty's body was discovered immediately. He had been shot five times. Two bullets were stopped by his protective vest, two bullets entered his abdomen below the vest, and a fifth bullet entered his left eye, killing him almost instantly. Four of the five shots were fired from no more than twelve inches away.

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1 revolver. Five unfired .38 cartridges that matched the cartridges fired from the Taurus
2 were found in Petitioner's pocket when he was apprehended.

3 Petitioner was indicted on one count of first-degree murder.² The State filed its
4 notice of intent to seek the death penalty alleging a single aggravating factor: "The
5 murdered person was an on duty peace officer who was killed in the course of performing
6 the officer's official duties and the defendant knew, or should have known, that the
7 murdered person was a peace officer." A.R.S. § 13-703(F)(10) (2003) (currently found at
8 § 13-751(F)(10)).³ A jury convicted Petitioner of first-degree murder and found the
9 (F)(10) aggravating factor.

10 In the penalty phase, Petitioner alleged the following mitigating factors: impaired
11 capacity to appreciate the wrongfulness of his conduct; impaired capacity to conform his
12 conduct to the law; unusual and substantial duress; unforeseeability that the acts would
13 cause death; dysfunctional family; deprivation of "necessary nurturing love" from family;
14 family history of mental disorders; posttraumatic stress disorder ("PTSD"); drug
15 addiction; mental state affected by family history of mental disorders, PTSD, and drug
16 addiction; unfavorable impact on Petitioner's family; existence of family support;
17 compliance with prison rules; lack of propensity for future violence; capability to adapt to
18 prison life; and lack of plan to commit the murder. Petitioner asserted that his
19 "upbringing, life-style and subculture all made it far more likely that he would find
20 himself in this position." The jury did not find the proffered mitigation sufficiently
21 substantial to call for leniency, and determined that Petitioner should be put to death.

22 On direct appeal, the Arizona Supreme Court affirmed Petitioner's conviction and
23 death sentence. *Cruz*, 181 P.3d at 218. The court found that the jury did not abuse its
24 discretion by determining that Petitioner should be sentenced to death:

25
26 ² Judge Theodore B. Borek, of the Pima County Superior Court, presided over
Petitioner's trial, sentencing, and petition for post-conviction relief proceedings.

27 ³ At the time of Petitioner's offense in 2003, Arizona's capital sentencing scheme
28 was set forth in A.R.S. §§13-703 and 13-703.01 to -703.04. It is presently set forth in
A.R.S. §§ 13-751 to -759. The Court refers throughout this order to the statutes in effect
at the time Petitioner committed the crime.

1 Although Cruz’s early life was certainly not ideal, absent is the type
2 of horrible abuse often found in our capital jurisprudence. Cruz was neither
3 suffering from any significant mental illness nor under the influence of
4 drugs at the time of the crime. The evidence presented on most of these
5 mitigating circumstances was weak, and Cruz established little or no causal
6 relationship between the mitigating circumstances and the crime. Moreover,
7 much of the mitigating evidence offered by Cruz was effectively rebutted
8 by the State.

9 *Id.* at 217.

10 Petitioner filed a petition for post-conviction relief (“PCR”) in the trial court
11 raising three claims based on alleged deprivations of his Sixth Amendment right to
12 conflict-free counsel and to the effective assistance of counsel during both the guilt and
13 sentencing phases of his trial. (Doc. 31, Ex. W at 23–35.) The PCR court addressed these
14 claims as six distinct claims of Sixth Amendment deprivations by counsel: trial counsel’s
15 actual conflict of interest as evidenced by defense counsel’s vouching for the credibility
16 of Officer Waters (Claim I (A)), and by not advising the Petitioner of the effect of his
17 failure to take responsibility during allocution (Claim I (B)); trial counsel’s
18 ineffectiveness for failing to challenge the credibility of three law enforcement officers in
19 connection with the murder weapon and unspent cartridges (Claim II (A)), and
20 affirmatively vouching for the officers during final argument (Claim II (B)); and
21 counsel’s ineffectiveness at sentencing for failing to advise Petitioner to take
22 responsibility for the crime during his allocution (Claim III (A)), and failing to fully
23 investigate certain mitigating factors and present expert testimony regarding the causal
24 connection between the mitigating circumstances and the crime (Claim III (B)). (Doc. 31,
25 Ex. RR at 6, 8–13.)

26 The PCR court denied relief without conducting an evidentiary hearing. (Doc. 31,
27 Ex. RR.) The court found Claims I (A) and (B) precluded because Petitioner failed to
28 raise these claims on direct appeal; alternatively the court found the claims lacked merit
because counsel’s actions represented a sound trial strategy. (*Id.* at 6–9) (citing Ariz. R.
Crim. P. 32.2(a)(3)). The court found that Petitioner failed to raise a colorable claim as to

1 Claims II (A) and (B), finding counsel's actions in connection with these claims
2 constituted trial strategy, and that Petitioner failed to demonstrate a colorable claim of
3 deficient performance or prejudice. (*Id.* at 11.) The court found that Petitioner failed to
4 raise a colorable claim as to Claim III (A). (*Id.* at 12.) Finally, the court found Claim III
5 (B) not colorable because trial counsel's choices in connection with mitigation were
6 reasonable and represented sound trial strategy and "none of the factors addressed by
7 defendant, either alone or in connection with other mitigation, would alter the sentence of
8 death as found by a jury." (*Id.* at 13–18.) The Arizona Supreme Court denied
9 discretionary review of the petition on May 29, 2013. (Doc. 31, Ex. XX.)

10 Petitioner filed his petition for writ of habeas corpus in this Court on May 1, 2014.
11 (Doc. 28.)

12 APPLICABLE LAW

13 Because it was filed after April 24, 1996, this case is governed by the
14 Antiterrorism and Effective Death Penalty Act of 1996 ("AEDPA"), 28 U.S.C. § 2254
15 ("§ 2254").⁴ *Lindh v. Murphy*, 521 U.S. 320, 336 (1997); *see also Woodford v. Garceau*,
16 538 U.S. 202, 210 (2003).

17 I. PRINCIPLES OF EXHAUSTION AND PROCEDURAL DEFAULT

18 Under AEDPA, a writ of habeas corpus cannot be granted unless it appears that
19 the petitioner has exhausted all available state court remedies. 28 U.S.C. § 2254(b)(1);
20 *see also Coleman v. Thompson*, 501 U.S. 722, 731 (1991); *Rose v. Lundy*, 455 U.S. 509
21 (1982). To exhaust state remedies, the petitioner must "fairly present" his claims to the
22 state's highest court in a procedurally appropriate manner. *O'Sullivan v. Boerckel*, 526
23 U.S. 838, 848 (1999).

24 A claim is fairly presented if the petitioner has described the operative facts and
25 the federal legal theory on which his claim is based. *Anderson v. Harless*, 459 U.S. 4, 6
26 (1982); *Picard v. Connor*, 404 U.S. 270, 277–78 (1971). A petitioner must clearly alert

27
28 ⁴ Petitioner's challenge to the constitutionality of AEDPA is meritless. *See Crater v. Galaza*, 491 F.3d 1119, 1125–26 (9th Cir. 2007) (holding that AEDPA violates neither the Suspension Clause nor separation of powers).

1 the state court that he is alleging a specific federal constitutional violation. *See Casey v.*
2 *Moore*, 386 F.3d 896, 913 (9th Cir. 2004). He must make the federal basis of the claim
3 explicit either by citing specific provisions of federal law or federal case law, even if the
4 federal basis of a claim is “self-evident,” *Gatlin v. Madding*, 189 F.3d 882, 888 (9th Cir.
5 1999), or by citing state cases that explicitly analyze the same federal constitutional
6 claim, *Peterson v. Lampert*, 319 F.3d 1153, 1158 (9th Cir. 2003) (en banc).

7 In Arizona, there are two procedurally appropriate avenues for petitioners to
8 exhaust federal constitutional claims: direct appeal and PCR proceedings. Rule 32 of the
9 Arizona Rules of Criminal Procedure governs PCR proceedings and provides that a
10 petitioner is precluded from relief on any claim that could have been raised on appeal or
11 in a prior PCR petition. Ariz. R. Crim. P. 32.2(a)(3). The preclusive effect of Rule 32.2(a)
12 may be avoided only if a claim falls within certain exceptions and the petitioner can
13 justify his omission of the claim from a prior petition or his failure to present the claim in
14 a timely manner. *See* Ariz. R. Crim. P. 32.1(d)–(h), 32.2(b), 32.4(a).

15 A habeas petitioner’s claims may be precluded from federal review in two ways.
16 First, a claim may be procedurally defaulted in federal court if it was actually raised in
17 state court but found by that court to be defaulted on state procedural grounds. *Coleman*,
18 501 U.S. at 729–30. Second, a claim may be procedurally defaulted if the petitioner failed
19 to present it in state court and “the court to which the petitioner would be required to
20 present his claims in order to meet the exhaustion requirement would now find the claims
21 procedurally barred.” *Id.* at 735 n.1; *see also Ortiz v. Stewart*, 149 F.3d 923, 931 (9th Cir.
22 1998) (explaining district court must consider whether the claim could be pursued by any
23 presently available state remedy). Therefore, in the present case, if there are claims that
24 were not raised previously in state court, the Court must determine whether Petitioner has
25 state remedies currently available to him pursuant to Rule 32. *See Ortiz*, 149 F.3d at 931.
26 If no remedies are currently available, Petitioner’s claims are “technically” exhausted but
27 procedurally defaulted. *Coleman*, 501 U.S. at 732, 735 n.1.

28 If there are claims that were fairly presented in state court but found defaulted on
state procedural grounds, such claims will be found procedurally defaulted in federal

1 court so long as the state procedural bar was independent of federal law and adequate to
2 warrant preclusion of federal review. *See Harris v. Reed*, 489 U.S. 255, 262 (1989). It is
3 well established that Arizona’s preclusion rule is independent of federal law, *see Stewart*
4 *v. Smith*, 536 U.S. 856, 860 (2002), and the Ninth Circuit has repeatedly determined that
5 Arizona regularly and consistently applies its procedural default rules such that they are
6 an adequate bar to federal review of a claim. *See Hurles v. Ryan*, 752 F.3d 768, 780 (9th
7 Cir.), *cert. denied*, 135 S. Ct. 710 (2014) (Arizona’s waiver rules are independent and
8 adequate bases for denying relief); *Ortiz*, 149 F.3d at 932 (Rule 32.2(a)(3) regularly
9 followed and adequate); *Poland v. Stewart*, 117 F.3d 1094, 1106 (9th Cir. 1997) (finding
10 Arizona not “irregular” in application of procedural default rules); *Martinez-Villareal v.*
11 *Lewis*, 80 F.3d 1301, 1306 (9th Cir. 1996) (same).

12 Nonetheless, because the doctrine of procedural default is based on comity, not
13 jurisdiction, federal courts retain the power to consider the merits of procedurally
14 defaulted claims. *Reed v. Ross*, 468 U.S. 1, 9 (1984). As a general matter, however, the
15 Court will not review the merits of a procedurally defaulted claim unless the petitioner
16 demonstrates legitimate cause for his failure to exhaust the claim in state court and
17 prejudice from the alleged constitutional violation, or shows that a fundamental
18 miscarriage of justice would result if the claim were not heard on the merits in federal
19 court. *Coleman*, 501 U.S. at 750.

20 Generally, “cause” for a procedural default exists if a petitioner can demonstrate
21 that “some objective factor external to the defense impeded counsel’s efforts to comply
22 with the State’s procedural rule.” *Murray v. Carrier*, 477 U.S. 478, 488 (1986); *accord*
23 *Coleman*, 501 U.S. at 753. “Prejudice” is actual harm resulting from the alleged
24 constitutional error or violation. *Vickers v. Stewart*, 144 F.3d 613, 617 (9th Cir. 1998). To
25 establish prejudice resulting from a procedural default, a petitioner bears the burden of
26 showing not merely that the errors at his trial were possibly prejudicial, but that they
27 worked to his actual and substantial disadvantage, infecting his entire trial with errors of
28 constitutional dimension. *United States v. Frady*, 456 U.S. 152, 170 (1982).

1 Because the acts of a petitioner’s counsel are not external to the defense, they are
2 generally attributable to the petitioner, and negligence, ignorance, or inadvertence on
3 counsel’s part does not qualify as “cause.” *Coleman*, 501 U.S. at 752–54 (citing *Carrier*,
4 477 U.S. at 488). However, where the ineffective assistance of counsel amounts to an
5 independent constitutional violation, it can establish cause. *Id.* at 753–54; *Ortiz*, 149 F.3d
6 at 932.

7 Because “[t]here is no constitutional right to an attorney in state post-conviction
8 proceedings . . . a petitioner cannot claim constitutionally ineffective assistance of
9 counsel in such proceedings.” *Coleman*, 501 U.S. at 752 (internal citations omitted).
10 Consequently, any ineffectiveness of PCR counsel will ordinarily not establish cause to
11 excuse a procedural default. The Supreme Court, however, has recognized a “narrow
12 exception” to *Coleman*’s procedural default principle: “inadequate assistance of counsel
13 at initial-review collateral proceedings may establish cause for a prisoner’s procedural
14 default of a claim of ineffective assistance at trial.” *Martinez v. Ryan*, 566 U.S. 1, 9
15 (2012). The Supreme Court has declined to extend the *Martinez* exception to claims of
16 ineffective assistance of appellate counsel. *Davila v. Davis*, --- U.S. ---, 137 S. Ct. 2058,
17 2062–2063 (2017).

18 Under *Martinez* a petitioner may establish cause for the procedural default of an
19 ineffective assistance of trial counsel claim “by demonstrating two things: (1) ‘counsel in
20 the initial-review collateral proceeding, where the claim should have been raised, was
21 ineffective under the standards of *Strickland v. Washington*, 466 U.S. 668 . . . (1984),’
22 and (2) ‘the underlying ineffective-assistance-of-trial-counsel claim is a substantial one,
23 which is to say that the prisoner must demonstrate that the claim has some merit.’” *Cook*
24 *v. Ryan*, 688 F.3d 598, 607 (9th Cir. 2012) (quoting *Martinez*, 566 U.S. at 14); *accord*
25 *Clabourne v. Ryan*, 745 F.3d 362, 377 (9th Cir. 2014), *overruled on other grounds by*
26 *McKinney v. Ryan*, 813 F.3d 798 (9th Cir. 2015); *Dickens v. Ryan*, 740 F.3d 1302, 1319–
27 20 (9th Cir. 2014) (en banc); *Detrich v. Ryan*, 740 F.3d 1237 (9th Cir. 2013) (en banc);
28 *Sexton v. Cozner*, 679 F.3d 1150, 1157 (9th Cir. 2012).

1 The court examines the question of whether an ineffective assistance of counsel
2 claim is substantial under the standard stated in *Strickland*, 466 U.S. 668. Petitioner must
3 show that “counsel made errors so serious that counsel was not functioning as the
4 ‘counsel’ guaranteed the defendant by the Sixth Amendment,” and that counsel’s errors
5 “deprive[d] the defendant of a fair trial, a trial whose result is reliable.” *Id.* at 687.
6 Additionally, not just any error or omission of counsel will be deemed “deficient
7 performance” that will satisfy *Martinez*; if post-conviction counsel “in the initial-review
8 collateral proceeding did not perform below constitutional standards,” that attorney’s
9 performance does not constitute “cause.” 566 U.S. at 15–16. Most notably, counsel “is
10 not necessarily ineffective for failing to raise even a *non-frivolous* claim,” much less a
11 frivolous claim. *Sexton*, 679 F.3d at 1157 (emphasis added). A court need not address
12 both components of the inquiry, or follow any particular order in assessing deficiency and
13 prejudice. *Strickland*, 466 U.S. at 697. If it is easier to dispose of a claim on just one of
14 the components, then that course should be taken. *Id.*

15 Finally, a federal habeas court may reject a claim on the merits without reaching
16 the question of exhaustion. *See* 28 U.S.C. § 2254(b)(2) (“An application for a writ of
17 habeas corpus may be denied on the merits, notwithstanding the failure of the applicant to
18 exhaust the remedies available in the courts of the State.”); *Rhines v. Weber*, 544 U.S.
19 269, 277 (2005) (a stay is inappropriate in federal court to allow claims to be raised in
20 state court if they are subject to dismissal under § 2254(b)(2) as “plainly meritless”);
21 *Cassett v. Stewart*, 406 F.3d 614, 623-24 (9th Cir. 2005) (holding that a federal court may
22 deny an unexhausted petition on the merits when the petition does not raise a colorable
23 federal claim).

24 **II. STANDARD FOR HABEAS RELIEF**

25 Under AEDPA, this Court may not grant a writ of habeas corpus to a state
26 prisoner on a claim adjudicated on the merits in state court proceedings unless the state
27 court’s adjudication of the claim “resulted in a decision that was contrary to, or involved
28 an unreasonable application of, clearly established Federal law, as determined by the
Supreme Court of the United States,” § 2254(d)(1), or “was based on an unreasonable

1 determination of the facts in light of the evidence presented in the State court
2 proceeding,” § 2254(d)(2).

3 To determine whether a state court ruling was “contrary to” or involved an
4 “unreasonable application” of federal law under subsection (d)(1), the Court must first
5 identify the “clearly established Federal law,” if any, that governs the sufficiency of the
6 claims on habeas review. “Clearly established” federal law consists of the holdings of the
7 United States Supreme Court which existed at the time the petitioner’s state court
8 conviction became final. *Williams v. Taylor*, 529 U.S. 362, 412 (2000); *Harrington v.*
9 *Richter*, 562 U.S. 86, 102 (2011) (citing *Renico v. Lett*, 559 U.S. 766, 778–79 (2010));
10 *see Carey v. Musladin*, 549 U.S. 70, 76-77 (2006). Habeas relief cannot be granted if the
11 Supreme Court has not “broken sufficient legal ground” on a constitutional principle
12 advanced by a petitioner, even if lower federal courts have decided the issue. *Williams*,
13 529 U.S. at 381; *see Musladin*, 549 U.S. at 77. Nevertheless, while only Supreme Court
14 authority is binding, circuit court precedent may be “persuasive” in determining what law
15 is clearly established and whether a state court applied that law unreasonably. *Clark v.*
16 *Murphy*, 331 F.3d 1062, 1069 (9th Cir. 2003), *overruled on other grounds by Lockyer v.*
17 *Andrade*, 538 U.S. 63 (2003).

18 Under the “unreasonable application” prong of § 2254(d)(1), a federal habeas
19 court may grant relief where a state court “identifies the correct governing legal rule from
20 [the Supreme] Court’s cases but unreasonably applies it to the facts of the particular . . .
21 case” or “unreasonably extends a legal principle from [Supreme Court] precedent to a
22 new context where it should not apply or unreasonably refuses to extend that principle to
23 a new context where it should apply.” *Williams*, 529 U.S. at 407. For a federal court to
24 find a state court’s application of Supreme Court precedent “unreasonable” under §
25 2254(d)(1), the petitioner must show that the state court’s decision was not merely
26 incorrect or erroneous, but “objectively unreasonable.” *Id.* at 409; *Woodford v. Visciotti*,
27 537 U.S. 19, 25 (2002).

28 The Supreme Court has emphasized that “an *unreasonable* application of federal
law is different from an *incorrect* application of federal law.” *Williams*, 529 U.S. at 410

1 (emphasis in original). Under AEDPA, “[a] state court’s determination that a claim lacks
2 merit precludes federal habeas relief so long as ‘fairminded jurists could disagree’ on the
3 correctness of the state court’s decision.” *Richter*, 562 U.S. at 101. Accordingly, to obtain
4 habeas relief from this Court, Petitioner “must show that the state court’s ruling on the
5 claim being presented in federal court was so lacking in justification that there was an
6 error well understood and comprehended in existing law beyond any possibility for
7 fairminded disagreement.” *Id.* at 103; *see Frost v. Pryor*, 749 F.3d 1212, 1225–
8 1226 (10th Cir. 2014) (“[I]f all fairminded jurists would agree the state court decision
9 was incorrect, then it was unreasonable. . . . If, however, some fairminded jurists could
10 possibly agree with the state court decision, then it was not unreasonable and the writ
11 should be denied.”).

12 With respect to § 2254(d)(2), a state court decision “based on a factual
13 determination will not be overturned on factual grounds unless objectively unreasonable
14 in light of the evidence presented in the state-court proceeding.” *Miller-El v. Cockrell*,
15 537 U.S. 322, 340 (2003). A “state-court factual determination is not unreasonable
16 merely because the federal habeas court would have reached a different conclusion in the
17 first instance.” *Wood v. Allen*, 558 U.S. 290, 301 (2010). Even if “[r]easonable minds
18 reviewing the record might disagree” about the finding in question, “on habeas review
19 that does not suffice to supersede the trial court’s . . . determination.” *Rice v. Collins*, 546
20 U.S. 333, 341–342 (2006); *see Hurles*, 752 F.3d at 778 (explaining that on habeas review
21 a court “cannot find that the state court made an unreasonable determination of the facts
22 in this case simply because [the court] would reverse in similar circumstances if th[e]
23 case came before [it] on direct appeal”).

24 As the Ninth Circuit has explained, to find that a factual determination is
25 unreasonable under § 2254(d)(2), the court must be “convinced that an appellate panel,
26 applying the normal standards of appellate review, could not reasonably conclude that the
27 finding is supported by the record.” *Taylor v. Maddox*, 366 F.3d 992, 1000 (9th Cir.
28 2004), *abrogated on other grounds by Murray v. Schriro*, 745 F.3d 984, 1000 (9th Cir.
2014). “This is a daunting standard—one that will be satisfied in relatively few cases.”

1 *Id.*

2 The prisoner bears the burden of rebutting the state court’s factual findings “by
3 clear and convincing evidence.” § 2254(e)(1). The Supreme Court has not defined the
4 precise relationship between § 2254(d)(2) and § 2254(e)(1), but has clarified “that a state-
5 court factual determination is not unreasonable merely because the federal habeas court
6 would have reached a different conclusion in the first instance.” *See Burt v. Titlow*, 571
7 U.S. 12, ---, 134 S. Ct. 10, 15 (2013) (citing *Wood*, 558 U.S. at 293, 301).

8 Significantly, “review under § 2254(d)(1) is limited to the record that was before
9 the state court that adjudicated the claim on the merits.” *Cullen v. Pinholster*, 563 U.S.
10 170, 180 (2011); *see Murray*, 745 F.3d at 998 (“Along with the significant deference
11 AEDPA requires us to afford state courts’ decisions, AEDPA also restricts the scope of
12 the evidence that we can rely on in the normal course of discharging our responsibilities
13 under § 2254(d)(1).”). The Ninth Circuit has observed that “*Pinholster* and the statutory
14 text make clear that this evidentiary limitation is applicable to § 2254(d)(2) claims as
15 well.” *Gulbrandson v. Ryan*, 738 F.3d 976, 993 n. 6 (9th Cir. 2013) (citing § 2254(d)(2)
16 and *Pinholster*, 563 U.S. at 185 n. 7). Therefore, as the court explained in *Gulbrandson*:

17 [F]or claims that were adjudicated on the merits in state court, petitioners
18 can rely only on the record before the state court in order to satisfy the
19 requirements of § 2254(d). This effectively precludes federal evidentiary
20 hearings for such claims because the evidence adduced during habeas
proceedings in federal court could not be considered in evaluating whether
the claim meets the requirements of § 2254(d).

21 *Id.* at 993–94.

22 **III. INEFFECTIVE ASSISTANCE OF COUNSEL**

23 Claims of ineffective assistance of counsel (“IAC”) are governed by the principles
24 set forth in *Strickland*, 466 U.S. 668. To prevail under *Strickland*, a petitioner must show
25 that counsel’s representation fell below an objective standard of reasonableness and that
26 the deficiency prejudiced the defense. *Id.* at 687–88.

27 To satisfy *Strickland*’s first prong, a defendant “must overcome the presumption
28 that, under the circumstances, the challenged action ‘might be considered sound trial

1 strategy.’” *Id.* at 689. With respect to *Strickland*’s second prong, a petitioner must
2 affirmatively prove prejudice by “show[ing] that there is a reasonable probability that,
3 but for counsel’s unprofessional errors, the result of the proceeding would have been
4 different. A reasonable probability is a probability sufficient to undermine confidence in
5 the outcome.” *Id.* at 694.

6 The inquiry under *Strickland* is highly deferential, and “every effort [must] be
7 made to eliminate the distorting effects of hindsight, to reconstruct the circumstances of
8 counsel’s challenged conduct, and to evaluate the conduct from counsel’s perspective at
9 the time.” *Id.* at 689; *see also Padilla v. Kentucky*, 559 U.S. 356, 371 (2010)
10 (“Surmounting *Strickland*’s high bar is never an easy task.”); *Cox v. Ayers*, 613 F.3d 883,
11 893 (9th Cir. 2010). When the standards created by *Strickland* and § 2254(d) apply in
12 tandem, review is “doubly” deferential. *Richter*, 562 U.S. at 105 (citations and quotations
13 omitted). “[T]he question is not whether counsel’s actions were reasonable . . . [but]
14 whether there is any reasonable argument that counsel satisfied *Strickland*’s deferential
15 standard.” *Id.* “[E]ven when a court is presented with an ineffective-assistance claim not
16 subject to § 2254(d)(1) deference, a defendant must overcome the ‘presumption that,
17 under the circumstances, the challenged action might be considered sound trial strategy.’”
18 *Bell v. Cone*, 535 U.S. 685, 698 (2002) (quoting *Strickland*, 466 U.S. at 689) (internal
19 quotations omitted).

20 DISCUSSION

21 Petitioner sets forth twenty-seven claims in his habeas petition, along with
22 numerous sub-claims. (Doc. 28.) Respondents concede that eighteen of the claims are
23 properly exhausted in whole or in part. They contend that Claims 1, 5(B), 7–8, and 21–26
24 are procedurally barred. In his motion for evidentiary development Petitioner seeks
25 expansion of the record, discovery, and an evidentiary hearing on Claims 1 and 2. (Doc.
26 38.)

27 I. CLAIMS 1 AND 2

28 In Claim 1, Petitioner alleges that counsel performed ineffectively at sentencing by
presenting a denial of responsibility defense which was logically inconsistent with the

1 theory of mitigation, failing to advise Petitioner regarding the risk of such a defense for
2 the sentencing phase, choosing to forego investigation into Petitioner's mental state at the
3 time of the offense, and failing to sufficiently investigate and present all reasonably
4 available mitigation evidence, or to explain the significance of such evidence. (Doc. 28 at
5 147–161.) Petitioner asserts this claim is unexhausted and procedurally defaulted, but
6 urges the Court to find that the deficient performance of PCR counsel establishes cause to
7 excuse the default under *Martinez*. Petitioner further asserts *Martinez* entitles him to
8 develop and present evidence to prove this claim against trial counsel and PCR counsel.
9 Petitioner moves this Court for an evidentiary hearing and evidentiary development of
10 Claim 1. (Doc. 38.)

11 In Claim 2, Petitioner asserts that the state court's summary denial of his claim
12 that sentencing counsel performed ineffectively by failing to investigate and present
13 mitigation evidence during the penalty phase constituted an unreasonable application of
14 clearly established federal law and was based on an unreasonable determination of facts
15 under § 2254(d)(1) and (2). (Doc. 28 at 189–199.) Petitioner asserts that he is entitled to
16 de novo review and an evidentiary hearing on this claim. (Doc. 38 at 51.) Petitioner seeks
17 to present the witnesses and evidence proffered in the state court proceedings, and
18 requests leave to conduct the depositions of trial counsel prior to an evidentiary hearing.
19 (*Id.*) Respondents argue that evidentiary development is foreclosed under *Pinholster*
20 because the state court addressed the claim on the merits. (Doc. 42 at 2–3.)

21 A. Factual and Procedural Background

22 **1. Mitigation Investigation and Presentation**

23 On July 29, 2003, the Pima County Public Defender, initially appointed to
24 represent Petitioner, moved to withdraw, citing Petitioner's dissatisfaction and his desire
25 to be represented by attorney Brick Storts. (ROA 33.)⁵ The trial court granted the motion

26
27 ⁵ “ROA” refers to the 17-volume record on appeal from trial and sentencing. “RT”
28 refers to the reporter's transcripts from Petitioner's state court proceedings. “APP” refers
to the record on appeal from direct review to the Arizona Supreme Court. The original
transcripts and certified copies of the state trial and post-conviction records on appeal
were provided to this Court by the Arizona Supreme Court on May 29, 2015.

1 and appointed Storts and attorney David Basham to represent Petitioner. (ROA 36.)
2 Counsel assured the court that the defense team met all the qualifications for defense
3 counsel in death penalty cases, as specified in the American Bar Association Guidelines
4 for the Appointment and Performance of Defense Counsel in Death Penalty Cases, with
5 the exception of obtaining a mitigation expert, but would “examine the propriety of
6 obtaining a mitigation expert to be involved in the representation of the defendant at such
7 time as same becomes necessary and/or appropriate.” (ROA 40.) Subsequently, counsel
8 filed motions requesting the appointment of mitigation specialist Mary Durand. (ROA 48,
9 76.) Durand indicated in her declaration that the case could require up to 1500 hours, but
10 counsel advised the court that the defense team would work to minimize those hours.
11 (ROA 76.) On October 6, 2003, the trial court appointed Durand as mitigation specialist
12 and authorized the first 800 hours of mitigation investigation. (ROA 84; RT 10/06/03 at
13 42.) On October 27, 2003, the court reaffirmed Durand’s appointment and set a trial date
14 of September 7, 2004. (ROA 109.)

15 At a pre-trial conference on February 2, 2004, counsel represented that he had not
16 received anything from his mitigation expert, and at counsel’s request, the trial court
17 ordered the defense to provide the mitigation report to the prosecutor by April 30, 2004.
18 (RT 2/2/04 at 26–27; ROA 172 at 3.)

19 At a hearing on March 22, 2004, counsel expressed to the trial court his frustration
20 with Durand in regard to the April 30 deadline for mitigation disclosure. (RT 3/22/04 at
21 40–41.) Counsel suggested, and the court agreed, that it would be good to hear from
22 Durand directly at the next scheduled hearing. (*Id.*) Before that hearing occurred,
23 Petitioner provided notice to the court that he would be exercising his right to a speedy
24 trial, while simultaneously seeking to continue the trial date from September 7 to mid-
25 October, recognizing that “all of the required mitigation testimony with the related
26 witnesses cannot be available” by September 7, 2004. (ROA 206.) Petitioner explained
27 that his request for a speedy trial was being made in conjunction with his previously filed
28 motion for change of venue. (*Id.*)

1 Petitioner then filed a motion for a continuance of trial, explaining the continuance
2 was necessary to obtain the necessary mitigation. (ROA 207.) Petitioner anticipated that
3 he would be able to supply the majority of mitigation disclosure by June 18, 2004. (*Id.*)
4 In his reply, Petitioner explained his strategy for the apparently inconsistent motions:

5 The Defendant has made it clear that if the motion for change of venue is
6 denied and the efforts to select a jury within Pima County are unsuccessful,
7 the Defendant will not agree to an extended continuance in order to seek an
8 out-of-county venue for purposes of trial. That is, if a fair and impartial
9 Pima County Jury cannot be selected, the Defendant will not agree to a
10 continuance of unreasonable duration in order to allow the court to secure
11 the facilities for an out-of-county trial. . . . [T]he Defendant has made his
12 position clear that he is unwilling to “give it a try” here in Pima county and
13 then delay the trial in order to secure an out-of-county courtroom once it
14 becomes apparent, which it will, that a fair and impartial Pima County Jury
15 cannot be selected.

16 (ROA 214 at 4.)

17 At the hearing held on April 26, 2004, Durand asserted that she could not comply
18 with constitutional mitigation requirements under the June 30 mitigation disclosure
19 deadline, explaining that she usually has eighteen months to complete a mitigation
20 investigation, and that she thought the trial should be set for April, 2005. (RT 4/26/04 at
21 58–61, 66–67.) Storts informed the court that he had explained to Durand that an April
22 2005 trial was not acceptable. (*Id.* at 62.) The court reset the trial to November 16, 2004,
23 with a mitigation disclosure deadline of July 16, 2004. (ROA 219 at 2.)

24 On June 7, 2004, Storts informed the court that he would be prepared to make
25 mitigation disclosure by the July 16 deadline, and try the case in November. (RT 6/7/04
26 at 15–16.) On July 16, 2004, Petitioner filed his mitigation disclosure, disclosing six
27 expert witnesses, and numerous family members and others familiar with Petitioner.
28 (ROA 240.)

 At the next status conference on July 26, 2004, Storts informed the court that
Durand had been fired, and that the defense team had put together the mitigation in three
weeks. (RT 7/26/04 at 21.) Storts conveyed that Petitioner was “more than satisfied” with
the mitigation efforts, and that “[f]or the record,” since May 1996, he had tried “34 first

1 degree murder cases. Twelve of them were death cases. I have nobody on death row.”
2 (*Id.* at 22.) He represented that Basham, had tried “at least, I don’t know, ten or twelve
3 death penalty cases” in which he was involved in the mitigation aspects “from start to
4 finish.” (*Id.*) Storts explained to the court that the idea of mitigation investigation in a
5 capital case taking up to a year and a half “just doesn’t make a whole lot of sense.” (*Id.* at
6 23.)

7 Thereafter, the State moved to continue the trial based on insufficiencies in the
8 mitigation disclosure. (ROA 251.) At a hearing on the motion, Basham admitted that their
9 experts had not completed their work. (RT 8/12/04 at 16, 18.) The court set a new
10 disclosure deadline for September 17, 2004, and a new trial date of January 19, 2005. At
11 a second status conference on October 4, 2004, Basham again admitted that he had not
12 fulfilled his disclosure obligations, and the court set a new disclosure deadline of
13 November 1. (RT 10/4/04 at 17.)

14 The jury returned a guilty verdict on March 10, 2005. During the next phase of
15 trial, the penalty phase, defense counsel presented testimony from eleven lay and five
16 expert witnesses. First, defense counsel presented testimony from family members and
17 others who knew Petitioner. Father Ricardo Ford, a Catholic priest who knew Petitioner’s
18 family, though his recollection was “pretty foggy,” testified that Petitioner grew up in
19 Barrio Hollywood, a neighborhood with a lot of drug problems. (RT 3/1/05 at 54–55, 58–
20 59, 63.) Ford recalled Petitioner as a “stressed out” little boy, and described Petitioner’s
21 mother’s family as “constantly pretty dysfunctional.” (*Id.* at 63, 67). Ford was aware that
22 Petitioner’s maternal uncles had serious drug addiction problems and that Petitioner’s
23 mother had been abused by her father and was an extremely nervous person. (*Id.* at 61,
24 65). He described Petitioner’s mother as very motivated, likeable and a respected nurse,
25 who had good values which she would have passed on to her son, though she had big
26 problems and may have been overdoing medication. (*Id.* at 65, 71–72.)

27 Petitioner’s mother, Julie Lingenfelter, testified she was sexually abused at the
28 hands of her own father from a very young age, until she was 15 years old. (RT 3/1/05 at
80, 82, 84.) She developed anorexia and bulimia when she was 10 to 11 years old and

1 attempted to commit suicide three times by age 15. (*Id.* at 82, 92.) Petitioner’s mother
2 was also physically and emotionally abused by Petitioner’s father, John Cruz, Sr. (*Id.* at
3 97–98, 100–101, 120.) Petitioner witnessed this abuse and was also a victim; Petitioner’s
4 mother recalled an incident when Petitioner was eight years old and his father hit him
5 “like 60 to 80 times” with a belt. (*Id.* at 102–04.) Petitioner’s mother was later diagnosed
6 with PTSD and bipolar disorder. (*Id.* at 106.) Although Petitioner’s mother was close to
7 Petitioner when he was a baby, when he got bigger she “didn’t really touch him, hug him,
8 kiss him, hold him as much as a mother should have.” (*Id.* at 92.) Petitioner’s parents
9 eventually divorced, and Petitioner’s mother allowed Petitioner’s father to have custody
10 “with the condition he would not beat him.” (RT 03/01/05 at 110, 121.) When Petitioner
11 was 13 years old, Petitioner’s father remarried a 15- or 16-year-old girl who locked
12 Petitioner out of the house and did other abusive things to Petitioner. (*Id.* at 110–11.)
13 When Petitioner was 15 years old his father suffered a brain aneurysm and died. (*Id.* at
14 112.) Petitioner was very depressed and his grades declined. (*Id.* at 115.) Petitioner’s
15 mother sent him to a psychologist, but it didn’t really help him. (*Id.*)

16 Petitioner’s mother married Steve Lingenfelter in 1986 in Los Angeles, California.
17 (RT 03/01/05 at 109.) Petitioner’s mother agreed that she and Steve were willing to give
18 Petitioner a home and provide him with anything he wanted. (*Id.* at 123.) After living
19 with his father for three years, Petitioner moved to California to live with his mother and
20 Steve. (*Id.* at 111.) He lived with them until he was 17 years old. (*Id.* at 117.) Petitioner’s
21 mother testified that Petitioner wanted to return to Tucson, so Petitioner was sent to live
22 with his maternal grandmother in Tucson. (*Id.*) Petitioner’s mother acknowledged that
23 Petitioner’s adult uncles, Eddie and Luis, who had alcohol and drug problems, also lived
24 with his grandmother. (*Id.* at 85–87, 118.)

25 Susan Alcaraz, Petitioner’s maternal aunt, testified that she cared for Petitioner
26 when his mother worked, at times for days, weeks, and months. (*Id.* at 136.) Susan
27 Alcaraz testified that Petitioner’s mother “had a lot of problems” and “couldn’t express
28 and show him . . . love”; she never saw any evidence of physical abuse of Petitioner or
his mother, although his father was verbally abusive. (*Id.* at 137, 145, 149–50.) After his

1 father died, Petitioner changed and “started doing marijuana, and so he was a little bit
2 reckless.” (*Id.* at 145.)

3 Albert Montenegro, Jr., Petitioner’s cousin on his mother’s side of his family,
4 testified that he and Petitioner went to high school together and drank alcohol and used
5 drugs, including marijuana, cocaine, acid, and mushrooms. (RT 3/02/05 at 145, 148.)
6 Albert testified that Petitioner changed after his father died, becoming isolated and
7 staying inside, not wanting to talk to anybody “for years.” (*Id.* at 148–49.) Albert testified
8 Petitioner had been using meth at the time of the offense, and that Petitioner would get
9 paranoid when he used meth. (*Id.* at 150.)

10 Joe Cruz, Petitioner’s paternal uncle, testified that he witnessed no verbal or
11 physical abuse between Petitioner’s mother and father, although his brother was kind of a
12 “prankster,” not knowing when to stop, and upsetting Petitioner’s mother. (RT 3/02/05 at
13 19–20, 31.) Joe was not aware of any abuse of Petitioner by his father, and thought
14 Petitioner’s mother treated Petitioner well. (*Id.* at 32.) Joe Cruz testified that after
15 Petitioner’s father re-married, Petitioner’s step-mother “would do cruel things” to
16 Petitioner. (*Id.* at 37.) Joe Cruz agreed that Petitioner’s mother and father treated
17 Petitioner well (*id.* at 32), but testified that it was hard on Petitioner to lose his father, and
18 that he wished Petitioner could have lived with the Cruz side of the family. (*Id.* at 24, 27,
19 32.) Since Petitioner’s mother had custody, however, the Cruz family had to respect her
20 wishes to have Petitioner live with his grandmother Montenegro in Barrio Hollywood, a
21 neighborhood that “had a reputation of gangs and drug activity.” (*Id.* at 26–27.)

22 Delia Cruz, Petitioner’s paternal aunt, testified that Petitioner’s mother had
23 problems and was not really there for Petitioner “[p]hysically or mentally,” but agreed
24 that his mother showed and shared love with him, and tried to instill family values in him.
25 (RT 3/02/05 at 42–43, 58.) In contrast, Petitioner’s stepmother was an insecure teenage
26 bride with bipolar disorder, and “wasn’t that much of a loving person” towards Petitioner.
27 (*Id.* at 45, 59.) Delia also described Petitioner’s father as a prankster, but agreed that he
28 was a loving father to his son, showed him affection and provided for him, and had good
values that he would have tried to impart to his son. (*Id.* at 41, 56–57.) Delia testified that

1 Petitioner was filled with “so much pain and devastation and . . . just emptiness”
2 following the death of his father. (RT 3/02/05 at 46.)

3 Romelia Cruz Holguin, Petitioner’s paternal aunt, testified that Petitioner’s mother
4 was not “very comforting” and his stepmother “didn’t like [Petitioner] very much” and
5 would repeatedly lock him outside of the house, but had no evidence corroborating the
6 allegations that Petitioner’s father was abusive. (RT 3/02/05 at 83, 85.) Holguin was
7 aware that the Montenegros “partied a lot,” and she suspected Petitioner used drugs. (*Id.*
8 at 87, 92.) She recalled that Petitioner had a lot of problems in school. (*Id.* at 94.) Holguin
9 described an occasion in May 2003 when Petitioner came to her house and appeared
10 “nervous and edgy” and “paranoid,” and was limping after jumping out a window to
11 avoid the police. (*Id.* at 94–96.)

12 Tara White, Petitioner’s wife, testified that she married Petitioner in 1996, and
13 their son was born in 2000. (RT 3/02/05 at 107–08.) White testified that she and
14 Petitioner smoked marijuana, and Petitioner used cocaine. (*Id.* at 111.) Petitioner had
15 mood swings and was sad and depressed. (*Id.* at 112.) A few months after they were
16 married, Petitioner was arrested in Illinois for a marijuana offense. (*Id.* at 113.) After
17 Petitioner’s release from prison in 1998, the couple moved to Zuni, New Mexico, where
18 Petitioner helped to run the White family businesses. (*Id.* at 115–16.) In 2001, Petitioner
19 started using drugs and “went nuts.” (*Id.* at 117–18, 129.) Petitioner’s use of drugs caused
20 the marriage to disintegrate, and White and Petitioner mutually agreed he should leave.
21 (*Id.* at 130, 132.) Petitioner moved back to Tucson, leaving White and their eight-month-
22 old son. (*Id.* at 129–30.)

23 Lora Galioto, the mother of one of Cruz’s children, also testified. (RT 3/03/05 at
24 119.) Galioto testified that, during high school, Petitioner lived with his grandmother,
25 used pot and LSD, and dropped out of school. (*Id.* at 122, 124.) Galioto recalled that the
26 members of his grandmother’s household used drugs, mostly cocaine, while Petitioner
27 lived there. (*Id.* at 125–26.) Even after he moved out of the home, he maintained contact
28 with his drug-using uncles. (*Id.* at 129.) Galioto testified Petitioner’s drug use resulted in
his decline and culminated in the termination of their relationship. (*Id.* at 130–31.)

1 In addition to the family witnesses, the defense also presented mitigation
2 testimony from Sergeant Sean Stewart and James Maccarelli. Sergeant Stewart, a
3 sergeant with the Corrections Bureau of the Pima County Sheriff's Department, testified
4 that Petitioner's disciplinary record during his pretrial incarceration revealed nothing
5 indicating Petitioner was a threat to other inmates or staff. (RT 3/02/05 at 69.) Maccarelli,
6 Petitioner's neighbor, testified that he was with Petitioner in April or May 2003 when
7 someone shot at them from a car. (RT 03/04/05 at 5–8.) A few days later they were shot
8 at again. (*Id.* at 10.) Maccarelli stated that the police did not respond to the first incident
9 and "never took the call" for the second. (*Id.* at 9, 12.)

10 The defense also presented testimony from a number of expert witnesses: a
11 clinical psychologist (Dr. Hector Barillas), a developmental psychologist (Dr. Laura
12 McCloskey), a specialist in addiction medicine (Dr. Mike Austein), a pharmacologist (Dr.
13 Edward French), and the president of a private correctional consulting firm (James
14 Aiken). (RT 3/3/05 at 5–36, 36–118; RT 3/4/05 at 18–106, 106–36, 136–73.)

15 Dr. Barillas interviewed Petitioner for over five hours, reviewed records, and
16 conducted interviews of family members and Petitioner's ex-wife. (RT 3/3/05 at 37–39.)
17 Dr. Barillas gave Petitioner a variety of tests to assess intelligence and memory, and to
18 determine whether Petitioner had any kind of brain dysfunction. (*Id.* at 40–41.) In writing
19 his report, Dr. Barillas reviewed and relied on a report of neuropsychologist Shannah
20 Biggan. (*Id.* at 40, 47.) Dr. Barillas reported that Dr. Biggan found Petitioner had no
21 serious memory deficits or learning disorders. (*Id.* at 47, 106.)

22 Dr. Barillas assessed Petitioner's history of drug and alcohol abuse as "significant"
23 and "quite extensive," starting in his teens after his father's death, becoming worse after
24 the age of 21, and culminating in his use of drugs just 24 to 48 hours before Officer
25 Hardesty's murder. (RT 3/3/05 at 49–50, 56.) Petitioner's use of LSD was extensive; he
26 also used hallucinogenic mushrooms and marijuana, then later on cocaine, and, after the
27 age of 21, methamphetamine. (*Id.*) Dr. Barillas diagnosed Petitioner with intoxicant
28 abuse disorder. (*Id.* at 51.) Dr. Barillas opined that factors that may have influenced
Petitioner's involvement with drugs included the loss of his father and lack of emotional

1 support from his mother, in addition to negative peer and family influences, specifically
2 from the Montenegro side of Petitioner's family. (*Id.* at 53, 58–59.) Dr. Barillas
3 commented on Petitioner's childhood environment, stating that Petitioner's father was a
4 poor role model, with a history of marital problems and domestic violence, and that the
5 lack of affection Petitioner received from his mother made him feel "pretty abandoned."
6 (*Id.* at 59–61.) Dr. Barillas suggested that Petitioner's poor school performance was
7 attributable to the divorce; there was no clear evidence of learning problems. (*Id.* at 61–
8 62.) Additionally, Dr. Barillas stated that being the object of physical abuse is considered
9 a risk factor for violent recidivism and can contribute to a decision to use drugs. (*Id.* at
10 63.)

11 On cross-examination, Dr. Barillas acknowledged that Petitioner never told him he
12 had been physically abused by his father, that there was some evidence of a close, loving
13 relationship between Petitioner and his father, and that Petitioner's mother was possibly
14 lying about the father's abuse. Dr. Barillas didn't remember if Petitioner reported that
15 there was domestic violence in the home. (*Id.* at 87–89.) Dr. Barillas testified that
16 Petitioner reported that he was emotionally abused by his father and his mother "wasn't
17 there" for him. (*Id.* at 112.)

18 Dr. Barillas also diagnosed Petitioner with PTSD, stemming from incidents in
19 which he was shot at and beaten by people who wanted to kill him. (RT 3/3/05 at 67, 69.)
20 According to Dr. Barillas, Petitioner's PTSD affects the way he perceives events in his
21 life and causes him to overreact in certain situations, a condition which would be
22 exacerbated by the use of drugs, especially stimulants. (*Id.* at 73.) Dr. Barillas explained
23 that Dr. Biggan's report contradicted his findings in part, because, in addition to finding
24 no current evidence of depression, Dr. Biggan also found no evidence of anxiety, and
25 PTSD is an anxiety disorder. (*Id.* at 105–06, 118.) When questioned about Petitioner's
26 ability to conform his conduct to the law, Dr. Barillas testified that he could not
27 specifically render an opinion on Petitioner's state of mind at the time of Officer
28 Hardesty's murder because he never spoke to Petitioner about the crime, but within a

1 larger framework, he opined that Petitioner's ability to conform his conduct to the
2 requirements of the law was impaired. (*Id.* at 83.)

3 Dr. McCloskey, a developmental psychologist, reviewed records, including child
4 custody records from Petitioner's parents' divorce proceedings, interviewed some family
5 members, and spoke with Petitioner the day before she testified. (RT 3/4/05 at 23, 53.)
6 Dr. McCloskey opined that Petitioner was abused psychologically and physically and was
7 neglected from middle childhood on. (*Id.* at 25.) McCloskey further detailed Petitioner's
8 family history of abuse. She testified that the domestic violence in the Cruz household
9 affected all aspects of Petitioner's life:

10 Yes, I think the domestic violence, especially that cloud of that—the kind
11 of horrible shadow of that marriage which was so brutal, you know, this
12 woman was hit all the time. John witnessed it. She was thrown on the
13 ground. She was afraid he was going to kill her and the child at one point. It
14 was—it was chronic and it was severe, and I think it did really at some
15 time—this boy—it stunted his growth. Then the lack of really a functional
16 family for him to go back to after the divorce really solidified I think his—
17 his drug use and problems.

18 (*Id.* at 44.)

19 According to Dr. McCloskey, the point at which Petitioner “snapped” was when
20 his father died. (*Id.* at 34–35.) Dr. McCloskey explained:

21 So John was not in a good situation, but this was a stressor he couldn't
22 possibly cope with. He did not have the natur[al] coping skills for and
23 started doing drugs to—to self-medicate. I think the year his father died he
24 told me he took, I don't know, hundreds of acid trips and this is just really a
25 sign because acid does kind of mimic the state of psychosis. So this is kind
26 of a sign of a child, he's very, very disturbed who wants to escape into
27 another world of—of almost schizophrenia.

28 (*Id.* at 35.)

Dr. Austein, an internal medicine and addiction medicine specialist, did not
interview Petitioner, but reviewed interviews with Petitioner, documents regarding
Petitioner's history, and the reports of Drs. Biggan and Barillas. (RT 3/3/05 at 7–8.) Dr.
Austein testified about general principles of addiction, including that drug addiction

1 causes familial, job, and financial problems, and often leads to crime; many addicts
2 would not be involved in crime if it were not for their addiction. (*Id.* at 16–17.) He
3 testified that drug addiction is often the result of attempts at self-medication; the need to
4 self-medicate can stem from mental illness or lack of self-worth and lack of affection and
5 love from family. (*Id.* at 13–14, 19–20.) Dr. Austein added that amphetamine use in
6 particular is associated with amphetamine psychosis that may lead to violence and
7 paranoia. (*Id.* at 21–22.) After reviewing Petitioner’s records, Dr. Austein classified
8 Petitioner as a drug addict. (*Id.* at 23.) Although Dr. Austein could not specifically opine
9 whether Petitioner was under the influence during the murder, or what role drugs played
10 in his conduct on that day, Petitioner’s drug history combined with the presence of drugs
11 in his system suggested that, at a minimum, he could have been in a “fog” at the time of
12 the murder as a result of his habitual drug use. (*Id.* at 33–35.)

13 Dr. French, a pharmacologist, testified regarding the effects of certain drugs. Dr.
14 French testified that cocaine is a stimulant that induces the “fight or flight” response.
15 Crystal methamphetamine produces similar behavioral effects and also leads to
16 neurotoxicity, meaning it damages brain cells. (RT 3/4/05 at 113–14, 117.) Dr. French
17 reviewed records from Petitioner’s hospitalization after the murder indicating he had a
18 high level of methamphetamine in his system, suggesting he had used within the past two
19 to three days, or even a few hours earlier. Dr. French explained methamphetamine users
20 are “hyper, they can be very jittery. . . [and] restless . . . they can also feel anxious, they
21 can become irritable, they can become impulsive, which can lead to bad things.” (*Id.* at
22 123–24.) Additionally, “the danger with methamphetamine” was that when using the
23 drug, a person might overreact to certain situations. (*Id.* at 128.) Finally, Dr. Austein
24 testified that methamphetamine and cocaine users were at their most dangerous when
25 “starting to crash” from a high, because “they are really irritable, they can get really
26 paranoid and then all of a sudden something can set them off. They can be startled, they
27 can be confronted and they can have unpredictable violence.” (*Id.* at 130.)

28 Aiken, a former warden and correctional consultant, testified that from his review
of Petitioner’s prison and jail records, Petitioner would not be considered predatory,

1 would be categorized as among the least dangerous prisoners, and would remain in strict
2 confinement where he could be effectively managed for the rest of his life. (RT 3/4/05 at
3 137, 152, 157, 161–62.) On cross-examination, Aiken admitted he had testified similarly
4 on behalf of three to four other defendants in the past year or so, and had given similar
5 testimony in favor of a defendant who had assaulted other inmates and a guard. (*Id.* at
6 163.)

7 At the end of the penalty phase, the jury unanimously determined to impose a
8 death sentence. (ROA 630.)

9 **2. Petition for Post-Conviction Relief**

10 Gilbert Levy was appointed to represent Petitioner in state PCR proceedings. (Doc
11 31, Ex. G.) Levy retained DiFrank, the mitigation investigator in Petitioner's case, to
12 investigate mitigation for the post-conviction phase.⁶ DiFrank concluded that significant
13 mitigation investigative tasks not conducted prior to Petitioner's sentencing still needed
14 to be completed. (*See id.*, Ex. L.) Storts and Basham objected to DiFrank's appointment
15 alleging that there was a conflict of interest created by DiFrank's dual role as the original
16 sentencing mitigation investigator and her renewed role in that capacity for the PCR
17 proceedings. (*Id.*, Ex. O.) Levy initially requested a hearing regarding the conflict of
18 interest allegations and filed a motion to conduct the deposition of Storts but he later
19 withdrew his requests. (*Id.*, Exs. O, S, T.)

20 On January 27, 2012, Levy filed an amended PCR petition, alleging that
21 Petitioner's sentencing counsel failed to conduct an adequate mitigation investigation,
22 failed to provide their experts with highly relevant mitigation evidence, failed to present a
23 comprehensive history of drug abuse, failed to show how Petitioner's drug abuse was
24 related to the crime, failed to have mental health experts opine on Petitioner's mental
25 state at the time of the offense, failed to present appropriate expert testimony to explain

26 ⁶ DiFrank was the fact investigator employed by Petitioner's trial team, and took
27 over Durand's responsibilities after Durand was fired. (Doc. 31, Ex. X at Ex. 4.) Though
28 she had no training and experience as a mitigation specialist she was assigned the task of
interviewing mitigation witnesses that Durand failed to interview. (*Id.*) After Petitioner's
sentencing DiFrank received training and several years of work experience as a
mitigation specialist. (*Id.*)

1 the causal connection between Petitioner's social history and the crime, and failed to
2 prevent their client from denying responsibility for the crime in front of the jury at
3 sentencing.⁷ (Doc. 31, Ex. W at 29–35.) Petitioner supported his petition with statements
4 from family members, the declaration of DiFrank, an affidavit from mitigation specialist
5 Teresa McMahonill, and reports from Drs. McCloskey, Barillas, and Cunningham. (Doc.
6 31, Ex. X.)

7 DiFrank explained that her role in the initial mitigation investigation was to
8 interview witnesses and that mitigation records were not shared with her. (Doc. 31, Ex.
9 X: Ex. 4 at ¶5.) DiFrank stated that her ability to identify and develop mitigating factors
10 was severely compromised as a result of her lack of experience, limited responsibilities,
11 lack of coordination with incoming records, and unrealistic time constraints. (*Id.* at 3.)
12 During her investigation in the post-conviction phase she contacted family members,
13 some of whom had not been contacted during the initial mitigation investigation, and
14 obtained information that Petitioner's trial counsel had failed to discover. (Doc. 31, Ex.
15 X: Ex. 4 at ¶ 11, Exs. 20–33, 36–37.)

16 Mitigation specialist McMahonill summarized the new evidence DiFrank gathered
17 that would have been helpful to Petitioner at sentencing:

18 Most significantly with regard to records, the post-conviction relief
19 mitigation specialist retrieved the voluminous mental health file that existed
20 on Mr. Cruz's mother. These records outlined his mother's long history of
21 serious and debilitating mental illness, which undoubtedly adversely
22 affected Mr. Cruz as he was growing up and about which the jury heard
23 very little.

24 From the interviews the . . . mitigation specialist conducted, she learned
25 that Mr. Cruz's father and paternal grandfather were cruel and sadistic; his
26 mother habitually abused drugs when he was a young boy and snorted
27 cocaine in his presence; his maternal grandfather sexually abused Mr.
28 Cruz's cousin (as well as Mr. Cruz's mother); several relatives had serious
29 drug and/or alcohol problems; the extent of the drug dealing and use that

30 ⁷ During sentencing proceedings, Petitioner read a statement in which he denied
31 killing Officer Hardesty, but apologized nonetheless, acknowledging "indirect[]
32 responsibil[ity]" for Hardesty's death because "I ran away. He chased me." (RT 3/8/05 at
33 30.)

1 occurred in Mr. Cruz's maternal grandmother's home—where he frequently
2 lived—was much greater than revealed at trial; a number of relatives
3 suffered from mental illness; Mr. Cruz was physically abused by his
4 mother—not just his father; his mother became very promiscuous after
5 divorcing Mr. Cruz's father, and several relatives were aware of the abuse
6 [of] Mr. Cruz and his mother by his father. At trial, the sole source of
information about this abuse was Mr. Cruz's mother, and her testimony was
discredited because there was no corroboration.

7 (Doc. 31, Ex. X: Ex. 16 at 7–8.) Additionally, interview notes and declarations from
8 family members suggested that the family had experienced instances of police brutality.
9 (*Id.*, Ex. X: Ex. 23–25.)

10 Petitioner also submitted a report from Dr. McCloskey. (Doc. 31, Ex. X: Ex. 38.)
11 Dr. McCloskey reviewed the interviews and statements of Petitioner's family that
12 DiFrank had obtained during post-conviction proceedings. (*Id.* at 2.) Dr. McCloskey
13 opined that the new interviews corroborated earlier reports of domestic violence and
14 abuse of Petitioner by his father. (*Id.*) Dr. McCloskey noted that the “child abuse
15 descriptions in the current report add different perpetrators and different types of
16 maltreatment; the severity and duration are also worse than revealed several years ago.”
17 (*Id.* at 3.) Dr. McCloskey concluded that Petitioner “was abused and abandoned at an
18 early age; he was the victim of physical assaults from his father, stepfather, and maternal
19 uncles, and he was socialized into a world of drug dealing while living with his uncles.”
20 Dr. McCloskey stated that the “extraordinary neglect and abuse explains how
21 [Petitioner's] life became embedded in criminal activities and how violence was the main
22 currency of the world in which he lived. . . . A raft of traumatic events and bad
23 influences compounded to shape John Cruz's development. The choices John Cruz made
24 emanated from this history, and in part were determined by forces well beyond his
25 control for much of his early life.” (*Id.* at 13.)

26 Dr. Barillas reviewed the new information provided to him by PCR counsel and
27 DiFrank, and concluded that the information raised the question of a possible Attention
28 Deficit/Hyperactivity Disorder (ADHD), which was not addressed during the direct
testimony of Dr. McCloskey, and, if found, could make a link between the impulsivity

1 associated with this problem and its high correlation with intoxicant abuse problems in
2 adolescence. (Doc. 31, Ex. X: Ex. 39 at 2.) Dr. Barillas noted that he was not asked about
3 Petitioner's mental state at the time of the offense during his testimony at trial, but was
4 now of the opinion that Petitioner was probably under the influence of cocaine and
5 amphetamine at or shortly before the time of his arrest. (*Id.*) Thus, "his judgment was
6 probably impaired to conform his conduct to the requirement of the law." (*Id.*) Dr.
7 Barillas concluded that Petitioner "had symptoms and conditions that were not self-
8 evident at the time of trial." (*Id.* at 3.)

9 Dr. Mark Cunningham, a clinical and forensic psychologist, analyzed 27 different
10 developmental and environmental risk factors based on the prevailing developmental
11 perspective in 2005 that adult outcome is a function of the interaction and balance of risk
12 factors and protective factors in childhood. Dr. Cunningham explained in his report that
13 as risk factors increase, and protective factors decrease, there is an increasing probability
14 of adult maladjustment, substance abuse and dependence, personality disturbance,
15 delinquency, criminality, and criminal violence. Dr. Cunningham identified several risk
16 factors Petitioner was exposed to as a child, including: (1) transgenerational family
17 dysfunction and hereditary predisposition to psychological disorder, personality
18 pathology, and alcohol and drug abuse and dependence; (2) neurodevelopmental issues
19 including probable fetal substance exposure, learning problems in school, chronic stress
20 in childhood, and head injuries; (3) parenting and family influence characterized by
21 Petitioner's mother's psychological disorders and substance abuse, parental conflict and
22 neglect, domestic violence and family modeling of aggression and crime; and (4)
23 childhood onset of alcohol and drug abuse, teen onset of psychological disorders, and
24 cocaine and methamphetamine abuse, with accompanying paranoia and psychological
25 decompensation at the time of the offense. (Doc. 31, Ex. X: Ex. 41 at 12-13.) Dr.
26 Cunningham explained that the jury was deprived of critical evidence regarding "the
27 logical nexus between the adverse developmental factors in [Petitioner's] background and
28 the capital offenses." (*Id.* at 52.)

1 In response, the State argued that DiFrank's level of experience and skill and
2 overall competency for mitigation work were well documented, and that Petitioner was
3 responsible for any time limitations placed on the investigation and preparation of the
4 case in mitigation. (Doc. 31, Ex. LL at 23.) The State supported these assertions with the
5 affidavits of trial counsel. (*See id.*) Basham represented that he, together with Storts, had
6 handled over 250 homicide cases, including at least 50 capital cases and that "[i]t was the
7 consistent demand of [Petitioner] to exercise his right to a speedy trial." (Doc. 31, Ex.
8 NN: Ex. K at 2, 4.) Storts objected to the petition, stating it contained so many false
9 statements and libelous comments they were too numerous to list, and were "not worthy"
10 of a detailed response. (Doc. 31, Ex. NN: Ex. J at 3–4.)

11 The State also argued that much of the evidence PCR counsel presented was
12 cumulative, and thus counsel was not ineffective for omitting the evidence. The State
13 argued that there was no prejudice because Petitioner could not establish a link between
14 any of the cumulative evidence and Officer Hardesty's murder and because he failed to
15 make a connection between his conduct and any history of substance abuse given that his
16 abilities to reason, make decisions, control his behavior, and engage in acts of self-
17 preservation remained fully intact. (*Id.* at 23–32.) The State also asserted that counsel was
18 not ineffective for omitting evidence of an untreated learning disability and possible
19 diagnosis of ADHD because none of the experts recommended further investigating these
20 possibilities, and Dr. Barillas had testified there was nothing to suggest that Petitioner
21 had a learning disability. (*Id.* at 28.) The State attributed the failure to suggest a
22 possibility of a learning disability or ADHD to both Petitioner and his mother because
23 neither mentioned Petitioner's learning difficulties. (*Id.* at 29.) Additionally, the State
24 argued that the evidence would not have changed the verdict because there was no clear
25 connection between the learning disability and possible ADHD, and the offense. The
26 State also argued that evidence of past instances of police brutality experienced by the
27 family was known to the family, and conceivably known to Petitioner, but not shared
28 with his counsel. Regardless, the State argued it would not have changed the verdict.

1 Without holding an evidentiary hearing, the PCR court dismissed Petitioner's
2 sentencing IAC claim relying extensively on Basham's and Storts' affidavits. (Doc. 31,
3 Ex. RR at 14–15.) The PCR court identified the relevant *Strickland* IAC analysis, and
4 found that counsels' choices in connection with mitigation were reasonable because they
5 were "[f]aced with a client charged with the murder of a police officer in the line of duty,
6 a client who declined to acknowledge responsibility for any action other than 'running
7 away,' and who maintained his right to a speedy trial, coupled with his own knowledge
8 of his background, experiences and family." (*Id.* at 14.) The PCR court had prefaced its
9 ruling by identifying "some of its salient considerations, including a finding that Storts
10 and Basham had handled over 250 homicide cases and at least 50 capital cases, and that
11 Storts engaged DiFrank after terminating Durand because Durand had not completed
12 mitigation and ignored deadlines for disclosure, and because her "apparent method was to
13 take as much as a year and a half on a case and [Petitioner] 'wanted to get on with his
14 trial.'" (*Id.* at 3.) The Court acknowledged DiFrank's declaration which indicated "that
15 she '...did not feel that [she] had sufficient time to complete . . . assignments. . .' and
16 attributed the desire to ' . . . take the case to trial as quickly as possible. . .' to trial defense
17 counsel." (*Id.* at 15.) However, relying on Basham's affidavit, the PCR court rejected
18 DiFrank's conclusion that trial counsel was responsible for rushing the case to trial and
19 found that "[i]n fact, 'It was the consistent demand of Defendant Cruz to exercise his
20 right to a speedy trial.'" (*Id.* at 15.) The PCR court further found:

21 In maintaining his right to a speedy trial, defendant placed himself in the
22 position of cutting short the mitigation investigation, a time constraint of
23 which he now complains. Further, the defendant himself would have been
24 aware of the majority of the newly-discovered facts about his childhood,
25 family history, and abuse at the time of his decision to exercise his right to
a speedy trial. Defendant certainly could have informed trial counsel of the
existence of such evidence.

26 (*Id.* at 15.) The PCR court also found that prejudice was lacking under *Strickland* because
27 Petitioner's new mitigation evidence was cumulative. Additionally, the PCR court found
28 that, even if the evidence was not merely cumulative, "[g]iven the weight to be afforded

1 the ‘missing’ mitigators, as against the aggravating factor of the murder of a law
2 enforcement officer, defendant can demonstrate no prejudice.” (*Id.* at 17.) The PCR court
3 further concluded that “none of the factors addressed by defendant, either alone or in
4 connection with other mitigation, would alter the sentence of death as found by the jury.”
5 (*Id.* at 17.) The PCR court emphasized the Arizona Supreme Court’s consistency in
6 declining to give much weight to factors distant in time from the crime, unrelated to the
7 crime, or that suggest an inability to appreciate the wrongfulness of the conduct. (*Id.*)

8 The Arizona Supreme Court denied Petitioner’s request for review of the PCR
9 court’s decision. (Doc. 31, Ex. XX.)

10 B. Claim 1: New Evidence

11 In Claim 1 Petitioner presents new allegations and proffers new evidence in
12 support of an expanded claim of deficient performance and prejudice as a result of trial
13 counsels’ ineffectiveness at sentencing. (Doc. 28 at 97–137.) The new allegations are as
14 follows:

15 (1) Counsel encouraged Petitioner to assert a denial of responsibility defense
16 despite knowing Petitioner had amnesia for the events surrounding the offense and
17 without explaining to Petitioner that this strategy was untenable and would greatly
18 increase the risk of a death sentence. (Doc. 28 at 98–99.)

19 (2) Counsel failed to investigate Petitioner’s mental state at the time of the
20 offense. (*Id.* at 99.)

21 (3) Petitioner’s mother consumed alcohol while pregnant with Petitioner,
22 Petitioner has cognitive defects consistent with a neurodevelopmental disorder, including
23 Fetal Alcohol Effects, and Petitioner’s cognitive defects manifested in elementary school
24 when he was diagnosed with a learning disability and placed in special education. (*Id.* at
25 99–100.)

26 (4) Dr. Biggan reviewed her billing records and concluded that she had spent
27 no time in consultation with Petitioner’s counsel regarding the implications of the testing
28 results described in the report she prepared prior to sentencing in 2004. She reviewed her
2004 report and concluded that Petitioner presents with neurological impairments and

1 brain damage, though not severe. Dr. Biggan reviewed evidence of Petitioner's learning
2 disability and evidence that Petitioner's mother drank alcohol during pregnancy, and
3 stated that this evidence warranted further exploration by a neuropsychologist. Dr.
4 Biggan indicated Petitioner's cognitive functioning would have the tendency to
5 significantly degrade and ripen into significant neuropsychological deficits under highly
6 stressful conditions, and Petitioner's amnesia suggests severe cognitive degradation and
7 brain dysfunction at the time of the offense. (*Id.* at 101–05.)

8 (5) Petitioner's early onset substance abuse and inability to overcome addiction
9 relate to the fact he was born with an untreated neurodevelopmental disorder in
10 combination with the fact that since childhood he has suffered from clinical depression.
11 Further, prior to his addiction to methamphetamine—an addiction he had resisted until
12 early 2002—Petitioner had no reputation for aggressiveness or violence, but lost control
13 of his life after becoming addicted to meth. (*Id.* at 106–09.)

14 (6) Neuropsychological testing conducted by Dr. Kenneth Benedict in 2014
15 indicated that Petitioner's cognitive deficits, identified both in Dr. Benedict's testing and
16 the earlier testing of Petitioner, were strongly indicative of brain damage, and are
17 associated with and compounded by problems that are developmental in nature, i.e.,
18 Petitioner was born with them. Dr. Benedict also concluded that Petitioner had
19 compounded his neurodevelopmental defects with acquired brain injury from other
20 trauma, e.g., polysubstance abuse and his history of psychosocial trauma. Dr. Benedict
21 concluded that Fetal Alcohol Effects are among the causes involved in Petitioner's
22 historical and present pattern of neurodevelopmental and cognitive problems. Dr.
23 Benedict also concluded that Petitioner's functioning deteriorates when he is confronted
24 with emotional arousal. He determined, to a high degree of certainty, that under
25 conditions of emotional arousal, Petitioner would display rapid deterioration in planning,
26 judgment, the ability to alter behavior based on incoming information from his
27 environment, and his capacity to regulate impulses. Significant neuropsychological
28 impairments would present with "absolute certainty" if emotional arousal was combined
with the presence of psychoactive substances. Dr. Benedict also found that, in the context

1 of Petitioner's disturbed psycho-social environment, Petitioner's pre-existing brain
2 impairment contributed to his educational failure, depression, drug abuse, and difficulty
3 in overcoming addiction. Considering Petitioner's brain dysfunction, substance use, and
4 sleep deprivation at the time of the offense, Dr. Benedict concluded it was "highly
5 probable" that Petitioner would have difficulty recalling specifics related to the crime or
6 had complete amnesia for the time period surrounding the crime. (*Id.* at 109–16.)

7 (7) After reviewing evidence demonstrating Petitioner's mother consumed
8 alcohol during pregnancy and school records that demonstrated Petitioner was identified
9 as learning disabled, Dr. Barillas concluded that all of the prior opinions she offered in
10 this case, including those presented to the jury, were incomplete, inaccurate, and
11 ultimately unreliable. Dr. Barillas found that the new evidence suggested a strong
12 possibility that Petitioner may have suffered from a much more severe form of childhood
13 neglect which, combined with a neurodevelopmental disorder, may have contributed to
14 Petitioner's suffering from a clinical depression. (*Id.* at 116–21.)

15 (8) Dr. Cunningham confirmed that, in 2004, he was not asked to review Dr.
16 Biggan's 2004 neuropsychological report. Having now reviewed that report in addition to
17 evidence that Petitioner's mother drank during pregnancy, Dr. Cunningham concludes
18 that had he been provided with this evidence, he would have alerted PCR counsel that
19 Petitioner suffers from brain dysfunction and abnormality that could be associated with
20 Fetal Alcohol Effects. (*Id.* at 121–22.)

21 (9) Dr. Robert Smith, a psychologist, interviewed Petitioner in 2014 and
22 concluded that he suffered from a history of severe childhood trauma, persistent
23 depressive disorder, hallucinogen use disorder, stimulant use disorder, alcohol use
24 disorder, and neurocognitive impairment. Dr. Smith concluded that, at the time of the
25 offense, these disorders were sufficient to significantly impair his emotions, cognition,
26 perceptions, and behavior at the time of the offense. (*Id.* at 122–34.)

27 (10) Finally, Petitioner presents evidence that counsel's representation to the
28 state court regarding their homicide and capital case experience were admittedly untrue,
that Basham had relevant capital case sentencing experience in only two cases, in which

1 his participation was minimal, and that Storts had never participated in a capital
2 sentencing proceeding. (*Id.* at 134–37.)

3 C. Claim 1: Procedural Status

4 Because *Martinez* applies only to procedurally defaulted IAC claims, the Court
5 must first determine whether Claim 1 has been procedurally defaulted. The parties
6 dispute whether this claim was exhausted in state court. Petitioner argues that Claim 1,
7 presented only in part to the state courts, relies on “new and substantial supporting
8 evidence” which dramatically changes the claim as presented in state court, rendering
9 Claim 1 unexhausted and procedurally defaulted. (*See* Doc 28 at 144; Doc. 37 at 105)
10 (citing *Dickens*, 740 F.3d at 1319). Respondents contend that Claim 1 consists of four
11 sub-parts: two that are unexhausted and procedurally defaulted, and two that were
12 exhausted in Petitioner’s state post-conviction proceedings. The Court disagrees, in part,
13 with both arguments. As discussed below, Claim 1 is properly considered as a single IAC
14 claim with a unified legal theory, and, despite the new allegations and evidence presented
15 for the first time in this Petition, it is fully exhausted and not procedurally defaulted.

16 Claims with separate identities and separate elements of proof are unrelated for
17 purposes of exhaustion. *See Kimmelman v. Morrison*, 477 U.S. 365, 374–75 (1986)
18 (noting the difference between substantive claim underlying an IAC claim and the IAC
19 claim itself, stating “the two claims have separate identities and reflect different
20 constitutional values”). In the context of IAC claims, each unrelated allegation of
21 counsel’s ineffectiveness is generally considered a separate claim for purposes of
22 exhaustion. *Gulbrandson*, 738 F.3d at 992 (citing *Moormann v. Schriro*, 426 F.3d 1044,
23 1056 (9th Cir. 2005)). Although all IAC claims are analyzed under the two-prong test
24 established in *Strickland*, this shared analytical framework does not necessarily establish
25 that the claims are related. *See, e.g., Moormann*, 426 F.3d at 1056 (petitioner’s claim that
26 “counsel was ineffective for failing to investigate and present a viable defense” did not
27 fairly present the more specific claim that counsel was ineffective in “presenting the
28 insanity defense”).

1 In this case, Respondents have identified four “sub-claims” in Claim 1, and
2 analyzed the procedural status of each separately as follows:

3 Claim 1(A): Ineffective assistance of counsel for contesting guilt during the
4 guilt phase of trial, where this defense “carries strong risks for imposition
5 of a death sentence in the penalty phase” (Doc. 28, at 147–49, 188);

6 Claim 1(B): Ineffective assistance for presenting a non-responsibility
7 defense at the guilt phase without adequately advising Cruz of the potential
8 effect of this defense at sentencing (*Id.* at 149–51, 188);

9 Claim 1(C): Ineffective assistance for failing to adequately investigate
10 Cruz’s mental state at the time of the offense (*Id.* at 151–56, 185–88);

11 Claim 1(D): Ineffective assistance for failing to investigate and present all
12 reasonably available mitigation evidence and to explain the significance of
13 such evidence (*Id.* at 156–70, 171–84).

14 The Court finds, however, that all of the allegations in Claim 1 represent one
15 related claim of ineffective assistance of counsel alleging a failure to investigate and
16 present mitigation evidence. These sub-claims “are not separate claims of ineffective
17 assistance of counsel,” rather, all of the deficiencies in counsel’s performance as
18 described in the sub-claims arise from counsel’s sentencing-phase mitigation strategies
19 and the reasonableness of those strategic decisions that result in a “singular form of
20 prejudice”—“a single claim that seeks relief for counsel’s failure to investigate and
21 present mitigation.” (*See* Doc. 37 at 9–10.) (emphasis in original). These sub-claims share
22 a single identity and common elements of proof: whether counsel’s strategy resulted in a
23 failure to investigate and present mitigation and was therefore deficient, and whether
24 there was a reasonable probability that the mitigation evidence would have changed the
25 outcome. *Cf. Williams*, 529 U.S. at 397–98 (when measuring prejudice in a capital case
26 for failure to present mitigation, courts must “evaluate the totality of the available
27 mitigation evidence”). Thus, for purposes of this exhaustion analysis, the Court examines
28 Claim 1 in its entirety, as a single ineffective assistance of sentencing counsel claim
alleging a failure to investigate and present mitigation.

Ordinarily, *Martinez* would not apply to a claim, such as Claim 1, that was
adjudicated on the merits in state court. *See Detrich*, 740 F.3d at 1246. As discussed in

1 more detail below, however, the IAC at sentencing claim that the PCR court reviewed
2 was in a weaker evidentiary position than Petitioner proposes in support of Claim 1 in this
3 Court. (Doc. 28 at 97–137.) Petitioner asserts that, to the extent the claim is rendered
4 unexhausted by new evidence, the lack of exhaustion is due to PCR counsel’s
5 ineffectiveness, and therefore any default is excused under *Martinez* and the Ninth
6 Circuit’s decision in *Dickens*. (Doc. 28 at 144.) The Court considers the new evidence
7 Petitioner proffers in support of Claim 1 for purposes of making this determination. *See*
8 *Dickens*, 740 F.3d at 1319 (“[A] district court may take evidence to the extent necessary
9 to determine whether the petitioner’s claim of ineffective assistance of trial counsel is
10 substantial under *Martinez*.”).

11 D. Fundamentally Altered Claim

12 A claim has not been fairly presented in state court if new evidence fundamentally
13 alters the legal claim already considered by the state court or places the case in a
14 significantly different and stronger evidentiary posture than it was when the state court
15 considered it. *See Dickens*, 740 F.3d at 1318–19 (citing, *inter alia*, *Vasquez v. Hillary*,
16 474 U.S. 254, 260 (1986); *Aiken v. Spalding*, 841 F.2d 881, 883 (9th Cir. 1988); *Nevius v.*
17 *Sumner*, 852 F.2d 463, 470 (9th Cir. 1988)).

18 In *Dickens*, the petitioner argued in state court that his sentencing counsel
19 provided ineffective assistance by failing to direct the work of a court-appointed
20 psychologist and to adequately investigate the petitioner’s background. *Dickens*, 740
21 F.3d at 1317. These general allegations did not identify any specific conditions that
22 sentencing counsel failed to uncover. The state court denied the claim on the merits,
23 finding that counsel’s performance was not deficient and that the petitioner had failed to
24 demonstrate he was prejudiced. *Id.* In his federal habeas petition, however, the petitioner
25 “changed his claim to include extensive factual allegations suggesting Dickens suffered
26 from FAS [Fetal Alcohol Syndrome] and organic brain damage.” *Id.*

27 In determining whether the petitioner’s claim was unexhausted, the court in
28 *Dickens* found that factual allegations not presented to a state court may render a claim
unexhausted if the allegations “fundamentally alter” the legal claim presented and

1 considered by the state courts. *Id.* at 1318 (citing *Vasquez*, 474 U.S. at 260). New
2 evidence fundamentally alters a claim if it places the claim in a *significantly different and*
3 *stronger evidentiary* posture than it had in state court. *Dickens*, 740 F.3d at 1318
4 (emphasis added) (citing *Aiken*, 841 F.2d at 883, 884 n.3).

5 Applying these principles, the court found that Dickens’s “new evidence creates a
6 mitigation case that bears little resemblance to the naked *Strickland* claim raised before
7 the state courts.” *Id.* at 1319. It further noted that the claim urged in state court only
8 “generally alleged that sentencing counsel did not effectively evaluate whether Dickens
9 ‘suffer[ed] from any medical or mental impairment’” and that specific conditions like
10 FAS and organic brain damage placed the claim in a “significantly different” and
11 “substantially improved” evidentiary posture. *Id.* Having determined that Dickens’s
12 fundamentally altered IAC sentencing claim was unexhausted and procedurally barred,
13 the court remanded for consideration of cause and prejudice under *Martinez*. *Id.* It further
14 instructed that § 2254(e)(2) did not bar the district court from hearing new evidence to
15 determine the existence of cause and prejudice.

16 Under *Dickens*, the question of whether *Martinez* applies to Claim 1 hinges on
17 whether the claim, as presented in these federal proceedings, is fundamentally different
18 from the one presented in state court. The new evidence Petitioner proffers to this Court
19 is indeed “stronger.” It does not, however, place Petitioner’s case in a *significantly*
20 different or stronger evidentiary posture than it was when the state courts considered it,
21 because, unlike the new evidence presented in *Dickens*, Petitioner did present to the state
22 courts evidence of specific conditions and mental impairments, as well as his mental state
23 at the time of the offense.

24 To determine if Claim 1 is fundamentally different than the IAC claim presented
25 in state court, the Court compares the IAC claim raised in his PCR to Claim 1 of
26 Petitioner’s federal habeas petition. In his PCR, Petitioner presented evidence obtained
27 through interviews, records and expert reports that helped to establish how “forces well
28 beyond [Petitioner’s] control for much of his early life” contributed to his drug
addiction—including evidence corroborating and elaborating on the abuse witnessed and

1 experienced by Petitioner as well as the possibility that Petitioner suffered from a
2 learning disorder (ADHD) that could be related to his intoxicant abuse and impulsivity.
3 (Doc. 31, Ex. X: Ex. 38 at 13.) Petitioner provided evidence suggesting that he likely
4 used cocaine and amphetamine at or shortly before the time of the offense, which
5 impaired his judgment and ability to conform his conduct to the requirements of the law.
6 Petitioner also presented evidence that he was at risk for neurodevelopmental issues
7 including probable fetal substance exposure, learning problems in school, chronic stress
8 in childhood, and head injuries, and alleged that there was a logical nexus between these
9 adverse developmental factors and the capital offenses.

10 Petitioner now seeks to expand the record to include evidence indicating that: (1)
11 he suffers from fetal alcohol exposure, (2) he suffers from neuropsychological and
12 cognitive deficits, (3) brain impairments contributed to his drug addiction, (4) his brain
13 impairments would have been exacerbated by stressors at the time of the offense, and (5)
14 he was under the influence of cocaine and methamphetamine at the time of the offense.
15 The Court agrees with Respondents that, while Petitioner presents new factual allegations
16 that he suffered from additional specific medical or mental impairments, he also
17 presented evidence of specific conditions to the state court from which the same
18 conclusions could be drawn—essentially, that factors outside Petitioner’s control
19 contributed to his drug use, and his impairments were exacerbated leading up to the
20 offense. Accordingly, while Petitioner’s allegations are somewhat strengthened by the
21 evidence that Petitioner suffered from the specific conditions of fetal alcohol exposure
22 and cognitive impairments that were not uncovered by trial counsel, this claim is not
23 “significantly different” than what he presented to the state courts.

24 Accordingly, the Court finds the IAC claim presented in Claim 1 is exhausted, but
25 not fundamentally altered by the new evidence presented in support of the claim, and thus
26 it does not fall under the umbrella of *Martinez*. This Court reviews Petitioner’s
27 ineffective assistance of sentencing counsel claim under the strict evidentiary standards
28 of AEDPA, which, subject to the exceptions set forth in § 2254(e)(2) and discussed
below, precludes further evidentiary development and limits habeas review to the record

1 made in the state court. *See Pinholster*, 563 U.S. at 180–186. Accordingly, Petitioner’s
2 motion to expand the record, to conduct discovery, and for an evidentiary hearing on
3 Claim 1 is denied, with the exception of granting Petitioner’s motion to expand the record
4 in part as to Exhibits 1–27 attached to Petitioner’s Motion for Evidentiary Development.
5 The parties agree these exhibits were part of the state court record that Respondents were
6 unable to provide. Moreover, Petitioner does not assert, in Claim 1, that the state court’s
7 adjudication of this claim “resulted in a decision that was contrary to, or involved an
8 unreasonable application of, clearly established Federal law, as determined by the
9 Supreme Court of the United States,” § 2254(d)(1), or “was based on an unreasonable
10 determination of the facts in light of the evidence presented in the State court
11 proceeding,” § 2254(d)(2). Thus, Petitioner has not established he is entitled to relief
12 under AEDPA as to Claim 1, and the Court denies this claim.

13 E. Claim 2

14 Petitioner does assert in Claim 2 that the state court’s denial of his claim that
15 sentencing counsel performed ineffectively by failing to investigate and present
16 mitigation evidence during the penalty phase constituted an unreasonable application of
17 clearly established federal law and was based on an unreasonable determination of facts
18 under § 2254(d)(1) and (2). (*See* Doc. 28 at 189–199.) Because Petitioner’s IAC at
19 sentencing claim, as alleged in Claim 2, was denied on the merits in state court, this
20 Court’s review is limited to the state court record and Petitioner is entitled to evidentiary
21 development only if his claim satisfies § 2254(d). *Pinholster*, 563 U.S. at 180–81, 185;
22 *see, e.g., Henry v. Ryan*, 720 F.3d 1073, 1093 n.15 (9th Cir. 2013) (explaining that
23 *Pinholster* bars evidentiary hearing unless petitioner satisfies § 2254(d)). Accordingly,
24 before taking up Petitioner’s motion for evidentiary development, the Court first
25 addresses whether Petitioner has cleared the § 2254(d) hurdle. *See Brumfield v. Cain*, 135
26 S. Ct. 2269, 2283 (2015).

27 When conducting its analysis, this Court must review the “last reasoned state court
28 opinion.” *Ylst v. Nunnemaker*, 501 U.S. 797, 803 (1991). When the state’s highest court
denies the claim summarily, the federal court looks through to the last reasoned decision.

1 *See Johnson v. Williams (Tara)*, 568 U.S. 289, 297 n.1 (2013). The last reasoned decision
2 here is that of the PCR court.

3 **1. Deficient Performance**

4 *a. Unreasonable Determination of the Facts (§ 2254(d)(2))*

5 Petitioner argues that the state court premised its decision on the erroneous
6 findings that: (1) trial counsel had vast experience in handling 250 homicide and at least
7 50 capital cases, (2) Petitioner was aware of, and could have informed counsel of, the
8 existence of the majority of the new mitigation evidence, and (3) Petitioner imposed a
9 demand for a speedy trial on his counsel and therefore “placed himself in a position of
10 cutting short the mitigation investigation.”

11 A challenge to a factual determination “based entirely on the state record” is
12 governed by § 2254(d)(2), and is termed an “intrinsic” challenge. *Murray*, 745 F.3d at
13 999. A successful intrinsic challenge may be based on a claim that (1) the state-court
14 decision rests on a finding unsupported by sufficient evidence, (2) the process employed
15 by the state court was defective, (3) or no finding was made by the state court at all, when
16 it was required to make a finding. *Id.* (citing *Taylor*, 366 F.3d at 999). The Court applies
17 § 2254(d)(2) to “intrinsic review of a state court’s processes, or situations where
18 petitioner challenges the state court’s findings based entirely on the state record.” *Kesser*
19 *v. Cambra*, 465 F.3d 351, 358 n. 1 (2006) (en banc) (quoting *Taylor*, 366 F.3d at 999-
20 1000). *But see Miller-El v. Dretke*, 545 U.S. 231 240 (2005) (reciting, without
21 distinguishing, both § 2254(d)(2) and § 2254(e)(1)). An intrinsic review requires that a
22 federal court “be particularly deferential to our state-court colleagues.” *Murray*, 745 at
23 999 (citing *Taylor*, 366 F.3d at 1000). New evidence may be considered only on de novo
24 review, subject to the limitations of § 2254(e)(2). *Id.* at 1000 n.1.

25 State-court factual determinations are accorded substantial deference, and may not
26 be characterized as unreasonable because this Court would reach a different conclusion in
27 the first instance. *Brumfield*, 135 S. Ct. at 2277 (citing *Wood*, 558 U.S. at 301). However,
28 the Court affords this deference only if the state court’s fact finding process survives
“intrinsic” review pursuant to AEDPA’s “unreasonable determination clause.” *Hurles*,

1 752 F.3d at 790 (citing *Taylor*, 366 F.3d at 1000). “[D]eference does not imply
2 abandonment or abdication of judicial review, and does not by definition preclude relief.”
3 *Brumfield*, 135 S. Ct. at 2277 (quoting *Miller-El*, 537 U.S. at 340) (internal quotation
4 marks omitted). Before a federal court can determine that the state court’s fact-finding
5 process is defective in some material way, or perhaps non-existent, it “must more than
6 merely doubt whether the process operated properly.” *Taylor*, 366 F.3d at 1000. Rather, it
7 “must be satisfied that any appellate court to whom the defect is pointed out would be
8 unreasonable in holding that the state court’s fact-finding process was adequate.” *Id.* “To
9 fatally undermine the state fact-finding process, and render the resulting finding
10 unreasonable, the overlooked or ignored evidence must be highly probative and central to
11 petitioner’s claim.” *Taylor*, 366 F.3d at 1001.

12 The state court’s determination, in the absence of a hearing, that defense counsel
13 had handled at least 50 capital cases was not unreasonable.

14 The number of capital cases counsel represented to the court that they had handled
15 ranged from 14 to 22, to 30, and finally, based on Basham’s affidavit, 50 capital cases.⁸
16 (Doc. 28 at 197–98.) Petitioner never challenged counsel’s capital case experience in
17 state court, and thus the trial court could conclude, whether it was 14 or 50 capital cases,
18 that counsel had the requisite experience to supervise and direct DiFrank. Under these
19 circumstances, Petitioner cannot establish that a reviewing court “could not reasonably
20 conclude that the finding is supported by the record.” *See Taylor*, 366 F.3d at 1000.
21 Furthermore, though the PCR court considered this factual finding to be “salient,” the
22 court ultimately based its reasonableness determination on a finding that counsel had the
23 experience to supervise DiFrank and the additional expertise required to “relate the pieces
24 of mitigation to each other.” (Doc. 31, Ex. RR at 15.)

25 Next, Petitioner contends that the PCR court unreasonably determined that
26 Petitioner was aware of the new mitigation evidence prior to his sentencing. Petitioner

27 ⁸ Petitioner also refers to newly-discovered factual allegations that the capital case
28 experience of counsel was drastically inflated in the state-court record. Because this
information was not part of the state-court record, the Court does not consider it in this
proceeding. *See Pinholster*, 563 U.S. at 185; *see also* 28 U.S.C. § 2254(d)(2).

1 argues that the finding was unreasonable because it required assumptions about facts
2 outside the record without giving Petitioner an opportunity to present evidence as to those
3 facts and, indeed, refusing to look at evidence he did present. (Doc. 28 at 198.) The Court
4 finds that in the absence of an evidentiary hearing, and in light of the evidence in the
5 record supporting Petitioner’s position and the lack of sufficient evidence supporting the
6 State’s position, the PCR court’s conclusion that Petitioner could have informed counsel
7 of the existence of the majority of mitigation presented in his PCR at the time of his
8 decision to exercise his rights to a speedy trial was an unreasonable determination of
9 fact.⁹

10 The Court rejects Respondents’ assertion that “common sense” supported the PCR
11 court’s finding that Petitioner would have been aware of mitigation involving his own
12 life. Neither does the Court agree that Petitioner’s statement to the court—that he was
13 happy with the mitigation so far produced by the defense—leads to the obvious
14 conclusion that counsel had discussed mitigation with Petitioner. (Doc. 30 at 90) (citing
15 RT 7/26/04 at 25-26) (Petitioner stating he was “very satisfied with the mitigation they
16 have obtained for me so far”). First, even if Petitioner had discussed mitigation with his
17 counsel, this does not establish that counsel considered Petitioner a source of mitigation.
18 Second, even if Petitioner was considered to be a source of information, it’s not evident
19 that Petitioner was in a position to have full knowledge of the new evidence presented in
20 the PCR. For example, the record does not indicate Petitioner would have been aware of
21 his mother’s history of mental illness and drug abuse, especially during the time of her
22 pregnancy with him and during his early childhood.

23 The fact that Petitioner was aware of the mitigating evidence was critical to the
24 PCR court’s determination that counsel did not perform deficiently. The PCR court
25 suggested Petitioner made strategic choices with full knowledge of the mitigation he

26 ⁹ Because the Court finds Petitioner has satisfied §2254(d)(2), this analysis does
27 not address Petitioner’s related argument that counsel had a duty to investigate and
28 present evidence irrespective of information provided by Petitioner. *See Vega v. Ryan*,
757 F.3d 960, 966 (9th Cir. 2014) (“state court’s holding that counsel had no
responsibility to obtain information known to his client is an unreasonable application of
Supreme Court precedent”) (citing *Rompilla v. Beard*, 545 U.S. 374, 387–89 (2005)).

1 chose not to share with counsel. This finding was “objectively unreasonable in light of
2 the evidence presented in the state-court proceeding.” *Miller-El*, 537 U.S. at 340.

3 Finally, Petitioner asserts that the PCR court reached a factual conclusion—
4 Petitioner masterminded the idea to demand a speedy trial and was thus responsible for
5 deficiencies in the mitigation investigation—that, without a hearing, could not be made
6 on the version of the record before the court. (Doc. 28 at 195.) The Court finds that the
7 examination of the record before the state court establishes that the critical factual
8 determination made by the state court—that Petitioner was responsible for the time
9 constraints placed on his mitigation investigation—was unreasonable under § 2254(d)(2)
10 because the state court’s fact-finding process was deficient.

11 The state court’s failure to conduct an evidentiary hearing does not necessarily
12 “render its fact-finding process unreasonable so long as the state court could have
13 reasonably concluded that the evidence already adduced was sufficient to resolve the
14 factual question.” *See Hibbler v. Benedetti*, 693 F.3d 1140, 1147 (9th Cir. 2012). The
15 ultimate question is “whether an appellate court would be unreasonable in holding that an
16 evidentiary hearing was not necessary in light of the state court record.” *Id.* (emphasis in
17 original); *cf. Brumfield*, 135 S. Ct. 2279–81 (holding that state habeas court’s refusal to
18 grant petitioner an evidentiary hearing on his intellectual disability claim, as permitted by
19 state law, was based on an unreasonable determination of the facts within the meaning of
20 § 2254(d)(2)). Petitioner does not argue that the failure to hold an evidentiary hearing
21 was per se unreasonable; rather, he argues that in light of the record before the state court,
22 the evidence required further factual development. The Court agrees.

23 The evidence before the PCR court was neither sufficient to resolve the factual
24 question, nor were Petitioner’s allegations incredible in light of the record. *See Perez v.*
25 *Rosario*, 459 F.3d 943, 950 (9th Cir. 2006) (holding that it is reasonable for a state court
26 to resolve a disputed factual question without an evidentiary hearing when the
27 petitioner’s allegations are incredible in light of the record, or when the record already
28 before the court establishes a fact conclusively); *Earp v. Ornoski*, 431 F.3d 1158, 1170
(9th Cir. 2005) (noting that a state court is not required to hold an evidentiary hearing

1 when it is possible to resolve the factual question based on documentary testimony and
2 evidence in the record) (citation omitted). In the PCR proceedings, Petitioner alleged that
3 counsel conducted an inadequate mitigation investigation, resulting in the failure to bring
4 relevant information to the attention of the mental health experts. Petitioner asserted this
5 lapse in performance was due to defense counsel's failure to have a trained mitigation
6 specialist on the team before trial and failure to provide their investigator, DiFrank, with
7 adequate time to conduct the investigation. (*See* Doc. 31, Ex. W at 32, 35.) Petitioner
8 supported these allegations with, among other things, a declaration from DiFrank that she
9 was not trained as a mitigation specialist and did not have an adequate amount of time to
10 investigate and prepare the case. (*See* Doc. 31, Ex. X, Ex. 4 at 2–3.) In response, the State
11 argued that counsel did not perform deficiently because it was Petitioner himself who
12 was responsible for any time limitations placed on the investigation and preparation of
13 the case in mitigation. (Doc. 31, Ex. II, at 51.) The PCR court agreed, despite
14 acknowledging that DiFrank attributed to lead counsel Storts the desire to take the case to
15 trial as quickly as possible. (Doc. 31, Ex. RR at 15.)

16 DiFrank indicated in her declaration that she was aware that Storts desired a quick
17 trial, and further asserted that when she expressed her concerns about having sufficient
18 time to complete her assignments, Basham stated there was “nothing he could do because
19 lead counsel Storts was very strict about time limits.” (Doc. 31, Ex. X: Ex. 4 at 3.)
20 Rejecting DiFrank's assertion, however, the state court adopted “as fact” Basham's
21 avowal that it was Petitioner who consistently demanded to exercise his right to a speedy
22 trial, and that this demand had been incorporated into the record by defense counsel on
23 numerous occasions. (Doc. 31, Ex. NN: Ex. K at 4.) The PCR court, while seemingly
24 acknowledging DiFrank's testimony was central to Petitioner's claim, failed to explain
25 how it reconciled this evidence with the contradictory affidavits submitted by counsel,
26 thus casting “doubt on the process by which the finding was reached, and hence on the
27 correctness of the finding.” *Taylor*, 366 F.3d at 1007–08 (citations omitted). There is
28 nothing in the record, aside from Basham's affidavit, that indicates this strategic idea
originated with Petitioner with the understanding that by “maintaining his right to a

1 speedy trial” he would be “cutting short the mitigation investigation.” (Doc. 31, Ex. RR
2 at 15.) Although Petitioner had in fact notified the court, through counsel, that he was
3 pursuing a speedy trial strategy for the purpose of forcing a change of venue if a jury
4 could not be seated in Pima County under speedy-trial deadlines (*see* ROA. 206–07,
5 214), this does not establish that it was Petitioner’s idea to pursue a speedy-trial strategy,
6 or that Petitioner’s unwavering demand for “no delay” in the proceedings precipitated
7 counsel’s strategic decision.

8 There is some evidence in the record suggesting that even if the speedy trial
9 strategy did not originate with Petitioner, he may have adopted this strategy with
10 knowledge of the resulting limitations it would place on the scope of mitigation.
11 Respondents identify two items that would support this finding: (1) trial counsel’s
12 statement that “Cruz felt Durand’s extended timeline for preparing mitigation was ‘not
13 acceptable,’” and (2) Petitioner’s statement “that he wanted ‘no delays’ in going to trial.”
14 (*See* Doc. 31 at 86) (citing RT 4/26/04 at 62–63 and RT 7/26/04 at 25). Nonetheless, even
15 if Petitioner embraced the “no delay” strategy, the record does not support the conclusion
16 that he did so after being informed of its advantages and disadvantages.

17 “An uninformed strategy is not a reasoned strategy. It is, in fact, no strategy at
18 all.” *Correll v. Ryan*, 539 F.3d 938, 949 (9th Cir. 2008) (citing *Strickland*, 466 U.S. at
19 690–91). As Petitioner points out, as early as October 2003, before any mitigation
20 investigation had commenced, Storts represented to the court that if the change of venue
21 motion was granted, he would be ready to go to trial during the summer of 2004. (RT
22 10/6/03 at 9.) The timing of this decision suggests that, whether it was Petitioner or
23 counsel who was responsible for the decision, it was made before any inquiry into the
24 need for mitigation was made. It was apparent from the record that, as of April 2004,
25 Durand had provided little, if any, information to counsel regarding the mitigation
26 investigation. (RT 4/26/04 at 60–61.) At that time, the state court acknowledged that if
27 Petitioner wished to pursue his speedy trial rights, he would “probably have to have an
28 acknowledgement waiver he’s not going to want to have the kind of mitigation this
expert’s indicating that she needs to do adequately.” (*Id.* at 62.) Storts agreed. (*Id.*) At the

1 next hearing in July 2004, Petitioner stated that he had spoken with counsel about the
2 “whole thing”: “I want no delays in my trial, and I’m very satisfied with my defense, and
3 I’m very satisfied with the mitigation they have obtained for me so far.” (RT 7/26/04 at
4 25.) According to DiFrank’s declaration, however, when Petitioner made this statement
5 she had not even begun to interview witnesses, though some records had been obtained.
6 (Doc. 31, Ex. X: Ex. 4 at 3.) In the context in which Petitioner made both of these
7 statements, his assertions do not provide sufficient evidence from which the state court
8 could have concluded, without a hearing, that Petitioner either was the originator of this
9 strategy, or adopted it fully informed of the inherent risks in cutting short his mitigation
10 investigation in a case where “there [was] absolutely no doubt” that Petitioner killed
11 Officer Hardesty. (Doc. 31, Ex. RR at 3.)

12 “[W]here a state court makes factual findings without an evidentiary hearing or
13 other opportunity for the petitioner to present evidence, ‘the fact-finding process itself is
14 deficient’” *Hurles*, 752 F.3d at 790–91 (quoting *Taylor*, 366 F.3d at 1001 (“If for
15 example, a state court makes evidentiary findings without holding a hearing and giving
16 petitioner an opportunity to present evidence, such findings clearly result in an
17 unreasonable determination of the facts.” (internal quotation marks omitted))). The PCR
18 court’s determination that Petitioner was the driving force behind the abandonment of his
19 own right to an effective mitigation investigation relieved the court of making a
20 determination whether counsel performed deficiently by placing the fault for any
21 unreasonable performance squarely at the feet of Petitioner. Although the Court does not
22 accept Petitioner’s suggestion that DiFrank’s declaration was dispositive of this issue, the
23 allegations contained therein raised a critical factual dispute that could not be resolved on
24 the record. The PCR court’s determination, made without a hearing, and ignoring critical
25 evidence in the record, resulted in an “unreasonable determination” of the facts, and
26 therefore does not bar Petitioner’s claim for habeas relief. *See* 28 U.S.C. § 2254(d)(2);
27 *Taylor*, 366 F.3d at 1001, 1008.

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1 reasonableness in all the circumstances, applying a heavy measure of
2 deference to counsel’s judgments.

3 *Id.* at 690–91; *see Wiggins*, 539 U.S. at 521; *see also Jennings*, 290 F.3d at 1016
4 (counsel’s choice of alibi defense and rejection of mental health defense not reasonable
5 strategy where counsel failed to investigate possible mental defenses).

6 If counsel conducts a reasonable investigation, and nothing put counsel on notice
7 of the existence of certain evidence, counsel cannot be faulted for failing to locate and
8 present it. *Babbitt v. Calderon*, 151 F.3d 1170, 1174 (9th Cir. 1998). In addition, “a
9 lawyer may make reasonable decisions that render particular investigations unnecessary.”
10 *Id.*

11 Petitioner alleges that trial counsel’s mitigation investigation was inadequate
12 because: counsel failed to conduct a thorough investigation of Petitioner’s history; failed
13 to obtain the assistance of a qualified mitigation expert; and failed to provide accurate or
14 complete social history data to their mental health experts. Petitioner asserts that
15 counsel’s decision to forego mitigation investigation in favor of pursuing a speedy trial
16 strategy was not at Petitioner’s insistence, and was made before any mitigation
17 investigation had even commenced. As discussed above, these allegations are not
18 inherently incredible or conclusively refuted by the existing state court record.
19 Accordingly, this Court cannot reject Petitioner’s ineffective assistance of counsel claim
20 without holding an evidentiary hearing. *See Earp*, 431 F.3d at 1167; *cf. Hibbler*, 693 F.3d
21 at 1149.

22 **2. Prejudice**

23 a. Unreasonable Determination of the Facts (§2254(d)(2))

24 Petitioner also asserts that the PCR court’s determination of a crucial fact, central
25 to the prejudice prong of its analysis, was unreasonable. Specifically, Petitioner disputes
26 the PCR court’s determination that the proffered mitigation was “not new evidence, but
27 rather additional, cumulative evidence.” *See Andrews v. Davis*, 798 F.3d. 759, 779 (9th
28 Cir. 2015) (“[U]nder *Strickland*’s prejudice prong, cumulative mitigating evidence does

1 not support a conclusion that there would be a reasonable probability of a different
2 outcome.”). Respondents assert that Petitioner has failed to demonstrate he is entitled to
3 habeas relief because he has not supported his conclusory assertion that the PCR court’s
4 determination was unreasonable. The Court disagrees.

5 The PCR court’s determination that the proffered mitigation was cumulative blurs
6 the distinction “between direct and corroborating evidence, on one hand, and evidence
7 that unnecessarily proves a point already sufficiently established, on the other.” *See Liao*
8 *v. Junious*, 817 F.3d 678, 695 (9th Cir. 2016) (error for habeas court to label expert
9 testimony “merely cumulative” when it was in fact corroborative). Cumulative evidence
10 is additional evidence that supports a fact established by the existing evidence. *Id.* (citing
11 Evidence, Black’s Law Dictionary (10th ed. 2014)). Corroborative evidence, on the other
12 hand, is evidence that differs from but strengthens or confirms what other evidence
13 shows, especially that which needs support. *Id.*; *see State v. Kennedy*, 592 P.2d 1288,
14 1292 (Ariz. App. 1979) (“Corroborative” evidence is evidence which tends to corroborate
15 or confirm, and is different from “cumulative” evidence, which merely augments or tends
16 to establish a point already proved by other evidence)

17 As the Arizona Supreme Court found, the mitigating evidence Petitioner presented
18 at trial established only that Petitioner’s early life was “not ideal,” but did not establish
19 the type of “horrible abuse” often found in capital cases, or that he was suffering from
20 significant mental illness or under the influence of drugs at the time of the crime. *Cruz*,
21 181 P.3d at 217. Petitioner had argued the following mitigating factors during the penalty
22 phase: impaired capacity to appreciate the wrongfulness of his conduct; impaired
23 capacity to conform his conduct to the law; unusual and substantial duress;
24 unforeseeability that the acts would cause death; dysfunctional family; deprivation of
25 “necessary nurturing love” from family; family history of mental disorders; posttraumatic
26 stress disorder (“PTSD”); drug addiction; mental state affected by family history of
27 mental disorders, PTSD, and drug addiction; unfavorable impact on Petitioner’s family;
28 existence of family support; compliance with prison rules; lack of propensity for future
violence; capability to adapt to prison life; and lack of plan to commit the murder.

1 Petitioner asserted that his “upbringing, life-style and subculture all made it far more
2 likely that he would find himself in this position.” The evidence proffered by PCR
3 counsel, which supported facts that even the Arizona Supreme Court on direct appeal had
4 recognized were not already proven by other evidence, was not “merely cumulative,” but
5 corroborated the evidence he presented at sentencing, especially the evidence of early
6 childhood abuse and neglect and exposure to drugs.

7 The evidence that Petitioner proffered to the state court in his PCR corroborated
8 elements of mitigation that were presented either ineffectively or not at all. For example,
9 PCR counsel presented evidence that Petitioner’s mother had severe drug and alcohol
10 problems which, in combination with her severe mental illness, would have altered the
11 jury’s understanding of the level of abandonment, neglect, and instability Petitioner faced
12 during his early childhood. The evidence presented at sentencing by trial counsel, on the
13 other hand, suggested Petitioner’s mother had “good values” which she would have
14 passed on to her son. Similarly, trial counsel presented evidence that Petitioner was
15 beaten, at least once, by his father, but this evidence was effectively negated by testimony
16 that trial counsel also elicited: Petitioner’s father was not verbally or physically abusive
17 but was merely a “prankster.” PCR counsel presented evidence corroborating the abuse
18 Petitioner suffered from his father, in addition to suffering beatings from his step-father
19 and his uncles. Because these facts were not established during sentencing, the evidence
20 that tended to establish these facts presented in the PCR court was corroborative, not
21 cumulative. *See Liao*, 817 F.3d at 695.

22 Additionally, in his PCR, Petitioner presented evidence of his mental state at the
23 time of the offense, including an expert opinion that his judgment and ability to conform
24 his conduct to the requirements of the law were probably impaired, that he was at risk for
25 neurodevelopmental issues, and that there was a logical connection between these
26 adverse developmental factors and the offense. This evidence was also not cumulative,
27 because, as the Arizona Supreme Court found, there was “little or no causal relationship
28 between the mitigating circumstances and the crime.” *Cruz*, 181 P.3d at 217.

1 Next, the PCR court stated that, even if the mitigation evidence was substantive
2 and not cumulative, the new evidence did not warrant relief because Petitioner had not
3 demonstrated he was prejudiced by its omission. The Court finds that this too was based
4 on an unreasonable determination of fact because this decision seems to have ignored
5 some of Petitioner's most compelling new evidence.

6 When measuring prejudice in a capital case for failure to present mitigation, courts
7 must "evaluate the totality of the available mitigation evidence—both that adduced at
8 trial, and the evidence adduced in the [post-conviction] proceeding in reweighing it
9 against the evidence in aggravation." *Williams*, 529 U.S. at 397–98. While the Court is
10 "mindful that the state courts are not required to address every jot and tittle of proof
11 suggested to them," or "'make detailed findings addressing all the evidence,'" *Taylor*,
12 366 F.3d at 1001 (quoting *Miller-El*, 537 U.S. at 346), "the state-court fact-finding
13 process is undermined where the state court has before it, yet apparently ignores,
14 evidence that supports petitioner's claim." *Id.*

15 Here, the state court discussed the report prepared by McMahon as it related to the
16 performance prong of the *Strickland* analysis and opined that it identified additional
17 information useful to the expert witnesses. (Doc. 31, Ex. R at 4.) In evaluating prejudice,
18 the court specifically mentioned the evidence gathered by DiFrank in considering
19 whether the new evidence was cumulative. (*Id.* at 16.) There is, however, no mention in
20 the ruling of the expert statements provided by Dr. McCloskey, who opined that the
21 abuse Petitioner suffered as a child was more severe than was discernable at trial; by Dr.
22 Barillas, opining with a high degree of certainty that Petitioner was under the influence of
23 cocaine and amphetamine at or shortly before the offense; or by Dr. Cunningham, who
24 indicated that Petitioner was exposed to a large number of risk factors, including probable
25 fetal substance exposure and head injuries, which predisposed him to mental illness and
26 drug dependence. This evidence suggested that Petitioner's criminal conduct was not
27 simply the product of choice and free will, as argued by the State in its closing remarks to
28 the jury.

1 These expert opinions formed the cornerstone of Petitioner’s claim because they
2 suggested a causal link between his social history and the crime. (*See* Doc. 31, Ex. QQ at
3 11.) The omission of any mention of these experts is concerning, given that the PCR
4 court emphasized the significance the Arizona Supreme Court placed on establishing a
5 causal connection between the mitigation and the offense, and the PCR court’s
6 characterization of Petitioner’s claim as the failure to present expert testimony regarding
7 such a connection. (Doc. 31, Ex. RR at 13, 17.) These expert opinions, especially those of
8 Drs. Barillas and Cunningham, were the causal link Petitioner was attempting to draw
9 between the evidence the court characterized as “upbringing, life-style and subculture”
10 and commission of the offense. The PCR court’s failure to acknowledge this evidence in
11 its ruling, while carefully reciting the rest of the evidence, suggests this evidence may
12 have been overlooked. Thus, while the PCR court stated that it was considering as
13 “substantive” the mitigation it had previously found “cumulative,” there is no support in
14 the record that suggests the PCR court considered the proffered expert witness opinions
15 at all. The record suggests the state court overlooked this evidence, which was highly
16 probative and central to Petitioner’s claim. The “state court fact-finding process is
17 undermined where,” as here, the state court apparently ignores evidence that supports
18 petitioner’s claim. *Taylor*, 366 F.3d at 1001 (citing *Miller-El*, 537 U.S. at 346).

19 Because no appellate court could reasonably conclude that the state court’s finding
20 is supported by the record, the Court concludes that the state court’s finding that the new
21 mitigating evidence presented in PCR proceedings was cumulative was an objectively
22 unreasonable determination of the facts in light of the evidence presented in the state
23 court proceedings. 28 U.S.C. § 2254(d)(2).¹⁰

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26 ¹⁰ Because the Court reaches the conclusion that the state court’s ruling was based
27 on an unreasonable determination of fact under § 2254(d)(2), the Court does not reach the
28 question of whether the state court unreasonably applied clearly established law by
failing to evaluate the totality of the available evidence or applying an incorrect test under
Strickland.

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1 history which involved “severe privation and abuse in the first six years of his life while
2 in the custody of his alcoholic, absentee mother” followed by “physical torment, sexual
3 molestation, and repeated rape during his subsequent years in foster care,” as well as
4 periods of homelessness and diminished capacities); and *Williams*, 529 U.S. at 395 (IAC
5 where counsel failed to present evidence that: petitioner’s parents had been imprisoned
6 for the criminal neglect of petitioner and his siblings, petitioner had been severely and
7 repeatedly beaten by his father, petitioner had been committed to the custody of the social
8 services bureau for two years during his parents’ incarceration and also spent time in an
9 abusive foster home, and Petitioner was “borderline mentally retarded” and did not
10 advance in school beyond the sixth grade). Although Petitioner’s new evidence does not
11 establish a case in mitigation as strong as the evidence presented in *Wiggins* and
12 *Williams*, the Court cannot say on the record before the court, that there is no reasonable
13 probability that the result of the proceeding would have been different if the new
14 evidence had been presented.

15 That Petitioner presented some mitigating evidence does not preclude an
16 evidentiary hearing. The Supreme Court has “never held that counsel’s effort to present
17 *some* mitigation evidence should foreclose an inquiry into whether a facially deficient
18 mitigation investigation might have prejudiced the defendant.” *Sears v. Upton*, 561 U.S.
19 945, 955 (2010) (emphasis in original). Because Petitioner’s allegations are not plainly
20 meritless, and because the allegations are not inherently incredible or refuted by the
21 existing state court record, the Court cannot reject his ineffective assistance of counsel
22 claim without holding an evidentiary hearing. *See Earp*, 431 F.3d at 1167; *cf. Hibbler*,
23 693 F.3d at 1149.

24 **II. MOTION FOR EVIDENTIARY HEARING, AND EXPANSION AND** 25 **DEVELOPMENT OF THE RECORD**

26 Because the state court’s decision “was based on an unreasonable determination of
27 the facts,” the claim is evaluated de novo, and the court may consider evidence properly
28 presented for the first time in federal court. *See Hurles*, 752 F.3d at 778; *see also*
Pinholster, 563 U.S. at 185 (if a claim has been adjudicated on the merits by a state court,

1 a federal habeas petitioner must overcome the limitation of § 2254(d) on the record that
2 was before the state court in order to be entitled to an evidentiary hearing).

3 A. Request for Evidentiary Hearing

4 If a court determines that a petitioner has not been diligent in establishing the
5 factual basis for his claims in state court, it may not conduct a hearing unless the
6 petitioner satisfies one of § 2254(e)(2)'s narrow exceptions. If, however, the petitioner
7 has not failed to develop the factual basis of a claim in state court, the court considers
8 whether a hearing is appropriate or required under the criteria set forth in *Townsend v.*
9 *Sain*, 372 U.S. 293 (1963), *overruled on other grounds by Keeney v. Tamayo-Reyes*, 504
10 U.S. 1 (1992). *See Baja v. Ducharme*, 187 F.3d 1075, 1078 (9th Cir. 1999). Because
11 AEDPA did not change the standards by which a federal court exercises that discretion,
12 *see Schriro v. Landrigan*, 550 U.S. 465, 473 (2007), the Supreme Court's decision in
13 *Townsend* governs when a federal district court reviewing a habeas petition de novo must
14 grant an evidentiary hearing. *See Hibbler*, 693 F.3d at 1147.

15 The Court concludes that Petitioner is entitled to an evidentiary hearing to
16 determine whether he was denied the effective assistance of counsel at sentencing.
17 *See Stanley v. Schriro*, 598 F.3d 612, 624 (9th Cir. 2010) ("Where a petitioner has not
18 failed to develop the factual basis of his claim in State court, as required by 28 U.S.C. §
19 2254(e)(2), an evidentiary hearing is required where the petitioner's allegations, if true,
20 would entitle him to relief, and the petitioner has satisfied the requirements of
21 *Townsend*."). (citing *Insyxiengmay v. Morgan*, 403 F.3d 657, 670 & n.6 (9th Cir. 2005)).

22 First, Petitioner has not "failed to develop the factual basis of [the] claim in State
23 court proceedings," as § 2254(e)(2) requires.¹¹ Petitioner sought and was denied an
24 evidentiary hearing to establish that his trial attorneys failed to conduct an adequate
25 investigation, failed to present a comprehensive history of drug abuse, failed to show how
26 Petitioner's drug abuse was related to the offense, failed to have mental health experts
27 opine on Petitioner's mental state at the time of the offense, failed to present expert

28 ¹¹ Respondents do not contest Petitioner's assertion that § 2254(e)(2) does not
limit the availability of an evidentiary hearing on Claim 2. (*See Doc. 42 at 33.*)

1 testimony to explain the causal connection between Petitioner’s social history and the
2 crime, and failed to advise Petitioner to accept responsibility for the crime during his
3 allocution. *See Stanley*, 598 F.3d at 623–24 (finding petitioner did not fail to develop the
4 factual basis of the claim when state court found, without holding an evidentiary hearing
5 or making findings regarding the investigation underlying trial counsel’s decision, that
6 Petitioner’s determination not to waive physician-client privilege was a matter of
7 reasoned trial strategy); *see also Hurles*, 752 F.3d at 791 (“A petitioner who has
8 previously sought and been denied an evidentiary hearing has not failed to develop the
9 factual basis of his claim.”) (citing *Stanley*, 598 F.3d at 624).

10 Second, under *Townsend*, an evidentiary hearing is justified where, as in this case,
11 “the state factual determination is not fairly supported by the record as a whole” and “the
12 fact-finding procedure employed by the state court was not adequate to afford a full and
13 fair hearing.” 372 U.S. at 313. The Court finds an evidentiary hearing is justified in this
14 case based on the same factors the Court considered above in finding the state court’s
15 factual determinations unreasonable under § 2254(d)(2). *See Hibbler*, 693 F.3d at 1147
16 (framework for considering whether a district court erred in failing to conduct an
17 evidentiary hearing provides guidance in determining what sort of procedural deficiencies
18 will render a state court’s fact-finding unreasonable). Finally, Petitioner has alleged facts
19 which, if true, present a colorable claim for relief. *See Landrigan*, 550 U.S. at
20 474; *Stanley*, 598 F.3d at 624.

21 Finally, Respondents argue that an evidentiary hearing is unnecessary because this
22 Court may rely on the evidence presented in state court. (Doc. 42 at 33) (citing
23 *Landrigan*, 550 U.S. at 474). For reasons previously discussed, the Court disagrees that
24 the performance prong of Petitioner’s IAC claim may be resolved on the record.
25 Petitioner’s allegations of deficient performance were not patently incredible, and, in
26 light of disputed factual claims before the state court, could not be resolved in the
27 absence of a hearing. The Court also finds that Petitioner’s untested proffer of additional
28 mitigating evidence that could have been presented is insufficient for resolving the
prejudice prong on the record before the Court.

1 Accordingly, having established: (1) that Petitioner did not fail to develop the
2 factual basis of the claim in state court proceedings as § 2254(e)(2) requires; (2) that “the
3 state factual determination is not fairly supported by the record as a whole” and “the fact-
4 finding procedure employed by the state court was not adequate to afford a full and fair
5 hearing,” *see Townsend*, 372 U.S. at 313; and (3) that Petitioner has alleged facts which,
6 if true, would present a colorable claim for relief, and cannot be resolved solely by
7 reference to the state court record, *see Landrigan*, 550 U.S. at 474, this Court grants
8 Petitioner’s motion for an evidentiary hearing on his IAC at sentencing claim.

9 B. Request for Discovery

10 Petitioner makes one specific discovery request: that he be granted leave to
11 conduct the depositions of trial counsel prior to the hearing on Claim 2. Respondents
12 opposed this request as it was raised in Claim 1 (*see* Doc. 42 at 31), but did not
13 specifically address Petitioner’s request to depose counsel in the event Petitioner
14 established a violation of § 2254(d) in Claim 2. (*See* Doc. 42 at 33.) Accordingly, counsel
15 should be prepared to discuss Petitioner’s request to depose trial counsel during pre-
16 hearing discovery proceedings.

17 Petitioner also asserts that he may supplement his claim with additional evidence
18 within the limits that exhaustion allows (Doc. 38 at 51) and that discovery is not barred
19 under the circumstances of this case (Doc. 46 at 34). These requests are denied.

20 A habeas petitioner is not entitled to discovery “as a matter of ordinary course.”
21 *Bracy v. Gramley*, 520 U.S. 899, 904 (1997). Discovery is authorized upon a showing of
22 good cause, but the “party requesting discovery must provide reasons for the request. The
23 request must also include any proposed interrogatories and requests for admission, and
24 must specify any requested documents.” Rule 6(a) and (b), Rules Governing § 2254
25 Cases, 28 U.S.C. foll. § 2254.

26 “[A] district court abuse[s] its discretion in not ordering Rule 6(a) discovery when
27 discovery [i]s ‘essential’ for the habeas petitioner to ‘develop fully’ his underlying
28 claim.” *Pham v. Terhune*, 400 F.3d 740, 743 (9th Cir. 2005) (quoting *Jones v. Wood*, 114
F.3d 1002, 1009 (9th Cir. 1997)). However, courts should not allow a petitioner to “use

1 federal discovery for fishing expeditions to investigate mere speculation.” *Calderon v.*
2 *United States Dist. Ct. for the N. Dist. of Cal. (Nicolaus)*, 98 F.3d 1102, 1106 (9th Cir.
3 1996); *see also Rich v. Calderon*, 187 F.3d 1064, 1067 (9th Cir. 1999) (habeas corpus is
4 not a fishing expedition for petitioners to “explore their case in search of its existence”) (quoting *Aubut v. State of Maine*, 431 F.2d 688, 689 (1st Cir. 1970)).

5
6 Whether a petitioner has established “good cause” for discovery under Rule 6(a)
7 requires a habeas court to determine the essential elements of the substantive claim and
8 evaluate whether “specific allegations before the court show reason to believe that the
9 petitioner may, if the facts are fully developed, be able to demonstrate that he is . . .
10 entitled to relief.” *Bracy*, 520 U.S. at 908–09 (quoting *Harris v. Nelson*, 394 U.S. 286,
11 300 (1969)).

12 Petitioner fails to show good cause because his vague assertion that discovery is
13 not barred lacks the specificity required by Rule 6. *See Teti v. Bender*, 507 F.3d 50, 60
14 (1st Cir. 2007) (denying discovery request because petitioner “did not comply with the
15 specific requirements of Rule (6)(b); his request for discovery is generalized and does not
16 indicate exactly what information he seeks to obtain. A habeas proceeding is not a fishing
17 expedition”). Petitioner’s generalized statement that discovery is not barred does not
18 constitute “good cause.”

19 C. Request to Supplement the Record

20 To the extent Petitioner seeks to supplement his claim with additional evidence
21 “within the limits that exhaustion allows” (Doc. 38 at 51), this request is denied because
22 it also lacks the requisite specificity. To the extent Petitioner intends to offer additional
23 evidence in the form of exhibits at the evidentiary hearing, the Court will address the
24 propriety of specific evidentiary offerings during the pre-hearing discovery proceedings.

25 D. Request to Expand the Record

26 Exhibits 1-27 of Petitioner’s Motion for Evidentiary Development were part of the
27 state court proceedings that Respondents were unable to provide, and this Court expands
28 the record to include these exhibits.

1 In conclusion, Petitioner has established that the state court's ruling on his IAC at
2 sentencing claim was based on unreasonable determinations of fact and, for purposes of
3 this Court's de novo review of the claim, is entitled to an evidentiary hearing to resolve
4 disputed issues of fact presented by the claim. Petitioner may also be entitled to obtain
5 the depositions of trial counsel. The Court denies all other requests for discovery and
6 expansion of the record, without prejudice to making appropriate requests during pre-
7 hearing proceedings on this claim.

8 **III. CLAIMS 3–8, AND 27**

9 Petitioner argues that he was denied his right to due process, a fair trial, and
10 reliable sentencing because the trial court failed to strike biased jurors for cause;
11 Petitioner was improperly restrained; prejudicial testimony was admitted; relevant
12 mitigating evidence was precluded; misconduct by the prosecutor, “coercive
13 instructions,” and juror improprieties.

14 A. Claim 3

15 Petitioner asserts that the trial court failed to strike Jurors 136, 150, 169 and 170
16 for cause, requiring Petitioner to use his peremptory challenges to remove these four
17 jurors, and leaving Petitioner with no peremptory challenges available to remove four
18 other biased jurors, Jurors 62, 123, 127 and 193. (Doc 28 at 200–07.)

19 **1. Factual and Procedural Background**

20 During jury selection, Petitioner moved to strike Juror 136 based on her
21 connection to a member of the Tucson Police Department (RT 1/25/05 at 160–61), Juror
22 150 because defense counsel represented a defendant charged with murdering the juror's
23 children's pediatrician (*id.* at 123, 156–57), Juror 169 because she had formed opinions
24 about the case and had expressed a dislike of guns (RT 1/26/05 at 160), and Juror 178
25 based on his statements concerning mental impairments and his opinion of mental health
26 experts (*id.* at 214). The trial court denied Petitioner's motions to strike these four jurors
27 for cause. (RT 1/25/05 at 124, 160, 162; RT 1/26/05 at 161, 215.) Petitioner removed
28 these jurors using peremptory strikes. (*See* RT 1/28/05 at 28; *Cruz*, 181 P.3d at 205.)

During *voir dire*, the prosecutor questioned Juror 62 regarding a response on his

1 questionnaire indicating that he could “maybe” follow the court’s instruction that the
2 verdict of either life or death cannot be based on anger, prejudice, or sympathy. (RT
3 1/20/05 at 112.) Juror 62 explained his response: “I think that I was looking for - - for
4 proof, for evidence. I guess if I understand the question, you couldn’t make a decision out
5 of anger, right?” (*Id.*) The prosecutor noted some hesitancy in the juror’s response and
6 probed further, asking if Juror 62 could follow the instruction, and what might be causing
7 his hesitance. The following statements were made:

8 Juror 62: What I was thinking of, at the time I think that I can - - I think I
9 can do that, I just think that that’s an instruction, right? I couldn’t do it for
10 those reasons.

11 Prosecutor: Basically, if you’re going to have any difficulty participating in
12 this trial, you feel like emotions or other factors are going to play a part,
13 that’s fine, you’re entitled to feel that way. We just need to know that, we
14 just need to know that now rather than later if you’re going to have some
15 problems.

16 ...

17 Juror 62: I think it’s an emotional issue.

18 ...

19 Juror 62: I think I would have - - I would have to think about what’s
20 happening, you know. I think that I can be a fair individual, that’s what I
21 hope anyway, that’s what I’m striving to do. I think that if I don’t think
22 [sic] have a decision, I guess is what I’m saying about - - about this trial,
23 anything about it, I guess so, I’m hoping to - - whatever information I get,
24 then I’ll have to make a decision on my own. I don’t know if that answers
25 your question.

26 (*Id.* at 112–14.)

27 During follow-up by defense counsel, Juror 62 stated that he thought he could
28 follow the instruction and did not think he had feelings of anger or prejudice, “but
perhaps later on in trial that might become a factor.” (*Id.* at 120–21.) Juror 62 did not
believe it was anger or prejudice he was thinking about when he filled out the

1 questionnaire, but “[p]robably sympathy.” (*Id.* at 121.) Juror 62 felt he could be a fair
2 juror in the case. (*Id.* at 120–23.)

3 Defense counsel noted Juror 62 had also responded in the questionnaire that the
4 death penalty would be appropriate in very special types of cases, and followed up by
5 asking him what types of cases the juror would classify as special cases deserving of the
6 death penalty.

7
8 Juror 62: Well, this has come up, I thought about that and the cases, the
9 situations that I envision are situations where there was torture involved or
10 there was multiple stabbings when someone was just not killed but
repeatedly - - repeatedly injured, that is what came to my mind.

11 Defense Counsel: You also responded that there are crimes for which the
12 death penalty should always be imposed, do you recall that question and
making that response?

13 Juror 62: I don’t recall that, but I probably did.

14
15 Defense Counsel: Okay. Sounds fair. And, again, would those crimes be
16 basically what you were referring to?

17 Juror 62: Yeah, the same situations.

18 (*Id.* at 124–25.) Defense counsel then attempted to ask Juror 62 hypothetical questions
19 concerning when he would impose the death penalty, but the trial court interrupted him,
20 calling counsel to the bench.

21 Defense Counsel: What if his attitude is that he feels the death penalty
22 should be automatically imposed?

23 The Court: You can ask him if he feels that - - I think I already asked that
24 question, he already said, no. . . . I got a negative answer to whether he
25 thinks the death penalty would be imposed in a case, one of the questions I
ask that he would automatically vote for death, he automatically would not.
26 . . .

27 (*Id.* at 125–26.) Defense counsel challenged Juror 62 for cause (*id.* at 135), but the trial
28 court denied his challenge, stating:

1 I'm going to deny the challenge for cause on him. I have listened to him, I
2 heard him say over and over again I'm - - he's fair I'm open to getting the
3 information deciding - - I can follow the instructions, I can be fair. . . . And
4 I think based on all of his responses that - - that - - that he can be fair and
impartial, that's been my observation of him.

5 (RT 1/20/05 at 136). Juror 62 served on the jury. (RT 1/28/05 at 57.)

6 Juror 123's brother was a police officer in Peoria. (*See* RT 1/25/05 at 18–19.)
7 Nonetheless, she assured the court that she could follow the law as provided by the
8 instructions, judge the testimony of all the witnesses by the same standards, keep an open
9 mind, and be fair and impartial to both sides. (*Id.* at 20–22.) The trial court denied
10 Petitioner's motion to strike Juror 123 for cause, explaining he had observed her at the
11 bench and "her answers demonstrate that she can be fair and impartial, so I'm going to
12 deny the challenge for cause." (*Id.* at 73.) Petitioner asserted that he would have used a
13 peremptory strike on Juror 123, but had no strikes remaining, having used them on jurors
14 who should have been struck for cause. (RT 1/28/05 at 51–52.) Juror 123 served on the
15 jury. (RT 1/28/05, at 58.)

16 Petitioner did not challenge Juror 127 for cause, but stated if he had peremptory
17 strikes left he would have used them on this juror because she had law enforcement
18 connections, had read and heard about the case, believed in "an eye for an eye," and was
19 concerned about the cost to maintain prisoners. (RT 1/28/05 at 51–52.) Juror 127 served
20 on the jury. (RT 1/28/05, at 58.)

21 During *voir dire*, Juror 193 stated that her husband, now retired, had been a police
22 sergeant in New Jersey from 1968 to 1988. (RT 1/27/05 at 8.) She stated that the fact that
23 a police officer was the victim in the case would "absolutely not" affect her in any way,
24 and that she could be fair and impartial. (*Id.*) When asked if she were placed in
25 Petitioner's position, would she be comfortable having herself on the jury, Juror 193
26 responded "he probably would not want me." (RT 1/27/05 at 37.) Defense counsel
27 explained that wasn't the question he had asked, and asked if she were Petitioner, would
28 she be comfortable knowing she was on the jury. (*Id.*) Juror 193 answered "yes." (*Id.*)
Petitioner did not challenge Juror 193 for cause but asserted to the trial court that he

1 would have used a peremptory strike, but had no strikes left after using them on the other
2 four jurors who should have been struck for cause. (RT 1/28/05 at 51–52.) Juror 193
3 served on the jury. (RT 1/28/05, at 58.) After the penalty phase verdict, Juror 193 made a
4 statement to the press that if the sentence “deters a criminal and saves a peace officer’s
5 life in the future, then the message we sent in our decision is positive. The message is, ‘It
6 is not OK to take a peace officer’s life because they try to stop your illegal lifestyle.’”
7 (See ROA 644, Ex. 3); *Cruz*, 181 P.3d at 206.

8 Petitioner argued on appeal that the trial court erred by failing to strike Jurors 136,
9 150, 169, and 178 for cause, and that he was prejudiced because he was forced to use
10 peremptory strikes to remove these jurors. (APP 51 at 55–58.) Petitioner also argued that
11 the trial court erred by not excusing Jurors 62, 123, 127 and 193 for cause. (*Id.* at 58.)

12 The Arizona Supreme Court found that Petitioner had waived any argument
13 regarding Juror 127 by failing to set forth any reason to strike the juror in his opening
14 brief. *Cruz*, 181 P.3d at 205 n.3.

15 Because Petitioner had not moved to strike Juror 193 for cause during jury
16 selection, the court reviewed this claim only for fundamental error and found none:

17 When questioned, she stated that she could be fair and impartial to both
18 sides. Cruz’s concerns that sympathies based on her husband’s former job
19 might influence her decisions exemplify why a defendant is given
20 peremptory strikes: to remove a qualified juror whom the defendant does
21 not wish to have on the jury.

22 *Id.* at 206. The court rejected Petitioner’s request to consider Juror 193’s statement made
23 following the trial as evidence of her bias, noting that the out-of-court statement was not
24 admissible to contradict the verdict. *Id.* at 206.

25 The court found that the trial court did not abuse its discretion by refusing to strike
26 the other six jurors for cause because upon questioning, “all of these jurors unequivocally
27 stated that they could fairly evaluate the evidence, follow the court’s instructions, and set
28 aside any preconceived notions of guilt.” *Id.* at 205. The court declined Petitioner’s
request to hold that an erroneous failure to excuse a juror for cause in a capital case
always constitutes reversible error regardless of prejudice: “As the United States

1 Supreme Court stated . . . “[s]o long as the jury that sits is impartial . . . the fact that the
2 defendant had to use a peremptory challenge to achieve that result does not mean the
3 Sixth Amendment was violated.” *Id.* at 206 (quoting *United States v. Martinez-Salazar*,
4 528 U.S. 304, 313 (2000)).

5 **2. Exhaustion and Procedural Default**

6 The Court finds that Claim 3, as it relates to Jurors 62, 123, 136, 150, 169, 170,
7 and 193, was exhausted in state court. The Court further finds that Claim 3, as it relates to
8 Juror 127, is procedurally defaulted because it was not exhausted in state court and
9 Petitioner has not demonstrated cause and prejudice to excuse the default of this claim.

10 Respondent asserts Claim 3 is fully exhausted. Petitioner agrees that Claim 3, as it
11 relates to Jurors 136, 150, 169, 170, 62, and 123, is exhausted but contends that the
12 portions of Claim 3 relating to Jurors 127 and 193 are unexhausted and procedurally
13 defaulted. The Court disagrees. Though Petitioner argued on direct appeal that the trial
14 court erred by failing to strike Juror 193 for cause, the Arizona Supreme Court reviewed
15 this claim for fundamental error because trial counsel had failed to move to strike Juror
16 193 during jury selection. *Cruz*, 181 P.3d at 206. The court then reviewed the dismissal
17 of this juror under applicable federal law and concluded there had been no error. *Id.* That
18 review exhausted this claim; therefore, the portion of Claim 3 related to Juror 193 will be
19 reviewed on the merits.

20 Petitioner failed to properly raise the portion of Claim 3 related to Juror 127 in
21 state court. Though Petitioner summarily raised the claim that the trial court erred in not
22 excusing Juror 127 in his opening brief, the Arizona Supreme Court found any argument
23 regarding Juror 127 waived because Petitioner failed to set forth any explanation in
24 support of his claim in his opening brief. *Cruz*, 181 P.3d at 205 n.3. In Arizona, failure to
25 argue a claim in an opening brief on appeal, supported by authority and setting forth the
26 appellant’s position on the issues raised, usually constitutes abandonment and waiver of
27 that claim. *State v. Carver*, 771 P.2d 1382, 1390 (Ariz. 1989). Because Petitioner failed
28 to argue his claim in his opening brief, he failed to present his claim “in a procedural
context in which [the] merits” of that claim could be considered. *See Roettgen v.*

1 *Copeland*, 33 F.3d 36, 38 (9th Cir. 1994).

2 Petitioner erroneously contends that he can demonstrate good cause to overcome
3 the procedural default under *Martinez* because post-conviction counsel was ineffective in
4 failing to raise the substantive merits of the claims related to Juror 127. (Doc. 37 at 178–
5 180.) The *Martinez* exception, however, applies only to claims of ineffective assistance
6 of trial counsel. *Davila*, 137 S. Ct. at 2062–63. Recognizing that only the Supreme Court
7 can expand the application of *Martinez* outside the context of ineffective assistance of
8 counsel claims, the Ninth Circuit has declined to extend *Martinez* to claims of trial court
9 error. *See Pizzuto v. Ramirez*, 783 F.3d 1171, 1177 (9th Cir. 2015) (declining to extend
10 *Martinez* to cover claims of trial error); *Hunton v. Sinclair*, 732 F.3d 1124, 1126–27 (9th
11 Cir. 2013) (acknowledging that only the Supreme Court may extend the scope of
12 *Martinez*). Because Claim 3, as it relates to Juror 127, is not an ineffective assistance of
13 counsel claim, PCR counsel’s deficient performance may not serve as cause to excuse a
14 procedural default of this portion of the claim. *See Pizzuto*, 783 F.3d at 1176–77. Thus, to
15 the extent this claim is unexhausted and procedurally defaulted, it is denied.

16 **3. Merits**

17 The Court further finds that the Arizona Supreme Court’s resolution of claims
18 related to Jurors 62, 123, 136, 150, 169, 178, and 193 was neither contrary to, nor an
19 unreasonable application of, clearly established federal law, nor did it rest on an
20 unreasonable determination of the facts under § 2254(d).

21 a. Legal Standard

22 A criminal defendant is entitled to a fair trial by “a panel of impartial, ‘indifferent’
23 jurors.” *Irvin v. Dowd*, 366 U.S. 717, 722 (1961) (superseded on other grounds by
24 AEDPA). A prospective juror may be excluded for cause because of his or her views on
25 capital punishment “if the juror’s views would ‘prevent or substantially impair the
26 performance of his duties as a juror in accordance with his instructions and his oath.’”
27 *Wainwright v. Witt*, 469 U.S. 412, 424 (1985) (quoting *Adams v. Texas*, 448 U.S. 38, 45
28 (1980)).

 Actual bias is “bias in fact”—“the existence of a state of mind that leads to an

1 inference that the person will not act with entire impartiality.” *Fields v. Brown*, 503 F.3d
2 755, 767 (9th Cir. 2007) (citation and internal quotation marks omitted). Actual bias is
3 typically found when a prospective juror states that he cannot be impartial, or expresses a
4 view adverse to one party’s position and responds equivocally as to whether he could be
5 fair and impartial despite that view. *Id.*

6 Although “[t]he Constitution . . . does not dictate a catechism for *voir dire* . . . part
7 of the guarantee of a defendant’s right to an impartial jury is an adequate *voir dire* to
8 identify unqualified jurors.” *Morgan v. Illinois*, 504 U.S. 719, 729 (1992) (citations
9 omitted). A juror who would automatically impose the death penalty if a defendant is
10 found guilty is not impartial and must be removed for cause. *Id.* at 733; *Ross v.*
11 *Oklahoma*, 487 U.S. 81, 85 (1988).

12 Finally, the Supreme Court has, with few exceptions, adhered to the “the near-
13 universal and firmly established common-law rule in the United States flatly prohibit[ing]
14 the admissibility of juror testimony to impeach a jury verdict.” *Tanner v. United States*,
15 483 U.S. 107, 117 (1987). Exceptions to this rule have been “recognized only in
16 situations in which ‘extraneous influence’ . . . was alleged to have affected the jury”
17 *Tanner*, 483 U.S. at 117 (quoting *Mattox v. United States*, 146 U.S. 140 (1892)) (internal
18 citation omitted), or when a juror’s statements indicate that racial animus was a
19 significant motivating factor in his or her finding of guilt, *Pena-Rodriguez v. Colorado*,
20 137 S. Ct. 855 (2017). Thus, although the Supreme Court has permitted inquiry into
21 extraneous influences on jurors concerning whether they heard and read prejudicial
22 information not admitted into evidence, *Mattox*, 146 U.S. at 149, and the influence on
23 jurors by outsiders, *Turner v. Louisiana*, 379 U.S. 466 (1965), it has declined to require
24 inquiry or consideration of evidence with regard to the internal processes of the jury.
25 *Tanner*, 483 U.S. at 119–124.

26 b. Analysis

27 (i) ***Peremptory Challenges: Jurors 136, 150, 169 and***
28 ***178***

In this case, even if the trial court erred in failing to strike Jurors 136, 150, 169,

1 and 178 for cause, Petitioner is not entitled to relief on the grounds that he used his
2 peremptory challenges on these jurors. The Supreme Court rejected such an argument in
3 *Ross v. Oklahoma*, holding that any claim that the jury was not impartial must focus on
4 the jurors who ultimately sit on the jury. 487 U.S. at 86. “So long as the jury that sits is
5 impartial, the fact that the defendant had to use a peremptory challenge to achieve that
6 result does not mean the Sixth Amendment was violated.” *Id.* at 88.

7 **(ii) Challenges for Cause: Jurors 62 and 123**

8 Petitioner cannot show that the state court’s decision rejecting Petitioner’s
9 challenge to Jurors 62 and 123 was based on an unreasonable application of clearly
10 established Federal law, as stated in *Morgan*. In *Morgan*, the Court noted, “It may be that
11 a juror could, in good conscience, swear to uphold the law and yet be unaware that
12 maintaining such dogmatic beliefs about the death penalty would prevent him or her from
13 doing so.” 504 U.S. at 735. The Court therefore concluded that a defendant is “entitled,
14 upon his request, to inquiry discerning those jurors who . . . had predetermined . . .
15 whether to impose the death penalty.” *Id.* at 736.

16 *Morgan* is distinguishable from this case. In *Morgan*, the Court was concerned
17 with a trial court limiting a petitioner’s ability, “*through questioning*” to “lay bare the
18 foundation of petitioner’s challenge for cause against those prospective jurors who would
19 always impose death following conviction.” 504 U.S. at 733 (quoting *Witt*, 469 U.S. at
20 423) (emphasis in original). In this case, Petitioner asserts that the state court “failed to
21 search beyond the promise to be fair, to follow instructions, [and] to inquire into the
22 dogmatic beliefs of the jurors.” (Doc. 28 at 208.) Petitioner, however, has never asserted
23 that the trial court, in violation of *Morgan*, improperly limited his ability to inquire into
24 any juror’s “dogmatic beliefs.” (See Doc. 28 at 208; APP 51 at 55–63.) Rather, the record
25 demonstrates that the trial court allowed Petitioner to make relevant, searching, and
26 thorough inquiries into the jurors’ claims that they could follow the law as instructed.
27 (See e.g., RT 1/20/05 at 116–25.)

28 **(iii) Impartiality: Juror 62**

The state court’s finding that Juror 62 was impartial was not based on an

1 unreasonable determination of fact. *See* 28 U.S.C. § 2254(d)(2). Petitioner argues that the
2 state court unreasonably found that Juror 62 was impartial because his statements were
3 either internally inconsistent and unbelievable, or equivocal.¹² (Doc. 28 at 208–09.)
4 Federal habeas relief may be granted based on a state court’s failure to strike a juror for
5 cause only where there is no fair support in the record for the court’s determination that
6 the juror was unbiased. *Witt*, 469 U.S. at 424. A state court’s determination of juror
7 impartiality is entitled to a presumption of correctness on federal habeas review. *Id.* at
8 429; *see Uttecht v. Brown*, 551 U.S. 1, 9 (2007) (“Deference to the trial court is
9 appropriate because it is in a position to assess the demeanor of the venire, and of the
10 individuals who compose it, a factor of critical importance in assessing the attitude and
11 qualifications of potential jurors.”).

12 In light of the record in the state court proceedings, Petitioner has not shown that
13 the trial court unreasonably found that Juror 62 was impartial. *See Bashor v. Risley*, 730
14 F.2d 1228, 1237 (9th Cir. 1984) (holding that a juror who initially equivocated as to her
15 impartiality could be found impartial after she affirmatively said she thought she could be
16 fair). Nor has Petitioner shown that the Arizona Supreme Court’s decision was based on
17 an unreasonable determination of the facts.

18 The trial court is in a superior position to observe a juror's physical appearance
19 and demeanor, *Perez v. Marshall*, 119 F.3d 1422, 1427 (9th Cir. 1997), and is “best
20 situated to determine competency to serve impartially.” *Patton v. Yount*, 467 U.S. 1025,
21 1039 (1984). Here, the trial judge determined that Juror 62 could be impartial based not
22 only on the juror’s answers but also on his observation of the juror’s demeanor. (*See* RT
23 1/20/05, at 136; RT 1/26/05, at 161.) Juror 62’s responses reflect the thinking processes
24 of an honest and conscientious juror. Juror 62 acknowledged that he understood he
25 couldn’t make a decision out of anger, prejudice or sympathy, that despite the fact it was
26 an emotional issue he thought he could be a “fair individual,” that he was “striving” to be

27
28 ¹² Petitioner raises the same allegations as to Juror 169. (*See* Doc. 28 at 209.)
Because Juror 169 did not sit on the jury, this Court need not examine Juror 169’s
qualifications. *See Ross v. Oklahoma*, 487 U.S. at 86.

1 fair, and would “make a decision on his own” based on the information he had. He did
2 not believe that the death penalty should always be imposed, but only in “very special
3 cases,” such as cases involving torture or repeated injury. The trial court denied the
4 challenge for cause, stating that based on the juror’s responses, and the court’s own
5 observations, Juror 62 could be fair and impartial. The Arizona Supreme Court upheld
6 the trial court’s decision, stating that Juror 62 “unequivocally stated that [he] could fairly
7 evaluate the evidence, follow the court’s instructions, and set aside any preconceived
8 notions of guilt.” *Cruz*, 181 P.3d at 205.

9 Viewing the record in its entirety, given the juror's statements that he thought he
10 could be, and was “striving to be,” a “fair individual,” and the deference due to the trial
11 court’s assessment of the juror’s demeanor, Petitioner has not shown that the trial court’s
12 decision finding Juror 62 could be fair and impartial, and the Arizona Supreme Court’s
13 affirmance of that decision, was based on an unreasonable determination of facts.

14 **(iv) Implied Bias: Juror 123**

15 The trial court’s failure to remove Juror 123 for cause was neither contrary to or
16 an unreasonable application of clearly established Federal law, nor was it based on an
17 unreasonable determination of facts. Petitioner asserts that Juror 123 should have been
18 removed for cause because her brother was a police officer and because she initially
19 agreed she would tend to favor the prosecution. (Doc. 28 at 205–06.) The Supreme Court
20 has not explicitly adopted the doctrine of implied bias. *See Fields*, 503 F.3d at 768
21 (stating that “the Supreme Court has never held that a juror was impliedly biased in the
22 absence of juror dishonesty”). Thus, there is no Supreme Court precedent that creates
23 “clearly established federal law” relating to the issue of implied bias in this context.
24 Although bias can be “presumed from the potential for substantial emotional
25 involvement, adversely affecting impartiality, inherent in certain relationships,” *Tinsley v.*
26 *Borg*, 895 F.2d 520, 527 (9th Cir. 1990) (quoting *United States v. Allsup*, 566 F.2d 68, 71
27 (9th Cir. 1997)), such bias should be presumed “[o]nly in ‘extreme’ or ‘extraordinary’
28 cases.” *Tinsley*, 895 F.2d at 527 (quoting *Smith v. Phillips*, 455 U.S. 209, 222 (1982)
(O’Connor, J., concurring)); *see also United States v. Gonzalez*, 214 F.3d 1109, 1112 (9th

1 Cir. 2000) (“[T]he relevant question is whether the case presents a relationship in which
2 the potential for substantial emotional involvement, adversely affecting impartiality, is
3 inherent.”) (internal quotation marks omitted). The doctrine has been successfully
4 invoked only “on rare occasions.” *See Fields*, 503 F.3d at 768. Courts have declined to
5 find implied bias when a juror works in law enforcement or is related to a police officer.
6 *See Tinsley*, 895 F.2d at 529 (citations omitted). The Court finds that Juror 123’s
7 relationship to a family member involved in law enforcement is not an “extreme” or
8 “extraordinary” circumstance that warrants a presumption of bias. *See Tinsley*, 895 F.2d
9 at 527.

10 (v) ***Actual Bias: Juror 123***

11 Because Petitioner also failed to demonstrate that Juror 123 was actually biased,
12 *see Fields*, 503 F.3d at 767, the trial court’s failure to remove Juror 123 for cause was
13 neither contrary to or an unreasonable application of clearly established Federal law, nor
14 was it an unreasonable determination of facts. The primary safeguard against bias is *voir*
15 *dire*. “In most situations, *voir dire*, ‘the method we have relied on since the beginning,’
16 should suffice to identify juror bias.” *Id.* at 528 (quoting *Patton v. Yount*, 467 U.S. 1025,
17 1038 (1984)). Indeed, in this case, Petitioner did not argue that Juror 123 was actually
18 biased. Nor could he; the record demonstrates that during *voir dire* Juror 123 explained
19 her response to the questionnaire that the information she had read or heard about in the
20 case would make her favor the prosecution because “the newspaper assumed that he was
21 guilty. That doesn’t mean that I assume that he is.” (RT 1/25/05 at 19.) Upon further
22 questioning, Juror 123 unequivocally agreed that she could be fair and impartial, make a
23 determination based only on the facts presented at trial, follow the law as provided, and
24 judge the testimony of a police officer by the same standard as any witness because she
25 “know[s] there’s two sides to every story.” (*Id.* at 20–22.) Petitioner has failed to
26 demonstrate that Juror 123 was presumptively or actually biased.

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1 (vi) ***Implied Bias: Juror 193***¹³

2 Petitioner fails to demonstrate that Juror 193 was presumptively biased. Juror
3 193's marriage to a police sergeant, retired from service in New Jersey in 1988, is not the
4 type of personal experience that warrants a finding of implied bias. *See Tinsley*, 895 F.2d
5 at 527; *see also Gonzalez*, 214 F.3d at 1112.

6 (vii) ***Actual Bias: Juror 193***

7 Petitioner fails to demonstrate that Juror 193 was actually biased. He asserts that
8 Juror 193's statement that Petitioner "would probably not want me" sitting on his jury
9 was a deeply concerning and "horrifying revelation." (Doc. 28 at 206–07.) Again,
10 Petitioner takes this statement out of context and exaggerates the significance of Juror
11 193's response. Defense counsel, addressing this response, commented that Juror 193 had
12 misunderstood the question that was asked, and, after the question was clarified, Juror
13 193 indicated that if she were Petitioner she would be comfortable knowing she was on
14 the jury. (*See* RT 1/27/05 at 37.) Juror 193 also proclaimed that the fact that a police
15 officer was the victim in the case would "absolutely not" affect her in any way and that
16 she could be fair and impartial. (RT 1/27/05 at 8.) *See Gonzalez*, 214 F.3d at 1112 n. 3.

17 Petitioner asserts that the state court erred in not taking into consideration Juror
18 193's statement to the press after trial, asserting that review of the statement is essential
19 to Petitioner's demonstration of a federal constitutional violation. (Doc 28 at 209.)
20 Petitioner argues that the state court's ruling was inconsistent with federal law. (*Id.*)
21 (citing *Doan v. Brigano*, 237 F.3d 722, 728 (6th Cir. 2001), *abrogation on other grounds*
22 *recognized by Maples v. Stegall*, 340 F.3d 433 (6th Cir. 2003)).

23 Petitioner has failed to demonstrate that the state court's decision was contrary to
24 or an unreasonable application of Supreme Court precedent. With few exceptions not
25 applicable here, the Supreme Court prohibits the admission of juror testimony to impeach
26 a jury verdict. *See Tanner*, 483 U.S. at 117, 119–121; *see also Pena-Rodriguez*, 137 S.

27
28 ¹³ Petitioner also asserts in Claim 24(B) that his trial counsel was ineffective for
failing to move to strike Juror 193 for cause. (Doc. 28 at 261–62). This assertion is
addressed in Section VIII, below.

1 Ct. 855. The Sixth Circuit’s holding in *Doan* does not undermine this conclusion. The
2 *Doan* court held that a state court could not rely on an evidentiary rule to prevent
3 consideration of any evidence that would demonstrate that Petitioner’s federal
4 constitutional right to a fair and impartial jury was violated. (*See* Doc. 28 at 209.) The
5 *Doan* court concluded that, by refusing to allow consideration of evidence of improper,
6 out-of-court, juror experimentation, the state rule of evidence rendering petitioner’s
7 evidence of misconduct inadmissible failed to adequately protect petitioner’s
8 constitutional right to a fair trial and therefore, “[t]he state court’s use of this rule to
9 decide [petitioner’s] constitutional claim is ‘contrary to’ clearly established Supreme
10 Court precedent recognizing the fundamental importance of this right.” *Id.* at 733. *Doan*,
11 however, is neither binding on this Court, nor does it constitute clearly established federal
12 law as determined by the Supreme Court. Accordingly, it cannot provide the basis for
13 habeas relief under § 2254. *See, e.g., Williams*, 529 U.S. at 412. Given the Supreme
14 Court’s decisions permitting juror testimony to impeach a verdict only when such
15 testimony involves “outside influences,” it cannot be said on this record that the Arizona
16 court’s ruling was contrary to, or an unreasonable application of, clearly established
17 Federal law as determined by the United States Supreme Court. Nor did the state court’s
18 proceeding result in a decision that was based on an unreasonable determination of the
19 evidence presented.

20 Petitioner contends that Respondents “misappropriated” his argument related to
21 Juror 193’s statements, that Petitioner did not offer the post-trial statement as evidence to
22 impeach the verdict, but as evidence that Juror 193 was a biased juror. (*See* Doc. 37 at
23 182.) In fact, in both Petitioner’s motion for new trial and direct appeal, Petitioner
24 conflated these two arguments: that the statement Juror 193 gave was “indicative of juror
25 bias” and was “clearly contrary to the instructions” of the court. (ROA 644 at 14; *see also*
26 APP 51 at 59 (same)). To the extent Petitioner offered the statement as evidence that the
27 jurors acted contrary to the court’s instruction, the state court properly declined to
28 consider the evidence. *See Warger v. Shauers*, 135 S.Ct. 521, 529 (2014); *see also State*
v. Dickens, 187 Ariz. 1, 15 (1996) (a judge may consider juror testimony when a juror

1 receives outside evidence not properly admitted during trial, but may not consider
2 testimony which inquires into the subjective motives or mental processes of the juror),
3 *abrogated on other grounds by State v. Ferrero*, 229 Ariz. 239 (2012). Petitioner now
4 submits the statement as evidence of juror bias, suggesting that Juror 193’s “avowals to
5 set aside her biases and prejudice cannot be believed.” (*see* Doc. 37 at 182.) Petitioner
6 failed to argue in his Petition that this statement was direct evidence of Juror 193’s bias.
7 (*See* Doc. 28 at 208.) Rather, Petitioner asserted that this statement demonstrated the
8 impact of biased jurors seated on the jury. (*Id.*) Petitioner did not directly assert this claim
9 until he filed his reply in this habeas proceeding. (*See* Doc. 37 at 182.) Accordingly, the
10 Court does not consider that claim. *See Zamani v. Carnes*, 491 F.3d 990, 997 (9th Cir.
11 2007) (“[D]istrict court need not consider arguments raised for the first time in a reply
12 brief.”).

13 **B. Claim 4**

14 Petitioner argues that the use of a shock belt during trial as a form of physical
15 restraint violated his right to due process and a fair trial. (Doc. 28 at 214–17.) The Court
16 finds that this claim is plainly meritless.

17 Petitioner partially exhausted Claim 4. He asserted on appeal that the shock belt
18 “made it difficult for [Petitioner] to focus and communicate with defense counsel during
19 proceedings.” (Doc. 31, Ex. A at 102.) Petitioner did not argue on appeal that the belt was
20 visible to jurors. (*see* Doc. 31 at 109) (citing *Cruz*, 181 P.3d at 214). Petitioner
21 acknowledges that on direct appeal he failed to raise the claim that the shock belt was
22 visible to the jury, but asserts that appellate counsel’s failure to raise the unexhausted
23 portion on direct appeal, and PCR counsel’s subsequent failure to assert appellate
24 counsel’s ineffectiveness, establishes cause for the procedural default under *Martinez*,
25 566 U.S. 1. Respondents argue that the claim fails on the merits in its entirety. (Doc. 31
26 at 112–14.) The Court does not determine whether cause exists under *Martinez* to excuse
27 any procedural default of this claim, because the claim is plainly meritless. *See* 28 U.S.C.
28 § 2254(b)(2); *Cassett*, 406 F.3d at 623-24.

On the first day of trial, prior to commencement of jury selection, defense counsel

1 noted that in addition to three security officers in the courtroom, Petitioner was restrained
2 by the use of an electronic belt and a leg brace. (RT 1/19/05 at 4.) Counsel objected to
3 use of the shock belt, indicating that it “makes it extremely uncomfortable if not
4 impossible for [Petitioner] to sit up in any kind of way that doesn’t indicate to the jury
5 that he is in an uncomfortable situation, and, frankly, restrained.” (*Id.*) At counsel’s
6 request, the trial judge agreed to confer with Judicial Security regarding the purpose of
7 the restraints. (*Id.* at 4–5; ROA 447 at 1.)

8 Thereafter, Petitioner filed a motion *in limine* requesting that the court order that
9 Petitioner not be required to wear the shock belt. (ROA 451 at 2.) Petitioner argued that
10 the belt is “extremely uncomfortable and makes it difficult for [him] to focus and
11 communicate with counsel.” (*Id.* at 4.) Additionally, Petitioner argued that if the jurors
12 saw and recognized the shock belt, they “might . . . conclude, from these additional
13 security measures, that [he] has a prior criminal history, constituted an extreme escape
14 risk and, at any time, might bolt from the table and begin taking hostages.” (*Id.* at 3–4.)
15 Petitioner asserted that there was “nothing beyond the nature of the charges” that
16 supported a requirement that he wear the shock belt. (*Id.* at 4.)

17 On the fourth day of jury selection the court informed defense counsel that it had
18 made inquiries regarding the use of restraints. (RT 01/25/05 at 77–78.) Jail personnel had
19 informed the court that Petitioner was at a “Cuff 2” level of security, and that based on
20 the jail personnel’s experience with the belt, “it’s not all that uncomfortable” and there
21 had “been no complaints about the way the belt is on.” (*Id.* at 78.) The court further
22 commented that “[t]here’s just practically no chance that . . . the shackles and what have
23 you would be observed.” (*Id.*)

24 The court explained that Petitioner’s security level was based on somebody who
25 “came to jail personnel and told about an escape,” though the information was
26 “somewhat dated.” (RT 01/25/05 at 80.) Two memoranda from the Pima County
27 Sheriff’s Department detailing how jail officials came to know of the possible escape
28 attempt from a prisoner at the jail, as well as the results of the investigation into that
information, were sealed and placed in the record. (ROA 454.) After counsel requested a

1 hearing, the court suggested setting one as early as that afternoon; counsel, however,
2 stated he wasn't ready at that time. (*Id.* at 81, 83.) The court left it to counsel to "figure
3 out a time." (*Id.* at 83.) The court denied any change in the Petitioner's restraints. (ROA
4 457 at 2.)

5 On day six of jury selection, the court informed counsel that Petitioner's leg
6 shackles, but not the belt, would be removed the following day to allow Petitioner to
7 stand up, turn around, and look at the jurors. (RT 01/27/05 at 214.) Counsel stated
8 Petitioner couldn't stand up with the belt on because it was "very noticeable." (*Id.*)
9 Petitioner stated the belt was "sticking out" with a "lump in the back." (*Id.* at 214–15.)
10 The trial judge replied that he didn't think it was "obvious at all." (*Id.* at 215.) Counsel
11 indicated they still needed to set a hearing, but after the court reminded counsel that
12 counsel was going to figure out the time and place, counsel responded that he "hadn't
13 thought about it." (*Id.*)

14 The next day the court asked Petitioner to stand up so he could determine whether
15 the belt was noticeable:

16 The Court: You know, I don't see that that's really obvious from that
17 close, and so I think that they are very concerned, security,
18 I'm just saying that so—

19 Mr. Cruz: It makes me look fat in front.

20 The Court: Certainly not obvious from the back, as I saw it yesterday, so
21 I think we'll continue with where we are on that. I know you
22 may still raise an issue

(RT 1/28/05 at 3.) The issue was not revisited.

23 On direct appeal, Petitioner argued that the use of the shock belt violated his rights
24 to due process and a fair trial. (SCA 51 at 101–04.) Petitioner asserted that the shock belt
25 made it "difficult for [Petitioner] to focus and communicate with defense counsel" and
26 was not supported by anything in the record or evidence suggesting any security concerns
27 aside from the nature of the charges in the case. (*Id.* at 102.)

28 The Arizona Supreme Court denied the exhausted portion of Claim 4, noting that

1 the trial court, in response to Petitioner’s objection to the security measures, reviewed
2 two reports detailing a possible escape attempt involving Petitioner, offered to conduct an
3 evidentiary hearing on the necessity of the restraints, and informed Petitioner that he
4 would schedule an evidentiary hearing at Petitioner’s request. *Cruz*, 181 P.3d at 214–15.
5 The court noted that “security procedures are left to the discretion of the trial court,” and
6 while “a defendant generally has the right to be free from restraints in the courtroom,
7 concerns for courtroom safety and security may make the use of restraints appropriate.”
8 *Id.* at 215. The court acknowledged that a court should “not simply defer” to the State’s
9 policy, request or preference for the use of restraints; instead, the trial court must have
10 grounds for ordering restraints, and upon establishing a need for restraints, should order
11 restraints that are in proportion to the security risk posed. *Id.* at 215. Additionally, the
12 court noted that a trial court should schedule a hearing at the defendant’s request
13 regarding the necessity of restraints. *Id.*

14 The court rejected Petitioner’s argument that it should adopt the “heightened
15 standard” employed in *Gonzalez v. Pliler*, 341 F.3d 897 (9th Cir. 2003).¹⁴ The court
16 concluded that a “trial judge’s independent determination that use of the belt is
17 appropriate and supported by the record will not be disturbed absent an abuse of
18 discretion.” *Cruz*, 181 P.3d at 215 (citing *State v. Davolt*, 84 P.3d 456, 476 (Ariz. 2004)).

19 Considering the facts of this case, the court found no abuse of discretion, noting
20 that “the trial court properly offered [Petitioner] an evidentiary hearing, but [Petitioner]
21 declined. The court’s decision was based on a documented threat of escape, not merely
22 on security personnel’s preference for the shock belt.” *Id.*

23 Petitioner alleges the Arizona Supreme Court’s ruling was contrary to or an
24 unreasonable application of clearly established federal law because the trial court failed
25 to consider less restrictive alternatives to the shock belt. (Doc. 28 at 216.) Petitioner also

26
27 ¹⁴ In *Gonzalez v. Pliler*, the Ninth Circuit held that before the court may order the
28 use of physical restraints on a defendant at trial, the court must be persuaded by
compelling circumstances that some measure is needed to maintain the security of the
courtroom, and “must pursue less restrictive alternatives before imposing physical
restraints.” *Id.* at 901 (quoting *Morgan v. Bunnell*, 24 F.3d 49, 51 (9th Cir. 1994)).

1 alleges the Arizona Supreme Court's ruling was based on an unreasonable determination
2 of the facts because the shock belt was visible on Petitioner and impacted his ability to
3 participate in his defense. (*Id.*) The Court disagrees.

4 The Due Process Clause forbids the routine use of physical restraints visible to the
5 jury in either the guilt or penalty phase of a trial. *Deck v. Missouri*, 544 U.S. 622, 626
6 (2005). The use of visible restraints requires a determination by the trial court that the
7 restraints are justified by a specific state interest particular to the defendant's trial. *Id.* at
8 629; *see also Ghent v. Woodford*, 279 F.3d 1121, 1132 (9th Cir. 2002) (criminal
9 defendant has a constitutional right to be free of shackles in the presence of the jury
10 absent an essential state interest that justifies the physical restraints). The trial court "may
11 of course take into account the factors that courts have traditionally relied on in gauging
12 potential security problems and the risk of escape at trial." *Deck*, 544 U.S. at 629.

13 There is no clearly established federal law requiring a trial court to consider the
14 least restrictive alternative manner of restraint. *See Crittenden v. Ayers*, 624 F.3d 943,
15 972 (9th Cir. 2010) (*Deck* "does not itself mandate specific procedures or evidence that
16 must be considered before imposing restraints. *Deck* leaves this to the discretion of the
17 trial court . . . [which] 'may of course take into account the factors that courts have
18 traditionally relied on in gauging potential security problems and the risk of escape at
19 trial.'") (citing *Deck*, 544 U.S. at 629). Thus, the Arizona Supreme Court's decision
20 declining to apply a "least restrictive" standard to use of the shock belt was neither
21 contrary to nor an unreasonable application of clearly established federal law.

22 Petitioner fails to show that the state court's decision was an unreasonable
23 determination of the facts nor has he rebutted this factual determination with clear and
24 convincing evidence. Here, the state appellate court found that the trial court's decision
25 "was based on a documented threat of escape, not merely on security personnel's
26 preference for the shock belt." *Cruz*, 181 P.3d at 214. The trial court was aware that the
27 deputies were unable to verify the alleged escape plan, but this alone did not require the
28 court to nullify the report as a viable security concern. Moreover, the report of a planned
escape was not the only fact relied on by the trial court to conclude that additional

1 restraints were necessary. The trial court reported that jail personnel had determined that
2 Petitioner was at a heightened “Cuff 2” security status. *See Hedlund v. Ryan*, 815 F.3d
3 1233, 1243 (9th Cir. 2016) *amended by* 854 F.3d 557 (9th Cir. 2017) (“The trial court
4 could have used the jail’s security-based decision as support for its conclusion that
5 [defendant] posed an escape risk, because such decisions are subjective and
6 discretionary.”). There is no clearly established federal law suggesting a trial court’s
7 decision based on hearsay coming from within a jail is impermissible. *Id.*

8 Even if the accuracy of the reports regarding an escape attempt or any other viable
9 security threat were never confirmed, Petitioner did not challenge the trial court’s finding
10 that the shock belt was not visible to the jury, and Petitioner did not contend on appeal
11 that the belt was visible. There is no clearly established federal law requiring a trial court
12 to make a finding that *non-visible* shackling is justified by a compelling state interest. *See*
13 *Ghent*, 279 F.3d at 1132 (to obtain habeas relief a court must find that the restraint was
14 seen by the jury). The Arizona Supreme Court’s decision was neither contrary to nor an
15 unreasonable application of clearly established federal law. *See Richter*, 562 U.S. at 101;
16 *Musladin*, 549 U.S. at 77.

17 Additionally, even if the trial court’s imposition of physical restraints violated
18 Petitioner’s right to due process, Petitioner’s claim fails on the ground that he has not
19 shown that he was prejudiced by wearing the device during his trial. Contrary to
20 Petitioner’s assertion that the use of the shock belt on Petitioner was “inherently
21 prejudicial,” this Court must assess whether any error “had substantial and injurious
22 effect or influence in determining the jury’s verdict.” *See Larson v. Palmateer*, 515 F.3d
23 1057, 1064 (2008) (“Review for harmless error under *Brecht* [*v. Abrahamson*, 507 U.S.
24 619, 623 (1993)] is ‘more forgiving’ to state court errors than the harmless error standard
25 the Supreme Court applies on its direct review of state court convictions.”) (citing *Fry v.*
26 *Pliler*, 551 U.S. 112, 121–22 (2007) (holding that in § 2254 proceedings a court must
27 assess the prejudicial impact of constitutional error in a state-court criminal trial under
28 the “substantial and injurious effect” standard set forth in *Brecht* whether or not the state
court recognized the error and reviewed it for harmlessness)). Considerations guiding the

1 prejudice inquiry include “the appearance and visibility of the restraining device, the
2 nature of the crime with which the defendant was charged and the strength of the state’s
3 evidence against the defendant.” *Larson*, 515 F.3d at 1064.

4 Petitioner cannot establish prejudice from the alleged visibility of the restraint
5 because Petitioner has not presented any evidence that the jury was ever aware that
6 Petitioner was wearing the shock belt. Petitioner stated that the belt made him “look fat in
7 front” and counsel remarked it was “very noticeable”— observations with which the trial
8 court disagreed upon viewing Petitioner standing. Petitioner’s counsel, however, declared
9 Petitioner would not stand in front of the jury. Thus, it is not evident from the record that
10 the belt was visible as Petitioner apparently never stood in front of the jury in a way that
11 would have revealed its presence. Moreover, even if he had stood, and looked “fat in
12 front,” there is no evidence that the jurors would have recognized and understood that he
13 was wearing a security device. There is no factual basis in the record from which to
14 conclude that any juror observed or recognized the significance of the shock belt.
15 Furthermore, the nature of the crime and the strength of the evidence in this case weigh
16 against a finding of prejudice.

17 Petitioner also fails to present any factual basis in support of his assertion that the
18 shock belt interfered with his ability to participate in his defense. He has not established
19 that he was unable to communicate with counsel or was otherwise prejudiced by the
20 restraints. *See Williams v. Woodford*, 384 F.3d 567, 592–93 (2004) (concluding that
21 unjustified restraints are harmless error if the jury did not see the restraint); *Packer v.*
22 *Hill*, 291 F.3d 569, 583 (9th Cir. 2002) (concluding no prejudice resulted from
23 defendant’s leg brace when no juror interviewed after trial remembered seeing a leg brace
24 on the defendant), *rev’d on other grounds sub nom. Early v. Packer*, 537 U.S. 3 (2002).
25 Accordingly, the state court’s conclusion that Petitioner’s constitutional rights were not
26 violated by the use of the restraints is not clearly contrary to or an unreasonable
27 application of federal law, nor is it based on an unreasonable determination of facts, and
28 Petitioner is not entitled to habeas relief on this claim.

//

1 C. Claim 5

2 Petitioner argues that the trial court’s admission of testimony by a criminalist with
3 the Tucson Police Department, indicating the murder weapon had been modified for
4 purposes of concealment, violated Petitioner’s right to due process because it was
5 character evidence and had not been properly disclosed under state law. (Doc. 28 at 218–
6 22.) This claim (“Claim 5(a)”) was raised on direct appeal and denied by the Arizona
7 Supreme Court. *Cruz*, 181 P.3d at 213.

8 Petitioner also argues, for the first time to this Court, that the criminalist offered an
9 improper expert opinion, and that trial and PCR counsels’ deficient performance excuses
10 any procedural default of this claim (“Claim 5(b)”). (Doc. 28 at 222–23.)

11 **1. Factual and Procedural Background**

12 Frank Powell, a crime lab supervisor and firearms analyst with ten years’
13 experience in the field of firearm analysis, testified that the revolver he was asked to
14 analyze, which he determined was the weapon used to shoot Officer Hardesty, had been
15 altered by removing the spur from the hammer. (RT 2/10/05 at 192–94, 202–05.) “The
16 most likely reason” for removing that portion of the weapon, Powell testified, “is for
17 concealment. . . . [I]f the gun is drawn out quickly, [t]he hammer spur won’t get caught
18 on the clothing.” (*Id.* at 202.) Counsel did not object to Powell’s testimony at the time,
19 but the following day moved for a mistrial, arguing that the testimony regarding the
20 modification had not been disclosed and that the testimony implied bad character—that
21 the person who possessed the weapon was engaging in illegal behavior. (RT 2/11/05 at
22 3–4.) Counsel explained that he did not object contemporaneously because he believed it
23 would have drawn more attention to the testimony. (*Id.* at 3.) The trial court denied the
24 motion, finding counsel waived the issue, and, additionally, that there was no disclosure
25 violation because the testimony was within the knowledge of the defense; Petitioner had
26 the reports, had the opportunity to interview Powell, and had the weapon in his
27 possession for the purpose of examination. (*Id.* at 8–9.) The trial court found no prejudice
28 that would rise to the level of a mistrial. (*Id.* at 9.)

 Counsel alternatively requested a curative instruction (*id.* at 4), and the court

1 stated it would consider a “purpose specific instruction” at the time of instructions (*id.* at
2 9). The record does not reflect that counsel proffered the requested instruction at any
3 time. (*See* Doc. 28 at 220.)

4 During closing arguments, the prosecutor referred to the testimony in support of a
5 finding of knowledge or intent, arguing that, “You shoot somebody five times after you
6 pull the gun, this gun that has a sawed off hammer so it doesn’t catch on your clothing as
7 you pull it, five times at close range, did he intend or know his actions would cause
8 death? Absolutely.” (RT 2/24/05 at 27.) During rebuttal the prosecutor remarked that the
9 gun was in Petitioner’s “front pocket with the hammer sawed off so that he could get it
10 out of there quickly.” (*Id.* at 101.)

11 On direct appeal, Petitioner argued that the undisclosed testimony was prejudicial
12 and violated his rights to a fair trial and timely pretrial disclosure. (APP 51, at 94–95.)
13 The Arizona Supreme Court, noting Petitioner’s failure to object contemporaneously to
14 the testimony, reviewed the claim for fundamental error. *Cruz*, 181 P.3d at 213. The court
15 denied the claim, finding that the testimony did not render Petitioner’s trial
16 fundamentally unfair:

17 It is unlikely that the jury concentrated on the filed-off hammer . . . when
18 no evidence was presented that Cruz modified the gun and the trial was
19 focused on other, more serious issues.

Id.

20 **2. Merits – Claim 5(a)**

21 Petitioner alleges the Arizona Supreme Court’s ruling was contrary to or an
22 unreasonable application of clearly established federal law because Powell’s testimony
23 deprived Petitioner of a fundamentally fair trial in violation of Petitioner’s due process
24 rights. (Doc. 28 at 220–21.) Petitioner also alleges the Arizona Supreme Court’s ruling
25 was based on an unreasonable determination of the facts in finding that it was unlikely
26 the jury concentrated on the modification to the revolver. (*Id.*) The Court disagrees.

27 A state court’s fact-finding process may be defective, resulting in an unreasonable
28 determination of the facts in light of the evidence presented, where the state court
“plainly misapprehend[s] or misstate[s] the record in making [its] findings, and the

1 misapprehension goes to a material factual issue that is central to the petitioner's claim."
2 *Taylor*, 366 F.3d at 1001 (discussing § 2254(d)(2)) (citations omitted).

3 a. Factual Determination – Alteration of the Weapon

4 Petitioner contends that the record before the state court does not support the
5 Arizona Supreme Court's finding that it was unlikely the jury concentrated on the filed-
6 off hammer. Petitioner asserts, first, that the "clear intimation" was that Petitioner or a
7 criminal associate "altered the weapon." (Doc. 28 at 221.) In support, Petitioner points to
8 the state calling as a witness a previous owner of the revolver, Dr. Gallagher, to testify
9 that the hammer was not modified when Dr. Gallagher owned it.¹⁵ (*Id.* at 221–22 (citing
10 RT 2/15/05 at 81).) Petitioner misstates the record. Dr. Gallagher was called to testify as
11 a witness for the defense. (*See* RT 2/15/05 at 2, 78.) And, on cross-examination the state
12 elicited testimony from Dr. Gallagher that he had no knowledge of who he sold the gun
13 to, and whether it had since been resold or stolen after it left his possession, thus
14 intimating that any number of persons, not just Petitioner, could have modified the
15 weapon. (*Id.* at 85.) Petitioner fails to identify any evidence in the record that suggests
16 Petitioner was responsible for modifying the weapon.

17 b. Factual Determination – Jury Focus on the Weapon

18 Petitioner also asserts the state court's finding that the trial was focused on "other,
19 more serious issues" is an incorrect determination of the facts because the "jury's
20 attention was drawn to [the] fact" that the gun was modified, both in closing argument
21 and in rebuttal. (Doc. 28 at 221.) Based on this Court's review of the record, the state
22 court's determination was not objectively unreasonable. Although the prosecutor
23 mentioned the modification in closing arguments and rebuttal, it was never the focus of
24 his argument and was never presented to the jury as a crucial piece of evidence. Rather, it
25 was a mere "snippet of testimony." *See Cruz*, 181 P.3d at 213.

26 //

27 ¹⁵ The Petitioner states that the previous owner testified that the hammer *was*
28 modified when he owned it. This is presumably a typographical error, as the previous
owner testified that the gun was not modified when he owned it. (*See* RT 2/11/05 at 78–
82.)

1 the Supreme Court has expressly reserved the question of whether using evidence of a
2 defendant's past crimes to show that he has a propensity for criminal activity could ever
3 violate due process, the state court did not unreasonably apply clearly established law in
4 determining that the admission of defendant's criminal history did not violate due
5 process). Under the strict standards of AEDPA, Petitioner's claim that Powell's
6 testimony was unfairly prejudicial is foreclosed because a federal court is "without
7 power" to grant a habeas petition based solely on the admission of evidence. *Id.*

8 Even if the state court's rulings were in error under Arizona law, the error did not
9 rise to the level of a due process violation. *See McGuire*, 502 U.S. at 67–68. Petitioner
10 cannot demonstrate that the admission of the testimony had a "substantial and injurious
11 effect" on the verdict. *See Brecht*, 507 U.S. at 637–38. As Petitioner concedes, the
12 evidence against him was overwhelming. (*See* Doc. 28 at 49.) Further, the impact of the
13 evidence during the penalty phase was minimal in comparison with the strength of the
14 aggravating factor: murder of a police officer in the line of duty. Thus, the state court's
15 ruling regarding Powell's testimony was not contrary to or an unreasonable application of
16 Supreme Court precedent. Claim 5(a) is denied.

17 d. Merits – Claim 5(b)

18 In Claim 5(b), Petitioner argues that the criminalist offered an improper expert
19 opinion, and that trial counsel's failure to object and PCR counsel's failure to raise this
20 issue constitutes deficient performance and excuses any procedural default of this claim.
21 (Doc. 28 at 222–23.) As previously noted, *Martinez* has not been expanded to include
22 defaulted claims of trial error. *See Ha Van Nguyen v. Curry*, 736 F.3d 1287, 1294–96 (9th
23 Cir. 2013); *Pizzuto*, 783 F.3d at 1177; *Hunton*, 732 F.3d at 1126–27. Because Petitioner's
24 claim that the expert offered an improper opinion is not an ineffective assistance of
25 counsel claim, PCR counsel's deficient performance may not serve as cause to excuse the
26 procedural default. *See Pizzuto*, 783 F.3d at 1176–77. Accordingly, Claim 5(b) is denied.

27 D. Claim 6

28 In Claim 6, Petitioner argues that the trial court's preclusion of mitigation
testimony from the Chairman of the Arizona Board of Executive Clemency ("Clemency

1 Board”) deprived Petitioner of a fair sentencing in violation of the Eighth Amendment.
2 (Doc. 28 at 223.) The Arizona Supreme Court denied this claim on the merits. *Cruz*, 181
3 P.3d at 207. Petitioner contends the Arizona Supreme Court’s denial of the claim was
4 contrary to, or involved an unreasonable application of, clearly established federal law.
5 Alternatively, Petitioner asserts that it was an unreasonable determination of the facts in
6 light of the evidence presented.

7 Counsel sought to offer the testimony of Duane Belcher, Chairman of the
8 Clemency Board, to testify that if Petitioner was given a natural life sentence, he would
9 never be eligible for any type of parole status and that if he received a sentence of life
10 with the possibility of release after twenty-five years, the Clemency Board could only
11 make a recommendation but had no power or authority to commute a sentence. (RT
12 1/10/05 at 62; ROA 427 at 3.) The trial court precluded the testimony (RT 3/1/05 at 6),
13 and denied a motion for reconsideration (ROA 611 at 3) and a motion for new trial raised
14 on these grounds (ROA 669 at 8–9).

15 The Arizona Supreme Court affirmed the trial court’s ruling, stating that the trial
16 court did not abuse its discretion in precluding Belcher’s testimony:

17 The witness would have been asked to speculate about what the Board
18 might do in twenty-five years, when Cruz might have been eligible for
19 parole had he been sentenced to life. The trial court could reasonably have
20 concluded that testimony on what the Board might do in a hypothetical
21 future case would have been too speculative to assist the jury.

22 *Cruz*, 181 P.3d at 207.

23 The Arizona Supreme Court’s decision was not contrary to, or an unreasonable
24 application of law. Once a determination is made that a person is eligible for the death
25 penalty, the sentencer must consider relevant mitigating evidence, allowing for “an
26 individualized determination on the basis of the character of the individual and the
27 circumstances of the crime.” *Tuilaepa v. California*, 512 U.S. 967, 972 (1994). The
28 sentencer in a capital case may “not be precluded from considering, *as a mitigating*
factor, any aspect of a defendant’s character or record and any of the circumstances of the

1 offense that the defendant proffers as a basis for a sentence less than death.” *Eddings v.*
2 *Oklahoma*, 455 U.S. 104, 110 (1982) (quoting *Lockett v. Ohio*, 438 U.S. 586, 604 (1978)
3 (plurality opinion)). The Supreme Court has explained that “the jury must be given an
4 effective vehicle with which to weigh mitigating evidence so long as the defendant has
5 met a ‘low threshold for relevance,’ which is satisfied by ‘evidence which tends logically
6 to prove or disprove some fact or circumstance which a fact-finder could reasonably
7 deem to have mitigating value.’” *Smith v. Texas*, 543 U.S. 37, 44 (2004) (quoting
8 *Tennard v. Dretke*, 542 U.S. 274, 284–85 (2004)). Courts may, however “exclude, as
9 irrelevant, evidence not bearing on the defendant’s character, prior record, or the
10 circumstances of his offense.” *Lockett*, 438 U.S. at 604 n.12.

11 Belcher was contacted in order to ascertain “what action, if any, the board would
12 take on applications for parole or early release filed by inmates serving 25 to life in the
13 natural life sentences.” (ROA 427 at 3.) Belcher would have testified that an inmate
14 serving a natural life sentence will never be released from prison and the Clemency
15 Board could only recommend parole for inmates serving twenty-five years to life. (*Id.*)

16 Petitioner cites no authority supporting his contention that Belcher’s testimony
17 was relevant mitigating evidence. The anticipated testimony did not relate to Petitioner as
18 an individual, or to circumstances surrounding this particular offense. In *Eddings*, the
19 Supreme Court recognized that the rule in *Lockett* flowed from earlier decisions rejecting
20 mandatory death sentencing, because “the fundamental respect for humanity underlying
21 the Eighth Amendment” requires individualized determinations. *Eddings*, 455 U.S. at
22 111–12 (quoting *Woodson v. North Carolina*, 428 U.S. 280, 304 (1976)). Thus, the
23 rationale behind *Eddings* and *Lockett* does not support an expansion of the *Lockett*
24 doctrine to factors, such as the proposed testimony from Belcher, that have no bearing on
25 an individualized determination or on the circumstances of the offense.

26 The Arizona Supreme Court’s decision was not an unreasonable determination of
27 the facts. Petitioner asserts that the trial court record establishes that the testimony would
28 not have been speculative, because there was a detailed proffer regarding Belcher’s
testimony. (Doc. 28 at 225.) The Court disagrees. While there may have been no

1 speculation as to what Belcher would say if called to testify, Belcher’s testimony itself
2 would have been speculation as to what the law might allow or require the Board to do
3 twenty-five years in the future, if Petitioner were sentenced to life. Because “reasonable
4 minds” could agree with the Arizona Supreme Court’s determination, its decision did not
5 rest on an unreasonable determination of the facts under § 2254(d)(2). *See Wood*, 558
6 U.S. at 301. Further, as Respondents assert, whether Belcher’s testimony was speculative
7 or not is irrelevant, as no clearly established federal law required the admission of his
8 testimony.

9 Moreover, even if Petitioner could demonstrate the Court’s ruling on Belcher’s
10 testimony violated the Eighth Amendment, Petitioner cannot show he was prejudiced by
11 the ruling under *Brecht*. *See Fry*, 551 U.S. at 121 (2007) (holding that in § 2254
12 proceedings a court must assess the prejudicial impact of constitutional error in a state-
13 court criminal trial under the “substantial and injurious effect” standard set forth in
14 *Brecht*). Petitioner supports his argument with a citation to a press release, submitted with
15 Petitioner’s motion for a new trial, issued by three jurors stating: “we were not given the
16 option to vote for life in prison without the possibility of parole.” (ROA 644, Ex. 9.) The
17 jury was not, and could not be, tasked with “voting” for life in prison without the
18 possibility of parole. Under Arizona law, the jury is tasked with deciding whether
19 aggravating circumstances exist, and whether the mitigating factors warrant a sentence
20 less than death, but is not tasked with deciding what lesser sentence is to be imposed in
21 the event the jury finds mitigating circumstances sufficient to call for leniency.

22 To the extent that, under Arizona’s parole statutes, parole may have been
23 unavailable to Petitioner even if sentenced to life with the possibility of parole, *see*
24 A.R.S. § 41-1604.09(I),¹⁶ Petitioner cannot argue that he was denied the right to so

25
26 ¹⁶ In *Lynch v. Arizona*, 136 S. Ct. 1818, 1819–20 (2016) (per curiam), the Supreme
27 Court found that, under Arizona law, parole is available only to individuals who
28 committed a felony before January 1, 1994. The Court clarified that *Simmons* expressly
rejected the argument that the possibility of clemency diminishes a capital defendant’s
right to inform a jury of his parole ineligibility and rejected the State’s argument that the
potential for future “legislative reform” could justify refusing a parole-ineligibility
instruction.

1 inform the jury through instruction or argument. On the contrary, the trial court
2 considered the possibility of instructing the jury, instead of allowing Belcher's testimony,
3 but counsel rejected this suggestion, asserting that "a jury instruction says one thing
4 whereas the testimony of the head of the Board of Executive Clemency is quite another.
5 To say that doesn't have more impact rather than reading something sterile on a piece of
6 paper doesn't make sense." (RT 1/10/05 at 16-17.)

7 In addition to precluding Belcher's testimony, the trial court also rejected defense
8 counsel's request that, prior to the jury's decision in the penalty phase, the trial court
9 should decide and inform the jury whether the court would elect a life, or natural life,
10 sentence in the event death was not imposed by the jury, because "nothing has been
11 presented to suggest that the defendant would not be eligible for release if a life sentence
12 was imposed." (ROA 65, 77, 184.) Petitioner argued on direct appeal that this was a due
13 process violation under the Supreme Court's holding in *Simmons v. South Carolina*, 512
14 U.S. 154 (1994) ("[W]here the defendant's future dangerousness is at issue, and state law
15 prohibits the defendant's release on parole, due process requires that the sentencing jury
16 be informed that the defendant is parole ineligible."). Petitioner did not raise this due
17 process argument in his federal habeas petition, but even if he had, this case is
18 distinguishable from *Simmons*; Petitioner's future dangerousness was never put at issue
19 by the State,¹⁷ and Petitioner never requested to inform the jury, through instructions or
20 argument, that, under state law, he was ineligible for parole. *See Lynch v. Arizona*, 578
21 U.S. ---, 136 S. Ct. 1818, 1819–20 (2016) (per curiam). Claim 6 is denied.

22 E. Claim 7

23 Petitioner contends that the prosecutor committed misconduct during the penalty
24 phase closing argument by informing the jury that a causal nexus must exist between the
25 mitigation and the crime and by asking the jury to consider the circumstances of Officer
26 Hardesty's murder as aggravation. (Doc. 28 at 226–30.) Petitioner concedes his
27 prosecutorial misconduct claim was not exhausted in state court, but argues that appellate

28 ¹⁷ Petitioner alleged as a mitigating factor the lack of propensity for future violence. The State did not contest this factor.

1 counsel's failure to raise the claim on appeal, and PCR counsels' failure to raise appellate
2 counsel's ineffectiveness constitutes deficient performance excuses any procedural
3 default of the claim. (*Id.* at 226 (citing *Martinez*, 566 U.S. 1).)

4 As previously noted, *Martinez* has not been expanded to include defaulted claims
5 of trial error. *See Pizzuto*, 783 F.3d at 1177; *Hunton*, 732 F.3d at 1126–27. Because
6 Claim 7 is not an ineffective assistance of counsel claim, PCR counsel's deficient
7 performance may not serve as cause to excuse the procedural default. *See Pizzuto*, 783
8 F.3d at 1176–77. Claim 7 is denied.

9 Because Petitioner also argues, in Claim 24, that trial counsel was ineffective for
10 failing to object to the prosecutor's remarks, the Court also addresses the merits of Claim
11 7 in this section but finds that Petitioner's individual claims of prosecutorial misconduct
12 fail. The Court also concludes that, considered cumulatively, the totality of the
13 misconduct allegations do not establish entitlement to habeas relief.

14 **1. Factual and Procedural Background**

15 During the penalty phase argument, the prosecutor addressed Petitioner's
16 submission of evidence of his dysfunctional family as a mitigating factor:

17 [I]t was almost two decades before this man shot and killed Patrick
18 Hardesty that his father died and his parents divorced, and we're still using
19 that as an excuse? We're still using that as an excuse to show this man
20 leniency? He doesn't want to accept responsibility for anything in his life.
21 He wants us to feel sorry for him and show him leniency because 20 years
22 ago his father died and his mother divorced his father. And what does that
23 have to do with what he did on May 26th of 2003? It has absolutely nothing
24 to do with what he did. It has absolutely nothing to do with him killing
25 Patrick Hardesty. It's an excuse. It's an excuse that he wants you to look at
26 and feel sorry for him because his father died 20 years ago and his mother
27 wasn't a nurturing mother.

28 . . .

It's an excuse for what he did, and it should carry no weight at all.
(RT 3/8/05 at 54–55.)

Later, the prosecutor argued:

It's not simply that there is one aggravator and a counting of how many

1 mitigators there might be. It's the quality of each. It's the quality of
2 whatever we might find to be mitigating in this man's life as the defendant,
3 things that happened to him when he was 10 or 11 or 12. It's the quality of
4 the aggravating factor for taking the life of Patrick Hardesty. Not just
5 taking the life, but the manner in which he took the life: five shots, two of
6 them hitting the vest, two others hitting his torso, and an execution to the
7 head. It was the quality of what he did.

8 (*Id.* at 58.) Petitioner did not object to either argument.

9 The trial court instructed jurors that they could only consider as an aggravating
10 circumstance the single aggravating factor the jury had unanimously found—the murder
11 of an on-duty peace officer:

12 This is the only aggravating circumstance that may be considered by you
13 during this penalty phase. The murder itself is not an aggravating
14 circumstance. The absence of any particular mitigating factor is not an
15 aggravating factor.

16 (*Id.* at 77–78.)

17 The trial court further instructed the jurors regarding mitigating circumstances:

18 You must consider any evidence presented in the penalty phase as well as
19 any evidence you heard at the previous two phases that relates to any
20 mitigating circumstances to decide whether there are any mitigating
21 circumstances and to assess what weight to give to any mitigating
22 circumstance. A mitigating circumstance is any factor that is relevant in
23 determining whether to impose a sentence less than death that relates to any
24 aspect of the defendant's character, propensities, history, record, or
25 circumstances of the offense.

26 (*Id.* at 78–79.)

27 **2. Legal Standard**

28 The appropriate standard of federal habeas review for a claim of prosecutorial
misconduct is “the narrow one of due process, and not the broad exercise of supervisory
power.” *Darden v. Wainwright*, 477 U.S. 168, 181 (1986) (quoting *Donnelly v.*
DeChristoforo, 416 U.S. 637, 642 (1974)). A petitioner is not entitled to relief in the
absence of a due process violation even if the prosecutor's comments were undesirable or
even universally condemned. *Id.* Therefore, in order to succeed on this claim, Petitioner

1 must prove not only that the prosecutor's remarks and other conduct were improper but
2 that they "so infected the trial with unfairness as to make the resulting conviction a denial
3 of due process." *Donnelly*, 416 U.S. at 643; *see Johnson v. Sublett*, 63 F.3d 926, 930 (9th
4 Cir. 1995) (relief on such claims is limited to cases in which the petitioner can establish
5 that prosecutorial misconduct resulted in actual prejudice); *see also Phillips*, 455 U.S. at
6 219 ("[T]he touchstone of due process analysis in cases of alleged prosecutorial
7 misconduct is the fairness of the trial, not the culpability of the prosecutor.") In the event
8 a petitioner can establish a due process violation, the petitioner must also establish that
9 the violation resulted in a "substantial and injurious" effect under the standard set forth in
10 *Brecht* to be found eligible for relief. *Fry*, 551 U.S. at 121–22 (2007).

11 In determining if Petitioner's due process rights were violated, the Court "must
12 consider the probable effect of the prosecutor's [remarks] on the jury's ability to judge
13 the evidence fairly." *United States v. Young*, 470 U.S. 1, 12 (1985). To make such an
14 assessment, it is necessary to place the prosecutor's remarks in context. *See Boyde v.*
15 *California*, 494 U.S. 370, 385 (1990); *United States v. Robinson*, 485 U.S. 25, 33–34
16 (1988); *Williams v. Borg*, 139 F.3d 737, 745 (9th Cir. 1998). In *Darden*, for example, the
17 Court assessed the fairness of the petitioner's trial by considering, among other
18 circumstances: whether the prosecutor's comments manipulated or misstated the
19 evidence, whether the trial court gave a curative instruction, and "the weight of the
20 evidence against petitioner." 477 U.S. at 181–82. Moreover, state courts have substantial
21 latitude when considering prosecutorial misconduct claims because "constitutional line
22 drawing [in prosecutorial misconduct cases] is necessarily imprecise." *Donnelly*, 416
23 U.S. at 645; *see Slagle v. Bagley*, 457 F.3d 501, 516 (6th Cir. 2006).

24 **3. Merits – Causal Nexus Claim**

25 Petitioner argues that, by telling the jury that Petitioner's family background had
26 "nothing to do with" the commission of the murder, the prosecutor impermissibly urged
27 the jury to apply a "causal nexus" test to Petitioner's mitigating evidence. (Doc. 28 at
28 226–29.) The Court disagrees and finds that the prosecutor's remarks were not
impermissible because the prosecutor did not argue that the jury should not consider the

1 mitigation; rather, he argued that the jury should consider the evidence but find that it
2 carried “no weight.”

3 In *Tennard v. Dretke*, the Supreme Court rejected a “nexus test” that would find
4 mitigating evidence relevant only where it bears a causal nexus to the crime. 542 US.
5 274, 287 (2004). “[A] state court may not treat mitigating evidence of a defendant's
6 background or character as “irrelevant or nonmitigating as a matter of law” merely
7 because it lacks a causal connection to the crime.” *Poyson v. Ryan*, 879 F.3d 875, 888
8 (9th Cir. 2018) (citing *Towery v. Ryan*, 673 F.3d 933, 946 (9th Cir. 2012), *overruled on*
9 *other grounds by McKinney*, 813 F.3d at 824); *see also Tennard*, 542 U.S. at 287 (“[W]e
10 cannot countenance the suggestion that low IQ evidence is not relevant mitigating
11 evidence . . . unless the defendant also establishes a nexus to the crime.”); *Eddings*, 455
12 U.S. at 114 (explaining that a sentencer may not “refuse to consider, *as a matter of law*,
13 any relevant mitigating evidence”)(emphasis in original). A sentencer however “is free to
14 assign whatever weight, including *no* weight, that mitigating evidence deserves under the
15 facts of the case, as long as the sentencer does not exclude from his consideration
16 relevant mitigating evidence as a matter of law.” *McKinney*, 813 F.3d at 834 n.22
17 (emphasis in original).

18 The trial court in this case properly instructed the jurors, as a matter of law, by
19 telling them they “must consider any evidence presented in the penalty phase as well as
20 any evidence you heard at the previous two phases that relate to any mitigating
21 circumstances. (RT 3/08/05 at 78–79) Though the prosecutor asked the jury to consider
22 that Petitioner’s family background had “nothing to do with” Petitioner’s conduct and
23 therefore should “carry no weight at all” (RT 03/08/05 at 54–55), the prosecutor’s
24 remarks did not act as an impermissible screening mechanism preventing the jurors from
25 considering the evidence as a matter of law. *See Eddings*, 455 U.S. at 214 (“The
26 sentencer . . . may determine the weight to be given relevant mitigating evidence.”)
27 *McKinney*, 813 F.3d at 834 n.22 (“A sentencer is free to assign whatever weight,
28 including *no* weight, that mitigating evidence deserves under the facts of the case
... ”)(emphasis in original). The prosecutor’s remarks were a permissible argument for

1 assigning less weight to the dysfunctional family mitigating factor because it had no
2 influence on Petitioner's conduct at the time of the crime. Petitioner has failed to
3 demonstrate that the prosecutor's remarks were impermissible.

4 Moreover, Petitioner cannot demonstrate that the prosecutor's remarks had a
5 "substantial and injurious effect" on the verdict. *See Brecht*, 507 U.S. at 637–38. The
6 prosecutor's remark was brief, and the trial court instructed the jury consistent with
7 *Lockett*, 438 U.S. 586, and *Eddings*, 455 U.S. 104, that a mitigating circumstance was
8 any "aspect of the defendant's character, propensities, history, record, or circumstances
9 of the offense" that "weighs against imposing the death penalty." (RT 3/8/05, at 77.) This
10 argument is plainly meritless.

11 **4. Merits – Consideration of Circumstances of the Offense**

12 Next, Petitioner argues that the prosecutor improperly argued that the manner and
13 circumstances of the offense were to be weighed as aggravation, thus misleading the jury
14 to believe it could weigh the actual circumstances of Officer Hardesty's killing as part of
15 the aggravating circumstance. (Doc. 28 at 229–30.) Petitioner contends that the
16 prosecutor's remarks misled the jury into believing it could weigh and consider factors
17 precluded under Arizona law.

18 Arizona law provides that "[t]he trier of fact shall consider as mitigating
19 circumstances any factors proffered by the defendant *or the state* that are relevant in
20 determining whether to impose a sentence less than death, including any aspect of the
21 defendant's character, propensities or record and *any of the circumstances of the offense*."
22 A.R.S. § 13-703(G) (emphasis added). Because the purpose of a capital sentencing is to
23 shed light on factors such as the egregious nature of the crime, the manner in which the
24 defendant committed the crime, and the defendant's motivation, Arizona has interpreted
25 the phrase "any of the circumstances of the offense" in § 13-703(G) to relate to such
26 factors as "how a defendant committed first degree murder." *State v. Harrod*, 183 P.3d
27 519, 531 (Ariz. 2008); *see also State v. Carlson*, 351 P.3d 1079, 1094 (Ariz. 2015)
28 (rejecting argument that A.R.S. § 13-751(G), formerly A.R.S. § 13-703(G), "provides
that the trier of fact must consider the circumstances of the offense as mitigating

1 circumstances, but may not consider those circumstances to show that the defendant does
2 not deserve leniency”); *State v. Anderson*, 111 P.3d 369, 390 (Ariz. 2005) (“The only
3 issue at the aggravation phase is whether any aggravating circumstances have been
4 proved; the only issue during the penalty phase is whether death is the appropriate
5 sentence.”).

6 Similarly, Arizona law also provides that at the penalty phase:

7 [T]he state may present any evidence that is relevant to the determination of
8 whether there is mitigation that is sufficiently substantial to call for
9 leniency. In order for the trier of fact to make this determination, *the state*
10 *may present any evidence that demonstrates that the defendant should not*
11 *be shown leniency.*

12 A.R.S. § 13-703.01(G) (emphasis added). Construing this language, the Arizona Supreme
13 Court has held that, even in the absence of the presentation of mitigating evidence, the
14 state may offer evidence of the circumstances of the crime. *See State v. Nordstrom*, 280
15 P.3d 1244, 1249 (Ariz. 2012) (“[A]ny evidence that meets [§ 13-703.01(G)’s] criterion is
16 admissible regardless of whether the evidence was admissible at a prior stage of the
17 trial.”); *see also Carlson*, 351 P.3d at 1094 (recognizing jurors’ duty to evaluate all the
18 relevant evidence when determining the defendant’s sentence); *State v. Prince*, 250 P.3d
19 1145, 1156 (Ariz. 2011) (citing *Kilpatrick v. Superior Court*, 466 P.2d 18, 21 (Ariz.
20 1970)).

21 Here, by asking the jury to consider the circumstances of Officer Hardesty’s
22 murder, the prosecutor’s remarks were consistent with Arizona law allowing the state to
23 present evidence that demonstrates the defendant should not be shown leniency. *See*
24 A.R.S. § 13-703.01(G); *Nordstrom*, 280 P.3d at 1249. This was not prosecutorial
25 misconduct.

26 Petitioner argues that the unacceptable consideration of nonstatutory aggravators
27 violated the Eighth Amendment’s requirement of reliable and nonarbitrary sentencing in
28 a weighing state. (Doc. 28 at 230 (citing *Stringer v. Black*, 503 U.S. 222, 237 (1992);
Sochor v. Florida, 504 U.S. 527, 532 (1992)).) In *Stringer*, the Supreme Court held that
the “[u]se of a vague or imprecise aggravating factor in the weighing process invalidates

1 the sentence and at the very least requires constitutional harmless-error analysis or
2 reweighing in the state judicial system.” 503 U.S. at 237. In this case, unlike the invalid
3 aggravating factor at issue in *Stringer*, the aggravating factor that the jury was instructed
4 to consider—the killing of an on-duty police officer—was not vague or imprecise, had
5 not been invalidated, and provided sufficient guidance to the jury in deciding whether to
6 impose the death penalty.

7 Finally, even if the prosecutor’s alleged misconduct is considered cumulatively,
8 there was no due process violation. The prosecutor’s remarks, in the context of the
9 sentencing, did not deprive Petitioner of a fair trial. The remarks were brief, consisted of
10 only a few sentences of the closing argument, and drew on evidence previously admitted
11 in the guilt phase of the trial. *Cf. Clemons v. Mississippi*, 494 U.S. 738, 754 n.5 (1990)
12 (distinguishing the reliance on invalidated and inadmissible factors from the
13 circumstances surrounding a murder that had been aired during the guilt phase of trial,
14 and which a jury is “clearly entitled to consider” in imposing sentence). The prosecutor’s
15 argument did not manipulate or misstate the evidence. The trial court instructed the jurors
16 that there was only one aggravating circumstance that they could consider, that the
17 “murder itself” was not an aggravating circumstance, and that a mitigating circumstance
18 was “any factor that is relevant in determining whether to impose a sentence less than
19 death that relates to any aspect of the defendant’s character, propensities, history, record,
20 or circumstances of the offense.” (RT 3/8/09 at 77, 79.) Considering the context in which
21 the remarks were made, and in light of the instructions given, no due process violation
22 occurred whether or not the prosecutor misstated the law. *Cf. Boyde*, 494 U.S. at 384–85
23 (“[P]rosecutorial misrepresentations . . . are not to be judged as having the same force as
24 an instruction from the court.”). Claim 7 is denied.

25 F. Claim 8

26 Petitioner argues that the trial court provided coercive instructions to the jury
27 during the penalty phase of his capital case, in violation of his Sixth and Fourteenth
28 Amendment rights. (Doc. 28 at 231–37.) Respondents assert this claim is procedurally
defaulted. (Doc. 31 at 135.) Petitioner concedes this claim was not exhausted as a federal

1 claim in state court, but argues that appellate and PCR counsels' deficient performance
2 excuses any procedural default of the claim. (Doc. 28 at 231.)

3 As previously noted, *Martinez* has not been expanded to include defaulted claims
4 of trial error. *See Pizzuto*, 783 F.3d at 1177; *Hunton*, 732 F.3d at 1126–27. Because
5 Claim 8 is not an ineffective assistance of counsel claim, PCR counsel's deficient
6 performance may not serve as cause to excuse the procedural default. *See Pizzuto*, 783
7 F.3d at 1176–77. Claim 8 is denied.

8 G. Claim 27¹⁸

9 Claim 27 consists of four sub-claims. Petitioner argues that he was denied his
10 rights to a fair and impartial jury and due process of law by the trial court's failure to
11 conduct a sufficient inquiry into allegations that jurors: (A) violated the admonition; (B)
12 observed a witness hugging members of the victim's family; (C) were exposed to media
13 coverage during the trial; and (D) demonstrated bias before sentencing. (Doc. 28 at 275–
14 82.) Petitioner also alleges that the Arizona Supreme Court's resolution of Claims 27(C)
15 and (D) was based on an unreasonable factual determination. (*Id.* at 279, 282.) Petitioner
16 is not entitled to relief, because the Arizona Supreme Court's rejection of these claims
17 was not contrary to, or an unreasonable application of, clearly established federal law,
18 and was not based on an unreasonable factual determination.

19 **1. Factual and Procedural Background**

20 Following the second day of testimony in the guilt phase of trial, the Jury
21 Commissioner informed the trial court that a juror had contacted her with concerns
22 regarding another juror who was talking about the case in the jury room and in the
23 elevator, in the presence of other jurors. (*See* RT 2/3/05 at 5.) The trial court addressed
24 the issue by conducting an individualized inquiry of all 16 jurors. (*Id.* at 17, 22–95.)

25 During the inquiry, Juror 118 informed the court that she had overheard “several
26 things” that concerned her, but a conversation she had heard on the elevator was her

27
28 ¹⁸ Petitioner labels Claim 27 as Claim 28 in his Table of Contents, with no Claim 27 listed. (Doc. 28, at viii.) The claim is correctly identified as Claim 27 in the body of the text.

1 “breaking point.” (*Id.* at 49–50.) The conversation involved one juror asking another if
2 the trial was open to the public because she thought it would be good for her son to
3 observe the trial. (*Id.* at 50.) Juror 118 heard a second juror, later identified as Juror 7,
4 respond that she should “ask the Judge about that because I don’t know if that would be
5 okay.” (*Id.*)

6 Additionally, Juror 118 said Juror 7 and other jurors were talking in the jury room
7 about how scared two young witnesses appeared (*id.* at 51, 57); that Juror 7 stated to
8 other jurors that the woman sitting behind the prosecutor’s table was the victim’s wife
9 (*id.* at 51); that Juror 7 told another juror that there would be 92 trial witnesses (*id.* at 52);
10 that Juror 7 said her boyfriend was asking her about the trial because he had seen it on
11 television (*id.*); that Juror 7 informed the other jurors how alternates were to be chosen
12 (*id.* at 53); and that during a bench conference, Juror 7 attempted to whisper something
13 about a witness to Juror 118 (*id.* at 53–54).

14 Juror 118 believed all of these instances violated the court’s admonition. She
15 believed that Juror 7 would not have known the number of witnesses unless she had been
16 watching the news, and that Juror 7’s boyfriend would not have known what trial she was
17 in unless she had told him. (*Id.* at 52.) Juror 118 believed the information Juror 7
18 provided about choosing alternates was wrong, and she was not comfortable with
19 “someone sitting there giving wrong information about how our justice system works.”
20 (*Id.*) Juror 118 felt “tainted” knowing who the victim’s wife was. (*Id.* at 51.)

21 When the trial judge and counsel interviewed the jurors, three jurors responded
22 that they had heard, or were involved in, the conversation on the elevator. (*Id.* at 23, 25–
23 26, 63–64, 87–88.) Four jurors responded they heard a comment made in the jury room
24 about how nervous the young witnesses appeared. (*Id.* at 69–72, 74–76, 81–86). The
25 court, with counsel’s agreement, excused Jurors 7 and 118. (*Id.* at 95, 100, ROA 492 at
26 2.) During the inquiry, the remaining 14 jurors indicated there was no substantive
27 discussion of the case made, or overheard, by any of the jurors. (RT 2/3/05 at 30–33, 36,
28 38–40, 43–46, 63–64, 69–70, 76–78, 81, 84, 86, 90, 92.) The remaining jurors further
indicated they were taking the admonition seriously and could abide by the admonition.

1 (*Id.* at 31, 34, 36–37, 40–41, 44, 45, 64–65, 73, 76, 78–79, 83, 86, 88, 91, 94.)

2 Later that afternoon, defense counsel informed the court that State’s witness
3 Alejandro Ruiz was greeted and hugged by the Hardesty family in the presence of the
4 jury after his testimony. (RT 2/3/05 at 176–77.) Both the prosecutor and the trial court
5 observed an interaction between Ruiz and family members, but the court stated that this
6 occurred “behind the wall where the jury wouldn’t see.” (*Id.* at 177–78.) Defense counsel
7 disagreed with the court about where the interaction occurred. (*Id.* at 177.) The trial court
8 offered to give an instruction, but defense counsel stated that he just did not “want that
9 happening anymore.” (*Id.* at 178.)

10 The following morning, defense counsel notified the court that, after Juror 118 had
11 been dismissed, she gave an interview to the news media. (*See* ROA 501 at 3; RT 2/4/05
12 at 3–4; Court Ex. 7.)¹⁹ Defense counsel requested a copy of the reporter’s notes and a
13 recording of the interview. Counsel for the news station agreed to voluntarily provide a
14 tape of Juror 118’s interview to the court (RT 2/4/05 at 159, 169), but indicated that the
15 notes would require a subpoena, explaining that “every factual statement made in the
16 notes appear . . . to be statements that were made on tape.” (*Id.* at 166–67). Later, after
17 defense counsel had interviewed Juror 118, the trial court quashed Petitioner’s subpoena
18 to obtain the reporter’s notes, finding that “as [Petitioner] has interviewed the source
19 [Juror 118] there is nothing material or relevant to be gained from the notes.” (ROA 642
20 at 3.)

21 The trial court and counsel viewed the entirety of the interview (*see* RT 2/4/05 at
22 177), portions of which were aired on the evening of February 4, 2005. (*See* ROA 501 at
23 3; Ex. 2.) Petitioner moved for a mistrial based on the content of the news reports (ROA
24 500, 501; RT 2/4/05 at 6, RT 2/8/05 at 15–21) and the interaction between Ruiz and the
25 Hardesty family (ROA 501 at 3–4).

26 Petitioner argued in his motion for mistrial that there was “little likelihood that

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28 ¹⁹ Court Ex. 7 refers to the “sealed envelope marked as containing ‘VCR tape
KVOA-TV interview’” filed and viewed by court and counsel during Petitioner’s trial.
(Doc. 512; RT 2/4/05 at 177).

1 additional inquiry would be productive” (ROA 500 at 5), that any such inquiry would be
2 “meaningless” and “make matters worse” since it would require jurors to admit they had
3 not only violated the admonition but had untruthfully responded to the court’s previous
4 inquiry, and would frustrate and anger the jurors. (ROA 497 at 4; *see also* ROA 500 at 8.)
5 Contrary to the position taken in his motion, Petitioner filed a request to provide the
6 jurors with a special interrogatory to inquire into whether they had discussed the case.
7 (ROA 490.) The trial court denied Petitioner’s motion for mistrial based on the
8 statements Juror 118 provided to the media and denied Petitioner’s request for further
9 inquiry. (ROA 503; RT 2/8/05 at 54.) The court found that the allegations raised by the
10 jury and the media had already been addressed, and concluded “upon the investigation
11 that we did in questioning of jurors in this case . . . that there was no material breach or
12 incident in this case of the admonition that would unfairly affect or prejudice the
13 defendant.” (RT 2/8/05, at 47, 54.) Additionally, the trial court observed that when the
14 Ruiz incident occurred the jury was “on their way out of the courtroom. That is just very
15 hard for me to understand that very many jurors could have seen, and— where there [sic]
16 were when Ruiz was excused,” and found that there was “no prejudice by the witness[]
17 Ruiz[’s] conduct or contact with the family members of Officer Hardesty.” (*Id.* at 54.)

18 When the defense interviewed Juror 118, she agreed that basically what she had
19 told the news media in her interview was exactly what she had told the trial court:
20 “Everything I told them is the same thing.” (ROA 561, Ex. 1 at 2, 5.) Juror 118 also
21 informed counsel that she had forgotten to tell the trial court that one day in the jury room
22 “one of the men said I saw it in the paper and I immediately said I don’t want to hear it,
23 uh, I was plugging my ears. And, then he said oh, but I turned it—the paper over.” (*Id.* at
24 2.)

25 Petitioner again moved for a mistrial, arguing that this statement was evidence of a
26 violation of the admonition by at least one juror. (ROA 569 at 3.) Petitioner argued in the
27 alternative that the court should inquire whether any jurors had been exposed to media
28 accounts of the trial. (*Id.* at 5; *see also* ROA 579.) The court denied both requests, noting
that Juror 118’s statement indicated that the jurors did precisely what the admonition

1 instructed “and that is to avoid press coverage.” (RT 2/24/05 at 52; ROA 588 at 3.)

2 After the jury returned a guilty verdict, Petitioner reurged the motion for mistrial,
3 in part on the grounds that, according to Juror 118, at least one member of the jury had
4 been exposed to media accounts. (ROA 582.) The trial court denied the motion. (ROA
5 601 at 2.)

6 During the penalty phase of the trial, Tara White, Petitioner’s wife, testified on
7 behalf of the defense. (RT 3/2/05 at 104–39.) After a recess, defense counsel advised the
8 court that White indicated that, during a break in testimony, she overheard a conversation
9 from the jury room. (RT 3/2/05 at 133.) The trial judge observed that during the break, he
10 overheard the jurors and asked his clerk to advise the jurors to lower their voices. (*Id.* at
11 134.) The trial court also heard White ask “Why are they laughing at me?” or words to
12 that effect. (*Id.* at 134–35.) The court conducted a hearing out of the presence of the
13 jurors to investigate the allegation. White testified that after the jurors left the courtroom
14 and shut the door, they all started talking and said: “I can’t believe they’re keeping us this
15 long. They don’t have a chance” and then started laughing. (*Id.* at 136.) The trial court
16 allowed counsel to question several persons who were seated in the vicinity regarding
17 White’s allegation, but all testified that they did not hear any discussion or laughter from
18 the jury during the recess. (*Id.* at 155, 162, 170–71, 175, 177, 179, 183–84.)

19 The trial court posed an interrogatory to the jurors, asking “did you say or hear
20 another juror say to the effect, ‘I can’t believe they are keeping us this long, they don’t
21 have a chance.’” (ROA 600.) All the jurors responded negatively to the trial court’s
22 interrogatory. (ROA 611 at 4.)

23 Petitioner filed a motion for mistrial based on the alleged jury statement related by
24 White, in addition to the previously raised issues surrounding Juror 118. (ROA 603.) The
25 trial court, skeptical of White’s credibility and relying on the court’s previous rulings,
26 denied the motion. (ROA 611 at 4; RT 3/3/05 at 158.)

27 Petitioner argued on direct appeal that the trial court abused its discretion by
28 denying Petitioner’s request for a hearing to make additional inquiry of the jury members
to determine whether Juror 118’s statements regarding the jury members had merit (APP

1 51 at 75), what effect Ruiz’s conduct had on them (*id.* at 77–78), and the nature of the
2 jurors’ exposure to newspapers (*id.* at 90, 92). Petitioner also argued that the trial court
3 erred by failing to grant Petitioner’s motions for mistrial. (APP at 75, 77–78, 90, 92, 97.)

4 The Arizona Supreme Court rejected Petitioner’s claim that the jurors had violated
5 their admonition. The court addressed Juror 118’s concerns and concluded: “there was
6 nothing inappropriate about the conversation in the elevator, nothing was said about the
7 case”; the comments regarding the jurors expression of sympathy towards the young
8 witnesses “did not affect the jury or the fairness of the trial” because “the jurors did not
9 discuss the substance of the testimony and the witness’s testimony related only to
10 tangential matters”; Juror 7’s alleged statements identifying Officer Hardesty’s wife, that
11 there would be 92 witnesses testifying,²⁰ and describing how alternate jurors would be
12 selected were not recalled by any jurors other than Juror 118 and thus, “if made, had no
13 effect on the other jurors”; and all of the jurors other than Jurors 7 and 118 “uniformly
14 stated that they were unaware of any inappropriate conversations, and all jurors affirmed
15 that they were assiduously following the admonition.” *Cruz*, 181 P.3d at 210–11.
16 Furthermore, the court concluded that Juror 118’s interview to the news media “largely
17 repeated her allegations to the judge” and that transcripts of the interviews revealed that
18 the excused juror “had a distorted view of what constituted a violation of the
19 admonition.” *Id.* at 211. The court concluded that “[n]othing in the record on these issues
20 demonstrates a violation of the admonition.” *Id.*

21 The Arizona Supreme Court also rejected Petitioner’s claim that the jury was
22 prejudiced by Ruiz’s conduct, stating that “even if the jury observed this incident, Cruz
23 suffered no undue prejudice from it.” *Id.* at 211.

24 The Arizona Supreme Court rejected Petitioner’s assertions that the jurors had
25 been exposed to the newspaper, noting that, in addition to filing an untimely motion,
26 Petitioner had also failed to show he was prejudiced because nothing in Juror 118’s

27
28 ²⁰ The trial court surmised that Juror 7 had made this statement based on the list of
92 witnesses provided to the potential jurors during jury selection. Substantially fewer
than 92 witnesses actually testified during all phases of the trial.

1 statements to defense investigators indicated that the paper contained any information
2 about the case. *Id.* at 211–12. Additionally, the court found that the newspaper found in
3 the jury room contained nothing about the trial, and counsel did not object when the trial
4 court suggested throwing it away. *Id.* at 212.

5 Finally, the Arizona Supreme Court rejected Petitioner’s assertion that the trial
6 court abused its discretion by denying Petitioner’s motion for mistrial based on Tara
7 White’s testimony, because the trial court “fully investigated the matter and responded
8 appropriately” and “found no support for White’s assertions.” *Id.* at 213.

9 **2. Discussion**

10 Petitioner argues that the Arizona Supreme Court’s factual findings regarding the
11 issues raised in Claim 27 were based on an insufficient inquiry by the trial court, and thus
12 the Arizona Supreme Court’s reliance on an inadequate record was an unreasonable
13 application of clearly established Federal law as set forth in *Remmer v. United States*, 347
14 U.S. 227 (1954) and *Phillips*, 455 U.S. at 217. (Doc. 28 at 276–77.) Petitioner also asserts
15 that the Arizona Supreme Court’s decision regarding the issues in Claims 27(C) and (D)
16 was based on an unreasonable determination of facts.

17 a. Legal Standard

18 Because the Arizona Supreme Court did not directly address Petitioner’s
19 allegations in Claims 27(A)–(C), that the trial court abused its discretion by failing to
20 make a sufficient inquiry into all of his claims of juror misconduct or bias, this Court
21 must apply the *Richter* presumption and determine what arguments or theories supported
22 or could have supported the state court’s decision. See *Williams (Tara)*, 568 U.S. at
23 301 (“When a state court rejects a federal claim without expressly addressing that claim,
24 a federal habeas court must presume that the federal claim was adjudicated on the
25 merits—but that presumption can in some limited circumstances be rebutted.”). As to
26 Claim 27(D), the Arizona Supreme Court found that the trial court conducted a full
27 investigation and responded appropriately, and thus the Court applies AEDPA deference
28 to this decision

The Sixth Amendment guarantees a criminal defendant the right to a “fair trial by

1 a panel of impartial, ‘indifferent’ jurors.” *Irvin*, 366 U.S. at 722 (1961); *Dyer v.*
2 *Calderon*, 151 F.3d 970, 973 (9th Cir. 1998). However, a new trial is not required “every
3 time a juror has been placed in a potentially compromising situation.” *Phillips*, 455 U.S.
4 at 217. Instead, “[d]ue process means a jury capable and willing to decide the case solely
5 on the evidence before it, and a trial judge ever watchful to prevent prejudicial
6 occurrences and to determine the effect of such occurrences when they happen.” *Id.*

7 The United States Supreme Court decisions cited by Petitioner—*Remmer* and
8 *Phillips*—“do not stand for the proposition that any time evidence of juror bias comes to
9 light, due process requires the trial court to question the jurors alleged to have bias.”
10 *Tracey v. Palmateer*, 341 F.3d 1037, 1044 (9th Cir. 2003); *see also Sims v. Rowland*, 414
11 F.3d 1148, 1155 (9th Cir. 2005).

12 b. Failure to Conduct a Sufficient Inquiry under *Remmer* and
13 *Phillips*

14 Under the circumstances of this case, neither the trial court’s alleged failure to
15 investigate allegations of juror misconduct nor the Arizona Supreme Court’s denial of
16 Petitioner’s claims on the merits was contrary to, or an unreasonable application of,
17 clearly established federal law, as determined by the Supreme Court in *Remmer*. *See* 28
18 U.S.C. § 2254(d)(1).

19 To the extent Petitioner asserts that the Arizona Supreme Court’s decision rested
20 on an unreasonable application of *Remmer*, the facts of that case are readily
21 distinguishable from those at issue here. In *Remmer*, the petitioner learned after the trial
22 that “a person unnamed” had communicated with a juror during trial “that he could profit
23 by bringing in a verdict favorable to the petitioner.” 347 U.S. at 228. Such
24 communication, the Supreme Court found, was presumptively prejudicial. The Supreme
25 Court held that the trial court erred by denying the petitioner’s motion for mistrial
26 without conducting a hearing to determine the effect, if any, of the communication on the
27 jury. *Id.* at 229–30. As the Ninth Circuit explained in *Sims*, allegations of “incidental and
28 unintentional juror influence” are categorically different from the “outright jury
tampering” at issue in *Remmer*. *Sims*, 414 F.3d at 1156; *see also United States v. Dutkel*,

1 192 F.3d 893, 894–95 (9th Cir. 1999) (finding that *Remmer* announced a special rule
2 dealing with jury tampering).

3 In this case, there is no allegation of jury tampering and no presumption of
4 prejudice; thus, the rule announced in *Remmer* has “little application.” *See Sims*, 414 F.3d
5 at 1154. Additionally, the defendant in *Remmer* explicitly requested that the trial court
6 conduct a hearing on the issue of juror bias. *See Sims*, 414 F.3d at 1154 (finding that the
7 rule announced in *Remmer* has little application in a case where defendant not only fails
8 to request a hearing, but, through counsel, ostensibly approves of the manner in which the
9 trial judge responds to evidence of juror bias). In this case, Petitioner’s request for further
10 inquiry of the jurors was equivocal at best in light of the equally explicit position taken
11 by Petitioner that further inquiry would be harmful. (*Compare* ROA 500 at 5,8; ROA 497
12 at 4 *with* ROA 490, ROA 569, ROA 579; *see also* RT 3/3/05 at 145 (trial court noting
13 that on a couple of occasions the defense requested further inquiry and at other times
14 asked not to put the jury in that difficult position)).

15 Because the Arizona Supreme Court did not apply a rule that contradicts the
16 governing law set forth by the Supreme Court or arrive at a different result when
17 confronted by a set of facts that are materially indistinguishable from a decision of the
18 Supreme Court, the Court finds that the state court’s decision is not contrary to clearly
19 established federal law as determined by the Supreme Court in *Remmer*. *See* 28 U.S.C. §
20 2254(d)(1).

21 Nor was the state court’s decision an “unreasonable application” of *Phillips*.
22 Petitioner has not demonstrated that he did not have a sufficient opportunity to prove
23 actual bias as required by Supreme Court law, or that the trial court conducted an
24 inadequate inquiry into the allegations of juror misconduct.

25 The Supreme Court has never held that a trial court’s failure to investigate
26 possible juror bias is a structural error requiring a new trial even in the absence of
27 prejudice. *See Sims*, 414 F.3d at 1153. Nonetheless, Petitioner submits that *Phillips*
28 supports his contention that a hearing was called for because in that case there was no
allegation of jury tampering but the Court nonetheless found that a hearing was proper to

1 address allegations that a juror had applied for a job with the prosecution. Petitioner's
2 argument is unpersuasive. The general rule set forth in *Phillips* is that a defendant must
3 have an "opportunity to prove actual bias." 455 U.S. at 215. However, *Phillips* left "open
4 the door as to whether a hearing is always required." See *Tracey*, 341 F.3d at 1044. The
5 Supreme Court held that determinations of juror impartiality "may properly be made at a
6 hearing like that ordered in *Remmer*," but did not hold that a hearing was the only proper
7 safeguard. *Phillips*, 455 U.S. 218 (emphasis added).

8 "[I]n determining whether a hearing must be held, the court must consider the
9 content of the allegations, the seriousness of the alleged misconduct or bias, and the
10 credibility of the source." *Tracey*, 341 F.3d at 1044 (citing *United States v. Angulo*, 4
11 F.3d 843, 847 (9th Cir. 1993)). The trial court has discretion to determine the extent and
12 nature of the hearing. *United States v. Shryock*, 342 F.3d 948, 973–74 (9th Cir. 2003);
13 *Price v. Kramer*, 200 F.3d 1237, 1254 (9th Cir. 2000); see *Dyer*, 151 F.3d at 975 ("So
14 long as the fact-finding process is objective and reasonably explores the issues presented,
15 the state trial judge's findings based on that investigation are entitled to a presumption of
16 correctness.").

17 (i) **Claim 27(A)**

18 As to Claim 27(A), Juror 118 agreed that in statements given during her interview
19 with the news media she had raised no issues beyond those she had previously brought to
20 the attention of the trial court. Those issues were meticulously and thoroughly
21 investigated by the trial court, and defense counsel was satisfied with the removal of
22 Jurors 7 and 118 as a result of that inquiry. Juror 118 made several statements and
23 assumptions regarding her belief that the admonition had been violated; however, with
24 the possible exception of a statement Juror 7 attempted to make to Juror 118, and which
25 Juror 118 did not hear, there is no support for Petitioner's contention that the jurors
26 actually violated their admonition. As the Arizona Supreme Court concluded, Juror 118's
27 understanding of the admonition was "distorted" and the record did not demonstrate any
28 violation of the admonition. *Cruz*, 181 P.3d at 211.

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1 (ii) *Claim 27(B)*

2 As to Claim 27(B), the trial court stated that at the time the incident with witness
3 Ruiz occurred, defense counsel's position was that it was a "minor enough matter" that
4 "could just be dealt with by an admonition." (RT 3/8/05 at 54.) The trial court found it
5 difficult to believe Petitioner's argument regarding the degree of commotion the Ruiz
6 situation might have caused because the focus was on the discussion at the bench while
7 the jurors were on their way out of the courtroom. (*Id.*) Moreover, Petitioner rejected the
8 offer of a curative instruction. Thus, the trial court properly considered the seriousness of
9 the alleged misconduct and took appropriate steps to ensure nothing similar occurred in
10 the future.

11 (iii) *Claim 27(C)*

12 As to Claim 27(C), the trial court's inquiry, which established that the jurors were
13 not aware of any discussions regarding the case and confirmed that they each could abide
14 by the admonition to avoid discussing the case and to avoid the media, was sufficient to
15 address Juror 118's subsequent revelation to defense counsel that she believed a juror had
16 been exposed to media coverage. Because Juror 118 was dismissed immediately after the
17 inquiry, her allegation that a juror had been exposed to media necessarily implied that
18 this occurred prior to the court's individualized inquiry of all the jurors. It is extremely
19 unlikely that a second inquiry into the jury's alleged failure to abide by the admonition
20 would have yielded different results. The trial court's inquiry and defense counsel's full
21 participation in questioning the jurors in the first inquiry adequately safeguarded
22 Petitioner's right to a fair and impartial jury. Moreover the content of the allegation itself
23 did not support the need for further inquiry. There was no evidence that a juror
24 committed misconduct. Instead, the record demonstrates that the juror complied with the
25 admonition by turning over the paper to avoid seeing its contents.

26 (iv) *Claim 27(D)*

27 As to Claim 27(D), the trial court, recognizing the possibility that the jurors had
28 expressed bias, conducted a thorough investigation into the matter with full participation
by the defense. The judge recounted his personal observations, interviewed several

1 witnesses, and submitted an interrogatory to the jurors. The response confirmed the
2 testimony of the witnesses—that nobody, not even the jurors present in the jury room,
3 claimed to hear the statement White alleged a juror had made. Moreover, the trial court,
4 which had an opportunity to view the witnesses and to observe White, both during the
5 hearing on the juror misconduct and in her testimony during the penalty phase of trial,
6 was skeptical of White’s credibility. The trial court could properly take this into account
7 in denying a request for further inquiry and the motion for mistrial.

8 The Court finds that Petitioner has not shown that the Arizona Supreme Court’s
9 decision was “so lacking in justification that there was an error well understood and
10 comprehended in existing law beyond any possibility for fair-minded disagreement.” *See*
11 *Richter*, 562 U.S. at 103. The Court next reviews Petitioner’s challenge under §
12 2254(d)(2).

13 c. *Unreasonable Determination of Facts*

14 (i) *Claim 27(C)*

15 In Claim 27(C), Petitioner asserts that the Arizona Supreme Court’s ruling was an
16 unreasonable determination of the facts because the court based its findings on the
17 merging of two separate occurrences: Juror 118’s assertion that a juror was exposed to
18 media coverage, and the discovery of a newspaper in the jury room. To the extent the
19 state appellate court may have conflated these two issues, this error was invited by
20 Petitioner’s presentation of the issue in a similarly conflated manner to the Arizona
21 Supreme Court. (*See* APP 51 at 86–89.) Petitioner’s appellate presentation was simply
22 factually incorrect. Petitioner argued in his direct appeal that Juror 118 saw a newspaper
23 in the jury room and therefore the evidence demonstrated that at least one juror had in his
24 possession in the jury room a newspaper *concerning this case*. In fact, Juror 118 never
25 stated that a juror had possession of a newspaper in the jury room, and had conveyed to
26 defense counsel only that another juror attempted to tell her, not about the case, but about
27 the fact that, when the juror saw “it” in the paper, the juror had turned the paper over.

28 Regardless, as Respondents correctly assert, the reviewing court’s conclusion that
Juror 118’s statements did not indicate that the paper to which she referred contained any

1 information about the case was not unreasonable. Juror 118 did not state that the other
2 juror reported that the article contained information about the trial, the murder, or
3 anything else about the case. This Court is convinced that an appellate panel could not
4 reasonably conclude that the finding is unsupported by the record. *See Taylor*, 366 F.3d
5 at 1000.

6 Moreover, even if the state court's findings were a misapprehension or
7 misstatement of the record, any misapprehension about whether the newspaper the juror
8 was referring to contained information about this case did not go to a material factual
9 issue that is central to Petitioner's claim. *See id.* at 1001.

10 (ii) ***Claim 27(D)***

11 Also without merit is Petitioner's assertion in Claim 27(D) that the Arizona
12 Supreme Court's findings of fact regarding White's statement were unreasonable.
13 Petitioner asserts that the Arizona Supreme Court's findings were unreasonable because,
14 while four witnesses claimed to have heard *nothing*, the trial court itself heard *something*,
15 and thus the court erred in relying on the witnesses' statements which were contrary to
16 the court's recollection. This argument misstates the court's findings. The Arizona
17 Supreme Court found that none of the witnesses heard "what White claimed to have
18 heard." *Cruz*, 181 P.3d at 213. This was not an unreasonable factual determination, and
19 was supported by the trial court's recollection. Though several witnesses, including the
20 court, heard noise or laughter coming from the jury room, no witness, including the trial
21 court, ever heard a juror stating "I can't believe they're keeping us this long. They don't
22 stand a chance."

23 The Court finds that the state court's decision regarding potential juror misconduct
24 or bias was not based on an unreasonable determination of the facts. Claim 27 is denied.

25 **IV. CLAIMS 9–20**

26 Petitioner raises a series of constitutional challenges to the death penalty and to
27 Arizona's death penalty scheme. (Doc. 28 at 238–58, 271–72.) The Arizona Supreme
28 Court rejected these claims on direct appeal. *Cruz*, 181 P.3d at 218–19. For the reasons
set forth below, the Court will deny the claims.

1 A. Claim 9

2 Petitioner challenges the aggravating circumstance used to render him death-
3 eligible. Petitioner argues that A.R.S. § 13-703(F)(10), which establishes eligibility for
4 the death penalty based on the murder of a law enforcement officer in the line of duty,
5 impermissibly fails to narrow the class of persons eligible for the death penalty by
6 “double counting” a factual element necessary to establish first-degree murder under
7 A.R.S. § 13-1105(A)(3). (Doc. 28, at 238–241.) The Arizona Supreme Court’s rejection
8 of this claim was not contrary to or an unreasonable application of clearly established
9 law, nor was it based on an unreasonable determination of the facts.

10 A capital sentencing scheme must “genuinely narrow the class of persons eligible
11 for the death penalty and must reasonably justify the imposition of a more severe
12 sentence on the defendant compared to others found guilty of murder.” *Zant v. Stephens*,
13 462 U.S. 862, 877 (1983). Defining specific “aggravating circumstances” is the accepted
14 “means of genuinely narrowing the class of death-eligible persons and thereby
15 channeling the jury’s discretion.” *Lowenfield*, 484 U.S. at 244. Such a circumstance must
16 meet two requirements. First, “the [aggravating] circumstance may not apply to every
17 defendant convicted of a murder; it must apply only to a subclass of defendants convicted
18 of murder.” *Tuilaepa*, 512 U.S. at 972; *Arave v. Creech*, 507 U.S. 463, 474 (1993).
19 Second, “the aggravating circumstance may not be unconstitutionally vague.” *Tuilaepa*,
20 512 U.S. at 972.

21 Petitioner was indicted on one count of first-degree murder under A.R.S. § 13-
22 1105(A)(3): “Intending or knowing that the person’s conduct will cause death to a law
23 enforcement officer, the person causes the death of a law enforcement officer who is in
24 the line of duty.” *Cruz*, 181 P.3d at 203, 216. The only aggravating circumstance the
25 State alleged required proof of nearly identical facts: “The murdered person was an on
26 duty peace officer who was killed in the course of performing the officer’s official duties
27 and the defendant knew, or should have known, that the murdered person was a peace
28 officer.” A.R.S. § 13-703(F)(10); *Cruz*, 181 P.3d at 216.

 Petitioner acknowledged in his opening brief that the United States Supreme Court

1 has held that it is constitutional for a capital aggravating circumstance to duplicate an
2 element of the offense, but nonetheless urged the Arizona Supreme Court to hold that, as
3 a matter of Arizona Constitutional law, A.R.S. § 13-703(F)(10) is unconstitutional
4 because any conviction of murder under A.R.S. § 13-1105(A)(3) will necessarily satisfy
5 the (F)(10) aggravating circumstance. (Doc. 31, Ex. A at 105–07.) The Arizona Supreme
6 Court rejected Petitioner’s argument:

7 [A]s with all cases in which an aggravating circumstance is found, no
8 presumption arises that a capital sentence should be imposed. . . . Killing a
9 person one knows to be a peace officer who is acting in the line of duty
adequately narrows the class of persons subject to the death penalty.

10 *Cruz*, 181 P.3d at 217 (citing *Lowenfield*, 484 U.S. at 244). Contrary to Petitioner’s
11 argument, the Arizona Supreme Court’s rejection of this claim was not an unreasonable
12 application of clearly established federal law. Petitioner argues that the Arizona Supreme
13 Court’s holding demonstrates that there is no genuine narrowing performed by the
14 (F)(10) aggravator because “[n]othing more is required to prove the (F)(10) aggravating
15 circumstance, which renders a defendant eligible for a death sentence” and merely
16 repeats factors that distinguish first-degree murder from other categories of murder. (Doc.
17 28 at 240) (quoting *Cruz*, 181 P.3d at 216). Petitioner misconstrues the Supreme Court’s
18 holding in *Zant*. The Constitution requires no more than a genuine narrowing of the class
19 of death-eligible persons and reasonable justification for the imposition of a more severe
20 sentence on the defendant “compared to others found guilty of murder.” *Zant*, 462 U.S. at
21 877. The “narrowing function” may be performed “by jury findings at either the
22 sentencing phase of the trial or the guilt phase.” *Lowenfield*, 484 U.S. at 244–45. If the
23 legislature narrows the definition of capital offenses—and thus the “narrowing function”
24 is performed by the jury at the guilt phase—the “fact that the sentencing jury is also
25 required to find the existence of an aggravating circumstance in addition is no part of the
26 constitutionally required narrowing process.” *Id.* at 246. Thus, the fact that an
27 aggravating circumstance duplicates one of the elements of the crime does not make the
28 sentence constitutionally infirm. *Id.*

1 Arizona's first-degree murder statute and capital sentencing scheme, which, as
2 Petitioner acknowledges, treats "the defendant who kills a police officer in the line of
3 duty . . . more severely" accomplishes the requisite narrowing function. The fact that the
4 murder victim was a peace officer performing his regular duties may be properly
5 regarded as an aggravating circumstance because "[t]here is a special interest in affording
6 protection to these public servants who regularly must risk their lives in order to guard
7 the safety of other persons and property." *Roberts v. Louisiana*, 431 U.S. 633, 636
8 (1977). Thus, Arizona's (F)(10) aggravating circumstance narrows "the class of death-
9 eligible murderers and then at the sentencing phase allows for the consideration of
10 mitigating circumstances and the exercise of discretion. The Constitution requires no
11 more." *See Lowenfield*, 484 U.S. at 246. Because the Arizona Supreme Court's decision
12 was not contrary to or an unreasonable application of clearly established federal law,
13 Claim 9 is denied.

14 B. Claim 10

15 Petitioner argues that the death penalty is categorically cruel and unusual
16 punishment because it no longer serves the goals of retribution or deterrence and should
17 be abolished pursuant to the "evolving standards of decency that mark the progress of a
18 maturing society." (Doc. 28 at 242) (quoting *Trop v. Dulles*, 356 U.S. 86, 101 (1958)).
19 The Supreme Court has held otherwise, *Gregg v. Georgia*, 428 U.S. 153, 187 (1976), and
20 thus the Arizona Supreme Court's rejection of this claim was not contrary to or an
21 unreasonable application of clearly established law.

22 The Arizona Supreme Court's rejection of this claim was also not based on an
23 unreasonable determination of the facts. Petitioner cites two law journals in support of his
24 argument that empirical evidence has eroded the two principle social purposes of the
25 death penalty—"retribution and deterrence." (Doc. 28 at 242.)²¹ But Petitioner presented
26 no empirical evidence to the Arizona Supreme Court regarding this claim, nor did he cite

27 ²¹ The two articles are: Carol S. Steiker, *No, Capital Punishment Is Not Morally*
28 *Required: Deterrence, Deontology, and the Death Penalty*, 58 Stan. L. Rev. 751 (2005);
John J. Donohue & Justin Wolfers, *Uses and Abuses of Empirical Evidence in the Death*
Penalty Debate, 58 Stan. L. Rev. 791 (2005).

1 to the articles he now includes in this Petition. (See Doc. 31, Ex. A at 3–6, 112; Doc. 31,
2 Ex. C at 2.) Under *Pinholster*, review of such claims “is limited to the record that was
3 before the state court that adjudicated the claim on the merits.” *Gulbrandson*, 738 F.3d at
4 993 n.6 (citing § 2254(d)(2) and *Pinholster*, 563 U.S. at 185 n.7).

5 Because the Arizona Supreme Court’s decision was not contrary to or an
6 unreasonable application of clearly established federal law, nor an unreasonable
7 determination of facts, Claim 10 is denied.

8 C. Claim 11

9 Petitioner alleges that Arizona’s capital sentencing scheme violates the Eighth and
10 Fourteenth Amendments because it affords the prosecutor unbridled discretion to seek the
11 death penalty. (Doc. 28 at 242.)

12 The Arizona Supreme Court’s rejection of this claim was not contrary to or an
13 unreasonable application of clearly established law, nor was it based on an unreasonable
14 determination of the facts. Prosecutors have wide discretion in making the decision
15 whether to seek the death penalty. The fact that a prosecutor has discretion in charging
16 and deciding whether to ask for the death penalty does not render the imposition of
17 capital sentences unconstitutionally arbitrary. *Gregg*, 428 U.S. at 199; *Jurek v. Texas*, 428
18 U.S. 262, 274 (1976); *Proffitt v. Florida*, 428 U.S. 242, 254 (1976). Additionally, the
19 Ninth Circuit has rejected the argument that Arizona’s death penalty statute is
20 constitutionally infirm because “the prosecutor can decide whether to seek the death
21 penalty.” *Smith v. Stewart*, 140 F.3d 1263, 1272 (9th Cir. 1998). Because the Arizona
22 Supreme Court’s decision was not contrary to or an unreasonable application of clearly
23 established federal law, nor an unreasonable determination of facts, Claim 11 is denied.

24 D. Claim 12

25 Petitioner argues that Arizona’s death penalty scheme discriminates against poor
26 young males. (Doc. 28 at 243–44.) The Arizona Supreme Court’s rejection of this claim
27 was not contrary to or an unreasonable application of clearly established federal law, or
28 based on an unreasonable determination of facts.

Clearly established federal law holds that “a defendant who alleges an equal

1 protection violation has the burden of proving the existence of purposeful discrimination”
2 and must demonstrate that the purposeful discrimination “had a discriminatory effect” on
3 him. *McCleskey v. Kemp*, 481 U.S. 279, 292 (1987) (quoting *Whitus v. Georgia*, 385 U.S.
4 545, 550 (1967)). Therefore, to prevail on this claim Petitioner “must prove that the
5 decision makers in his case acted with discriminatory purpose.” *Id.*

6 Petitioner’s statistical claim that male murderers are overrepresented on Arizona’s
7 death row in comparison to the population of murderers generally is insufficient to meet
8 this burden. *See Richmond v. Lewis*, 948 F.2d 1473, 1490–91 (9th Cir. 1990) (holding
9 that statistical evidence that Arizona’s death penalty is discriminatorily imposed based on
10 race, sex, and socioeconomic background is insufficient to prove that decision makers in
11 petitioner’s case acted with discriminatory purpose) *vacated on other grounds*, 986 F.2d
12 1583 (9th Cir. 1993). Petitioner failed to allege any facts to suggest that decision makers
13 in *his* case acted with discriminatory purpose. The Arizona Supreme Court’s denial of
14 this claim was not “so lacking in justification that there was an error well understood and
15 comprehended in existing law beyond any possibility for fairminded disagreement.”
16 *Richter*, 562 U.S. at 103. Because the Arizona Supreme Court’s decision was not
17 contrary to or an unreasonable application of clearly established federal law, nor an
18 unreasonable determination of facts, Claim 12 is denied.

19 E. Claim 13

20 Petitioner alleges that Arizona’s capital sentencing scheme violates the Eighth
21 Amendment because it denies capital defendants the benefit of proportionality review.
22 (Doc. 28 at 244–45.) There is no federal constitutional right to proportionality review of a
23 death sentence. *McCleskey*, 481 U.S. at 306 (citing *Pulley v. Harris*, 465 U.S. 37, 43–44
24 (1984)). The Ninth Circuit has explained that the interest implicated by proportionality
25 review—the “substantive right to be free from a disproportionate sentence”—is protected
26 by the application of “adequately narrowed aggravating circumstance[s].” *Ceja v.*
27 *Stewart*, 97 F.3d 1246, 1252 (9th Cir. 1996). Because the Arizona Supreme Court’s
28 rejection of this claim was not contrary to or an unreasonable application of clearly
established federal law, Claim 13 is denied.

1 F. Claims 14–16 and 20²²

2 Petitioner argues that Arizona’s death penalty scheme is unconstitutional because
3 it does not require the State to prove beyond a reasonable doubt that the aggravating
4 circumstances outweigh the mitigating circumstances (Claim 14) and because it fails to
5 provide the jury with objective standards to guide the weighing of aggravating and
6 mitigating circumstances (Claim 15). (Doc. 28 at 245–47.)

7 The Arizona Supreme Court’s rejection of these claims was not contrary to, and
8 did not involve an unreasonable application of, clearly established law, nor was it based
9 on an unreasonable determination of the facts. There is no Supreme Court authority
10 which constitutionally requires that a jury be instructed on a burden of proof in the
11 sentence selection phase of a capital case. Further, “[t]he United States Supreme Court
12 has never stated that a beyond-a-reasonable-doubt standard is required when determining
13 whether a death penalty should be imposed.” *Harris v. Pulley*, 692 F.2d 1189, 1195 (9th
14 Cir. 1982), *rev’d on other grounds*, 465 U.S. 37 (1984). Nor is there any Supreme Court
15 authority which would require a burden of proof or persuasion be assigned to any of the
16 jury’s penalty phase determinations.²³ On the contrary, the Supreme Court has held that a
17 “capital sentencer need not be instructed how to weigh any particular fact in the capital
18 sentencing decision.” *Tuilaepa*, 512 U.S. at 979; *see Franklin v. Lynaugh*, 487 U.S. 164,

19
20 ²² Petitioner withdraws Claim 16, having unintentionally duplicated the arguments
21 raised in Claim 14. Claim 20 also appears to be substantially identical to Claim 15.
22 Petitioner asserts that Claim 20 was raised on direct appeal as Claim V.18. Although
23 Petitioner argues that Claim 20 was raised on direct appeal, the Court’s review of the
24 record indicates that Claim V.18 alleged that Arizona’s statutory scheme for considering
25 mitigating evidence is unconstitutional because it limits full consideration of that
evidence, which is the same argument made in Claim 22 of this petition. Accordingly,
Claim 20 is denied by the Court as duplicative of Claim 15. To the extent Claim 20
presents an argument regarding the juror’s full consideration of the mitigating evidence,
the Court rejects such argument for the same reasons it rejects Claim 22, *infra*.

26 ²³ Neither are Petitioner’s claims supported by the supplemental authority cited in
27 Document 57. *Hurst v. Florida*, 136 S. Ct. 616 (2016), does not hold that a jury is
28 required to find beyond a reasonable doubt that the aggravating factors outweigh the
mitigating circumstances. *Hurst* held only that Florida’s scheme, in which the jury
rendered an advisory sentence but the judge made the findings regarding aggravating and
mitigating factors, violated the Sixth Amendment. 136 S. Ct. at 620. *Hurst* did not
address the process of weighing the aggravating and mitigating circumstances.

1 179 (1988) (“[W]e have never held that a specific method for balancing mitigating and
2 aggravating factors in a capital sentencing proceeding is constitutionally required.”);
3 *Zant*, 462 U.S. at 875, n.13 (explaining that “specific standards for balancing aggravating
4 against circumstances are not constitutionally required”). Because the Arizona Supreme
5 Court’s decision was not contrary to or an unreasonable application of clearly established
6 federal law, nor an unreasonable determination of facts, Claims 14–15 are denied. Claim
7 16 is withdrawn.

8 G. Claim 17

9 Petitioner alleges that Arizona’s capital sentencing scheme violates the Eighth and
10 Fourteenth Amendments because it does not sufficiently channel the discretion of the
11 sentencing authority. (Doc. 28 at 249.) The Arizona Supreme Court’s rejection of this
12 claim was not contrary to or an unreasonable application of clearly established law, nor
13 was it based on an unreasonable determination of the facts. The Ninth Circuit has rejected
14 the contention that Arizona’s death penalty statute is unconstitutional because it “does not
15 properly narrow the class of death penalty recipients.” *Smith v. Stewart*, 140 F.3d at 1272.
16 The Arizona sentencing scheme requires proof of a specific “aggravating circumstance”
17 before a sentence of death may be imposed. *See* A.R.S. § 13-703.1(D). This is an
18 accepted “means of genuinely narrowing the class of death-eligible persons.” *See*
19 *Lowenfield*, 484 U.S. at 244. Claim 17 is denied.

20 H. Claims 18–19

21 In Claim 18, Petitioner argues that Arizona’s sentencing scheme is
22 unconstitutional because it presumes that death is the appropriate punishment by
23 requiring the imposition of the death penalty if one aggravating factor is found and no
24 mitigating factors are established.²⁴ (Doc. 28 at 250–51 (citing A.R.S. § 13-703(E) (“the

25
26 ²⁴ Petitioner also asserts that mitigating evidence is frequently not considered
27 because of the use of “a screening mechanism designed to prevent the sentencer from
28 considering such evidence.” (Doc. 28 at 251 (citing *State v. Ramirez*, 871 P.2d 237, 252-
53 (Ariz. 1994) (affirming trial court’s rejection of defendant’s mitigating factors because
defendant failed to prove, by a preponderance of the evidence, that he was remorseful and
had an excellent prison record).) This issue is addressed in the Court’s discussion of
Claim 22.

1 trier of fact shall impose a sentence of death if the trier of fact finds one or more of the
2 aggravating circumstances . . . and then determines that there are no mitigating
3 circumstances sufficiently substantial to call for leniency.”)).) In Claim 19, Petitioner
4 argues that Arizona’s capital sentencing scheme is unconstitutional because it shifts the
5 burden of persuasion to Petitioner to affirmatively prove mitigating circumstances
6 sufficiently substantial for the sentencing body to spare his life. (Doc. 28 at 252 (citing
7 A.R.S. § 13-703(C) (“The burden of establishing the existence of . . . mitigating
8 circumstances . . . is on the defendant.”))).)

9 The Arizona Supreme Court’s rejection of these claims was not contrary to or an
10 unreasonable application of clearly established law, nor was it based on an unreasonable
11 determination of the facts. The Supreme Court has rejected the claim that Arizona’s
12 death penalty statute is impermissibly mandatory and creates a presumption in favor of
13 the death penalty. *Walton v. Arizona*, 497 U.S. 639, 651–52 (1990) (citing *Blystone v.*
14 *Pennsylvania*, 494 U.S. 299 (1990); *Boyde*, 494 U.S. at 370), *overruled on other grounds*
15 *by Ring v. Arizona*, 536 U.S. 584 (2002); *see also Kansas v. Marsh*, 548 U.S. 163, 173–
16 74 (2006) (relying on *Walton* to uphold Kansas’s death penalty statute, which directs
17 imposition of the death penalty when the state has proved that mitigating factors do not
18 outweigh aggravators); *Smith v. Stewart*, 140 F.3d at 1272 (summarily rejecting
19 challenges to the “mandatory” quality of Arizona’s death penalty statute and its failure to
20 apply a beyond-a-reasonable-doubt standard). Furthermore, the Supreme Court in
21 *Walton*, recognizing that a state may not impose restrictions on “what mitigating
22 circumstances may be considered in deciding whether to impose the death penalty,” held
23 that Arizona’s allocation of the burdens of proof in a capital sentencing proceeding does
24 not violate the Constitution. *Walton*, 497 U.S. at 649–50. “So long as a State’s method of
25 allocating the burdens of proof does not lessen the State’s burden . . . to prove the
26 existence of aggravating circumstances, a defendant’s constitutional rights are not
27 violated by placing on him the burden of proving mitigating circumstances sufficiently
28 substantial to call for leniency.” *Id.* Because the Arizona Supreme Court’s rejection of
these claims was not contrary to or an unreasonable application of clearly established

1 law, nor was it based on an unreasonable determination of the facts, Claims 18 and 19 are
2 denied.

3 **V. CLAIM 21**

4 Petitioner argues that Arizona’s capital sentencing scheme fails to provide
5 meaningful appellate review of death sentences. (Doc. 28 at 254–56.) Respondents
6 contend that the claim was not properly exhausted on direct appeal because Petitioner
7 failed to raise it in the state courts, and, consequently, it is procedurally defaulted. (Doc.
8 31 at 164.) Regardless of its procedural status, the Court will address this claim because it
9 is plainly meritless. *See* 28 U.S.C. § 2254(b)(2); *Cassett*, 406 F.3d at 623-24.

10 For offenses committed after August 1, 2002, the Arizona Supreme Court is
11 required to review all death sentences to determine “whether the trier of fact abused its
12 discretion in finding aggravating circumstances and imposing a sentence of death.”
13 A.R.S. § 13-703.05(A); *see also* 2002 Ariz. Sess. Laws 5th Spec. Sess. CH.1 (S.B. 1001)
14 (stating that A.R.S. § 13-703.05 applies to offenses committed on or after August 1,
15 2002). Under this standard of review, the court upholds a decision if there is “any
16 reasonable evidence in the record to sustain it.” *See State v. Morris*, 160 P.3d 203, 220
17 (Ariz. 2007) (citing *State v. Veatch*, 646 P.2d 279, 281 (Ariz. 1982)). Petitioner asserts
18 that this review is inconsistent with the Supreme Court’s decisions mandating meaningful
19 “independent” appellate review as a necessary “check against the random or arbitrary
20 imposition of the death penalty.” (Doc. 28 at 255 (quoting *Gregg*, 428 U.S. at 206).)

21 While “meaningful appellate review” is necessary to ensure that the death penalty
22 is not imposed in an arbitrary or irrational fashion, *Pulley v. Harris*, 465 U.S. at 54
23 (Stevens, J., concurring); *Parker v. Dugger*, 498 U.S. 308, 321 (1991), the Supreme
24 Court has never held that “independent” or “de novo” review of death sentences is
25 constitutionally mandated. The Constitution requires only that an appellate court
26 “consider whether the evidence is such that the sentencer could have arrived at the death
27 sentence that was imposed,” not whether the appellate court itself would have imposed a
28 death sentence. *Clemons*, 494 U.S. at 749. Claim 21 is not supported by clearly
established federal law and is denied.

1 **VI. CLAIM 22**

2 Petitioner argues that Arizona’s requirement that defendants prove mitigating
3 factors by a preponderance of the evidence is unconstitutional because it prevents the jury
4 from considering any aspect of the defendant’s character or record that counsels in favor
5 of a sentence other than death. (Doc. 28 at 256–57 (citing *Smith v. Texas*, 543 U.S. 37
6 (2004); *Tennard*, 542 U.S. at 285; *Lockett*, 438 U.S. at 604).) This claim is procedurally
7 defaulted because it was not raised in the state courts. The Court addresses this
8 procedurally defaulted claim because it is plainly meritless. *See* 28 U.S.C. § 2254(b)(2);
9 *Cassett*, 406 F.3d at 623-24.

10 The Supreme Court has specifically rejected the argument that the Arizona statute
11 is unconstitutional because it imposes on defendants the burden of establishing, by a
12 preponderance of the evidence, the existence of mitigating circumstances sufficiently
13 substantial to call for leniency. *Walton*, 497 U.S. at 649–51. According to Petitioner,
14 recent case law undermines *Walton*’s conclusion and calls for a different result, so that
15 once he establishes relevance, “the ‘Eighth Amendment requires that the jury be able to
16 consider and give effect to’ a capital defendant’s mitigating evidence.” (Doc. 37 at 215
17 (quoting *Tennard*, 542 U.S. at 285).) The Court disagrees. *Walton* controls the outcome
18 of this claim.

19 Since its decision in *Tennard*, the Supreme Court has subsequently reaffirmed that
20 the reasoning in *Walton* still controls regarding burdens of persuasion—“a state death
21 penalty statute may place the burden on the defendant to prove that mitigating
22 circumstances outweigh aggravating circumstances.” *Kansas v. Marsh*, 548 U.S. at 173.
23 Thus, once the government has properly carried its burden of establishing death
24 eligibility, “it [does] not offend the Constitution to put the burden on [defendant] to prove
25 any mitigating factor by a preponderance of the evidence.” *United States v. Mitchell*, 502
26 F.3d 931, 993 (9th Cir. 2007) (citing *Kansas v. Marsh*, 548 U.S. 163; *Walton*, 497 U.S. at
27 649; *Jeffers v. Lewis*, 38 F.3d 411 (9th Cir 1994)). Petitioner is not entitled to relief on
28 this claim.

//

1 **VII. CLAIM 23**

2 Petitioner alleges that his constitutional rights will be violated because he will not
3 receive a fair clemency proceeding. In particular, he alleges the proceeding will not be
4 fair and impartial based on the Clemency Board's selection process, composition,
5 training, and procedures, and because the Attorney General will act as the Board's legal
6 advisor and as an advocate against Petitioner. (Doc. 28 at 257–59.) Petitioner
7 acknowledges the claim is unripe for adjudication. (Doc. 37 at 216.) The Court denies
8 Claim 23 because it is not cognizable in this habeas proceeding.

9 Habeas relief can only be granted on claims that a prisoner “is in custody in
10 violation of the Constitution or laws or treaties of the United States.” 28 U.S.C. §
11 2254(a). Petitioner's challenge to state clemency procedures does not represent an attack
12 on his detention and thus does not constitute a proper ground for relief. *See Franzen v.*
13 *Brinkman*, 877 F.2d 26, 26 (9th Cir. 1989) (per curiam) (“A habeas petition must allege
14 the petitioner's detention violates the constitution, a federal statute or a treaty.”); *see also*
15 *Woratzek v. Stewart*, 118 F.3d 648, 653 (9th Cir. 1997) (per curiam) (clemency claims
16 are not cognizable under federal habeas law). Accordingly, Claim 23 is denied.

17 **VIII. CLAIM 24**

18 In Claim 24, Petitioner argues that trial counsel performed ineffectively in the
19 guilt and sentencing phases of his trial. (Doc. 28 at 259–65.) This claim consists of five
20 sub-claims, labelled 24(A) through (E) by Respondents, that Petitioner concedes were not
21 exhausted in state court. Petitioner asserts that PCR counsel's ineffectiveness for failing
22 to raise these IAC claims constitutes cause under *Martinez*. Respondents contend that
23 Petitioner is not entitled to relief because these claims are without merit and not
24 substantial under *Martinez*. The Court concludes that these claims are not substantial, and
25 thus the procedural default cannot be excused under *Martinez*. Additionally, these claims
26 are all without merit.

27 In Claim 24(A), Petitioner asserts that trial counsel failed to request a hearing in
28 order to investigate and “offer up the evidence they claimed to possess” demonstrating
excessive security precautions were being employed during Petitioner's trial. Petitioner,

1 however, offers nothing more than speculation that such evidence exists. Even if trial
2 counsel performed unreasonably by failing to request a hearing on the use of the shock
3 belt, as stated in the Discussion Section II.B, this Court has determined that Petitioner
4 fails to allege facts that would establish that use of the shock belt was prejudicial.
5 Petitioner has not presented any factual basis for concluding that the jurors ever observed
6 that he was wearing the shock belt. He also fails to present any factual support for his
7 assertion that the shock belt interfered with his ability to participate in his defense. As a
8 result, Petitioner cannot establish a reasonable probability of a different result at trial,
9 even if counsel had successfully challenged the use of the shock belt. *See Strickland*, 466
10 U.S. at 694. Because this claim lacks merit, Petitioner is not entitled to relief. For the
11 same reason, there is no cause to overcome its procedural default because it is not
12 substantial under *Martinez* and PCR counsel was not ineffective for failing to raise it. *See*
13 *Clabourne*, 745 F.3d at 377.

14 In Claim 24(B), Petitioner asserts that his trial counsel was ineffective for failing
15 to move to strike Juror 193 for cause. (Doc. 28 at 261–62.) Petitioner asserts that it is
16 “probable” that had counsel challenged Juror 193, the court would have removed the
17 juror for cause, or, at a minimum, ensured that this error was not reviewed under the
18 “onerous” fundamental error standard of review when raised on direct appeal. Claim
19 24(B) is without merit. Counsel did not perform ineffectively because a challenge to
20 Juror 193 would have been rejected, and there is no reasonable probability that the
21 Arizona Supreme Court’s ruling would have been any different under harmless error
22 review. As this Court has already concluded, in the Discussion Section II.A.3.b.(viii)–
23 (ix), there is no merit to Petitioner’s challenge to Juror 193, because Juror 193 was
24 neither presumptively nor actually biased. *See Sexton*, 679 F.3d at 1157 (“[C]learly we
25 cannot hold counsel ineffective for failing to raise a claim that is meritless.”). Because
26 this claim lacks merit, Petitioner is not entitled to relief. Because the claim is not
27 substantial under *Martinez*, Petitioner fails to meet the prejudice prong of the cause and
28 prejudice analysis, and default of the claim is not excused. *See Clabourne*, 745 F.3d at
377.

1 In Claim 24(C), Petitioner argues that trial counsel failed to timely object to Frank
2 Powell's testimony regarding the missing hammer on the gun Petitioner used to kill
3 Officer Hardesty. (Doc. 28 at 262–63.) Petitioner's claim is without merit because he has
4 not established deficient performance. The record demonstrates that counsel had a
5 strategic reason for deciding not to object contemporaneously, choosing instead to move
6 for a mistrial after the testimony: “[A]t the time I did not make an objection, I felt like
7 objecting the [sic] to it at that point would probably draw even more attention to this
8 witness's answer, that the purpose for the modification indication [sic] was for reasons of
9 concealment.” (RT 2/11/05, at 3.) This is precisely the type of strategic choice that the
10 Supreme Court has characterized as “virtually unchallengeable.” *Strickland*, 466 U.S. at
11 690. Counsel's performance was not deficient. *See Cunningham v. Wong*, 704 F.3d 1143,
12 1159 (9th Cir. 2013) (counsel's decision not to object to comments during opening
13 statements, “possibly to avoid highlighting them, was a reasonable strategic decision”).

14 Nor can Petitioner establish prejudice. There is no reasonable probability of a
15 different trial outcome even if Petitioner raised this claim contemporaneously. As
16 Petitioner concedes, the evidence against him was overwhelming, and the impact of the
17 evidence during the penalty phase was minimal in comparison with the strength of the
18 aggravating factor. Because this claim lacks merit, Petitioner is not entitled to relief. For
19 the same reason, there is no cause to overcome its procedural default. The claim is not
20 substantial under *Martinez* and PCR counsel was not ineffective for failing to raise it. *See*
21 *Clabourne*, 745 F.3d at 377.

22 In Claim 24(D), Petitioner asserts that trial counsel rendered deficient performance
23 by failing to object to acts of prosecutorial misconduct during sentencing proceedings,
24 specifically, by failing to object to arguments that Petitioner needed to establish a causal
25 nexus between his mitigation and the offense, and directing the jury to weigh the manner
26 of Officer Hardesty's murder as part of the aggravating circumstance. (Doc. 28 at 263–
27 64.) Petitioner asserts that the prosecutor's arguments were contrary to both Arizona and
28 federal law. As this Court has already determined in the Discussion Section C.5,
however, there is no merit to Petitioner's prosecutorial misconduct claim. The

1 prosecutor's remarks regarding a causal nexus were not misconduct because the
2 prosecutor permissibly argued that the jury should consider the evidence, but find that it
3 carried "no weight." The prosecutor's remarks regarding the circumstances of the murder
4 were also consistent with Arizona law. Because Petitioner's prosecutorial misconduct
5 claims have no merit, counsel cannot be ineffective for failing to object to the remarks.
6 *See e.g., Rupe v. Wood*, 93 F.3d 1434, 1444–45 (9th Cir. 1996) ("[T]he failure to take a
7 futile action can never be deficient performance.").

8 This Court also concluded that given their brevity and the context in which they
9 were made, even if the remarks constituted misconduct on behalf of the prosecutor, they
10 did not deprive Petitioner of a fair trial. Consistent with this finding, the Court also finds
11 that there is no probability of a different outcome had counsel objected to the remarks.
12 *See State v. Pandeli*, 161 P.3d 557, 569 (Ariz. 2007) (finding any potential error in
13 prosecutor's closing argument cured by jury instruction). Because this claim lacks merit,
14 Petitioner is not entitled to relief. For the same reason, there is no cause to overcome its
15 procedural default because it is not substantial under *Martinez* and PCR counsel was not
16 ineffective for failing to raise it. *See Clabourne*, 745 F.3d at 377.

17 In Claim 24(E), Petitioner asserts that counsel was ineffective for failing to tell the
18 jury, or advising Petitioner to tell the jury during his allocution, that if the jury
19 recommended a life sentence, Petitioner would request that the trial court impose a
20 sentence that was not parole eligible. (Doc. 28 at 264.) Based on the record in this case,
21 the Court concludes that Petitioner has failed to rebut the presumption of adequate
22 assistance. There is a broad range of legitimate defense strategies and counsel has wide
23 latitude in deciding how best to represent a client in closing argument. *Yarborough v.*
24 *Gentry*, 540 U.S. 1, 5–6 (2003). Choosing "which issues to sharpen and how best to
25 clarify them are questions with many reasonable answers." *Id.* at 6. In some
26 circumstances, counsel may forgo closing argument altogether. *See Bell*, 535 U.S. at
27 701–02. The availability of another potential argument does not establish that counsel
28 acted unreasonably in failing to make it. There are many reasonable strategic reasons for
not telling jurors, or not advising Petitioner to tell the jurors, that, if sentenced to life,

1 Petitioner would request a natural life sentence. Such an argument might ring hollow and
2 cost credibility with the jury, and the jurors might believe that the argument was not
3 binding on Petitioner and presume that he intended to later ask the judge for a parole
4 eligible sentence, especially in light of the jury instructions which clearly stated that the
5 court would have that option. The Court finds that Petitioner has not overcome the
6 “strong presumption” that counsel’s performance was within the “wide range of
7 reasonable professional assistance.” *Strickland*, 466 U.S. at 689.

8 Nor can Petitioner establish a reasonable likelihood that the jury would not have
9 sentenced him to death had counsel made this argument. Petitioner again refers to a press
10 release, issued by three jurors, stating: “we were not given the option to vote for life in
11 prison without the possibility of parole.” (ROA 644, Ex. 9.) But as this Court previously
12 remarked in the discussion of Claim 6, it was not a certainty that if the jurors had rejected
13 the death sentence, the trial court would have imposed a natural life sentence. This holds
14 true even if Petitioner argued for a natural life sentence. Thus, assuming that the jurors
15 would have actually rejected a death sentence if they were able to affirm that any life
16 sentence would have been served without the possibility of parole, counsel’s argument, or
17 Petitioner’s allocution, would not have changed the outcome because it would not have
18 provided any such assurance to the jury. Because this claim lacks merit, Petitioner is not
19 entitled to relief. For the same reason, there is no cause to overcome its procedural
20 default because it is not substantial under *Martinez* and PCR counsel was not ineffective
21 for failing to raise it. *See Clabourne*, 745 F.3d at 377.

22 **IX. CLAIM 25**

23 In Claim 25, Petitioner argues that appellate counsel performed ineffectively.
24 (Doc. 28 at 265–73.) This claim consists of seven sub-claims, Claims 25(A) through (G),
25 that Petitioner concedes were not exhausted in state court and are now procedurally
26 defaulted. Petitioner asserts that PCR counsel’s ineffectiveness for failing to raise these
27 IAC claims constitutes cause under *Martinez*, 566 U.S. 1, and *Nguyen*, 736 F.3d at 1294–
28 96, to excuse the default. When this Petition was filed, the Ninth Circuit in *Nguyen* had
extended *Martinez* to include defaulted claims of ineffective assistance of counsel on

1 direct appeal. *See Nguyen*, 736 F.3d at 1295. Since this Petition was filed, the Supreme
2 Court has made clear that the *Martinez* exception cannot be extended to claims of
3 ineffective assistance of appellate counsel. *Davila*, 137 S. Ct. at 2062–63 (2017). Because
4 Petitioner has demonstrated no other grounds upon which the default might be excused,
5 he cannot overcome the procedural default of these IAC claims. Accordingly, Claims
6 25(A) through (G) are denied.

7 **X. CLAIM 26**

8 In Claim 26, Petitioner argues that, even if the trial errors committed during
9 Petitioner’s trial and sentencing proceeding do not warrant habeas relief standing alone,
10 the cumulative effect of multiple errors is sufficiently prejudicial to warrant habeas relief.
11 (Doc. 28 at 273–74.) Petitioner did not exhaust this claim on direct appeal, and now
12 argues, as cause for the procedural default of this claim under the holdings in *Martinez*
13 and *Nguyen*, that appellate counsel was ineffective for failing to raise this claim, and PCR
14 counsel performed deficiently in failing to raise appellate counsel’s ineffectiveness.

15 Because Claim 26 is not an ineffective assistance of counsel claim, PCR counsel’s
16 deficient performance may not serve as cause to excuse the procedural default. *See*
17 *Pizzuto*, 783 F.3d at 1176–77. Accordingly, Claim 26 is denied.

18 To the extent that this claim presents a separate ineffective assistance of appellate
19 counsel claim, this claim is also procedurally defaulted and Petitioner cannot establish
20 cause for the default under *Martinez*. *See Davila*, 137 S. Ct. at 2062–63 (2017).

21 The Court disagrees with Petitioner’s unsupported argument that he was
22 prejudiced as a result of cumulative error. Although “the combined effect of multiple trial
23 errors may give rise to a due process violation if it renders a trial fundamentally unfair,
24 even where each error considered individually would not require reversal,” *Parle v.*
25 *Runnels*, 505 F.3d 922, 928 (9th Cir. 2007) (citing *Donnelly v. DeChristoforo*, 416 U.S.
26 637, 643 (1974); *Chambers v. Mississippi*, 410 U.S. 284, 290 n.3 (1973)), “the
27 fundamental question in determining whether the combined effect of trial errors violated
28 a defendant’s due process rights is whether the errors rendered the criminal defense ‘far
less persuasive,’ and thereby had a ‘substantial and injurious effect or influence.’” *Parle*,

1 505 F.3d at 928 (internal citations omitted). As set forth above, the Court has addressed
2 each of the “errors” asserted by Petitioner and has found that no error occurred. Thus,
3 unlike in *Parle*, 505 F.3d at 930, there is no cumulative trial error. Therefore, there is no
4 basis for finding that Petitioner’s defense was rendered less persuasive or that his trial
5 was “infected” with unfairness resulting in a due process violation. *See id.* at 927 (citation
6 omitted). Even if there were error, it would be harmless under *Brecht* because the
7 evidence of Petitioner’s guilt was overwhelming and the impact of any error during the
8 penalty phase was minimal in comparison with the strength of the aggravating factor. In
9 *Parle*, the Ninth Circuit explained that the errors were uniquely symmetrical, “each ...
10 amplified the prejudice caused by the other—and their direct relation to the sole issue
11 contested at trial.” *See id.* at 933. Here, however, Petitioner has failed to explain how the
12 “unique symmetry” of otherwise harmless error amplifies the prejudice caused by other
13 error, in relation to a key contested issue of fact. *See id.*

14 Petitioner cannot sustain his claim for ineffective assistance of appellate counsel
15 because the issues he asserts appellate counsel should have raised are without merit. *See*
16 *Turner v. Calderon*, 281 F.3d at 872; *Wildman*, 261 F.3d at 840. Accordingly, to the
17 extent Claim 26 presents a separate IAC claim, it is procedurally defaulted, and,
18 alternatively, without merit.

19 CONCLUSION

20 For the reasons set forth above, Petitioner is not entitled to relief on Claim 1 or on
21 Claims 3–27, and additional evidentiary development of Claim 1 is neither required nor
22 warranted.

23 Petitioner has established that the state court rejection of Claim 2 was based on an
24 unreasonable determination of facts. 28 U.S.C. § 2254(d)(2). Petitioner has further
25 established he is entitled to an evidentiary hearing on Claim 2. The Court will hold a
26 status conference to address the scope of the evidentiary hearing. The Court will direct
27 Petitioner to file a proposed witness and exhibit list and both parties shall be prepared to
28 discuss the need for an evidentiary hearing on the prejudice prong of Petitioner’s IAC at
sentencing claim, in addition to Petitioner’s request to depose trial counsel, at the status

1 conference.

2 **CERTIFICATE OF APPEALABILITY**

3 Although this is not a final order in these proceedings, the Court has endeavored to
4 determine, if judgment is ultimately entered against Petitioner, whether a certificate of
5 appealability (COA) should be granted on the issues addressed herein.

6 Pursuant to 28 U.S.C. § 2253(c)(2), a COA may issue only when a petitioner “has
7 made a substantial showing of the denial of a constitutional right.” This showing can be
8 established by demonstrating that “reasonable jurists could debate whether (or, for that
9 matter, agree that) the petition should have been resolved in a different manner” or that
10 the issues were “adequate to deserve encouragement to proceed further.” *Slack v.*
11 *McDaniel*, 529 U.S. 473, 484 (2000).

12 The Court finds that reasonable jurists could debate its resolution of Claim 1. For
13 the reasons stated in this order, the Court finds that reasonable jurists could not debate its
14 resolution of the remaining claims.

15 Accordingly,

16 **IT IS HEREBY ORDERED** denying Claim 1 and Claims 3-27.

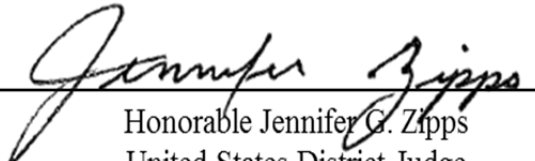
17 **IT IS FURTHER ORDERED** granting in part and denying in part Petitioner’s
18 motion for evidentiary hearing and development (Doc. 38). Petitioner’s motion for
19 evidentiary development and an evidentiary hearing as to Claim 1 is granted in part as to
20 Petitioner’s motion to expand the record to include Exhibits 1-27 of Petitioner’s Motion
21 for Evidentiary Development (Doc. 38, Exs. 1-27), and denied in all other respects.

22 **IT IS FURTHER ORDERED** that Petitioner’s motion for an evidentiary hearing
23 as to Claim 2 is granted. Petitioner’s request to supplement the record and for evidentiary
24 development of Claim 2 is denied at this time as to all requests with the exception of
25 Petitioner’s request to depose trial counsel. The Court will consider this matter further
26 during pre-hearing proceedings after the Court directs the parties to file a joint proposed
27 discovery schedule identifying all discovery each wishes to conduct and setting forth
28 good cause pursuant to Rule 6 of the Rules Governing Section 2254 Cases.

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1 **IT IS FURTHER ORDERED** that an evidentiary hearing to determine whether
2 sentencing counsel were ineffective, as asserted in Claim 2, shall take place as soon as is
3 practicable. The Court will issue a separate order setting this matter for a scheduling
4 conference.

5 **Dated this 27th day of March, 2018.**

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9 Honorable Jennifer G. Zipps
10 United States District Judge
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